

Australian Arabian Rules of Racing

As amended 24th October, 2014



National Arabian Racehorse Assoc.

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DEFINITIONS

These Rules are the Australian Arabian Rules of Racing of the National Arabian Racehorse Association and their approved Arabian Racing Authorities in each State.

AAR.1. In the interpretation of these Rules, (and of any programme of a race meeting held there under), the following words unless the context otherwise requires, shall have or include meanings as follows:-

"Advertised" or "Advertisement" includes publication in any newspaper or *Racing Calendar* or in any printed, typewritten, or written placard, circular, or poster.

"Anabolic Androgenic Steroid Clearing Certificate" means a certificate from an Official Racing Laboratory stating that a sample (taken under the supervision of the Stewards or other official appointed by the Principal Racing Authority to do so) is free of anabolic androgenic steroids or that any anabolic androgenic steroids that are present are at or below the relevant concentrations set out in AAR.171.C(1).

"Apprentice" means a person who is duly bound to a trainer or owner in accordance with the Rules of the National Arabian Racehorse Assoc or a Principal Racing Authority.

"Approved Meeting" or "Approved Race Meeting" includes any race meeting held under the Management of the Principal Arabian Racing Authority or of any recognized registered Club.

"Approved Rules" means the rules of the National Arabian Racehorse Association, Australian Racing Board or a member country of the International Federation of Arabian Horseracing Authorities.

"Arabian or Arab" means any Purebred Arabian horse, Anglo Arabian horse or Partbred Arabian horse registered in the Studbook of the Arabian Horse Society of Australia Ltd. or the equivalent WAHO accepted Stud Book of another country.

"Arabian Purebred or Purebred" means an Arabian horse, registered as a Purebred Arabian in the Studbook of the Arabian Horse Society of Australia Ltd. or the equivalent WAHO accepted Studbook of another country.

"Arabian Group and Listed Races", for races run in Australia, shall mean those races which are published in the schedule of races described as "Arabian Group and Listed Races" by the National Arabian Racehorse Association.

"Arabian Race" includes any race or exhibition gallop involving Arabian horses.

"Arabian Race Club" means any Club or Organization registered with the National Arabian Racehorse Association for the purpose of organizing Arabian Races.

"Arabian Stud" is as defined in the Rules of the Arabian Horse Society of Australia Ltd.

"Association" means any association of clubs holding Arabian race meetings, the articles or rules which have been approved by the National Arabian Racehorse Association and any Registration Board the constitution of which has been approved by the National Arabian Racehorse Association.

"Australian Arabian Racing Board" means the committee of the National Arabian Racehorse Association.

"Authorized Agent" means a person who has produced to the Principal Arabian Racing Authority, Committee of the Club, or the Stewards or other relevant official a satisfactory written authority signed by his principal.

“Banned Substance” means a substance declared as a banned substance in riders by AAR.75B.

"Chairmen of Stewards" means the National Chairmen of Stewards Advisory Group to the National Arabian Racehorse Association.

“Clear Day” means a 24 hour period from 12.01am to 12 midnight.

“Club” includes any person or body holding or proposing to hold a race meeting in the Commonwealth.

“Cruelty” included any act or omission as a consequence of which a horse is mistreated.

"The Committee of the Club" means the Committee of any Club which is registered with a Principal Racing Authority or whose meetings are registered with a Principal Racing Authority.

"Company" means -

(a) a company incorporated or registered under any Act or Ordinance of any state or territory of the Commonwealth of Australia whilst it remains so incorporated or registered; and

(b) a 'foreign company' within the meaning of the Corporations Law or any replacement or successor legislation.

“Correct Weight” means a declaration by the Stewards officiating at a race meeting that the result of a race is official.

"Disqualification" includes the adoption or confirmation in accordance with these Rules of any disqualification and "Disqualify" has a corresponding meaning.

"Document of Description" means the document which bears that name and which has been issued by the Registrar of Racehorses or a recognized turf authority in relation to the identity of the racehorse described therein and shall include a Certificate of Registration issued by the Registrar of Racehorses or a recognized turf authority.

"Executive Officer" means the person appointed by the Board as the executive officer of the Board.

"Firm" means any individual or any number of individuals not exceeding twenty (excluding companies) carrying on business in Australia under a firm or business name which name is and remains registered under any statute or ordinance of any State or Territory of the Commonwealth of Australia relating to "Business Names" or such-like.

"Forfeits" includes all overdue and unpaid acceptances or qualification fees, or moneys, stakes, fines, subscriptions, course, track, and other fees not being entrance fees due by or imposed upon any person or due in respect of or imposed upon any horse, or which shall be published in the *Racing Calendar* as so due or imposed.

"Group and Listed Races", for races run in Australia, shall mean those races which are published in the schedule of races described as "Group and Listed Races" by the National Arabian Racehorse Association.

"Group and Listed Races", for races run outside Australia, shall mean those races which are published by the International Federation of Arabian Racehorse Authorities.

“Handicap” means a race in which the weights the horses are to carry are allocated according to the judgment of the person appointed to frame the handicap upon the merits of the horses for the purpose of equalizing their chances of winning.

“Helmet” means a protective riding helmet the standard of which is approved by the Australian Racing Board.

"Interest" in respect of a horse includes membership of a Syndicate which owns or leases a horse, membership of a Company, Firm or Syndicate which owns or leases a horse or has any interest direct or indirect in a horse or in a Company, Firm or Syndicate which owns or leases a horse and the word "Interested" and all other derivatives and applications of the word "Interest" shall be construed accordingly.

A **"Jockey"** is a person licensed by the Committee of a Principal Racing Authority or an Association to ride for hire.

"The Judge" means the person duly appointed as such and includes any Assistant Judge similarly appointed and any substitute appointed in accordance with these Rules.

"Jump-out" means a trial, other than an official trial, organized, supervised and controlled by a Club or the management of a recognized training track, which is started from barrier stalls, and is conducted in accordance with any conditions set by the Principal Racing Authority.

"Lease" includes any agreement whereby the owner of a horse permits another person to race the horse.

"Licensed wagering operator" mean a wagering operator that holds a license or authority however described under the legislation of any State or Territory in Australia to carry out wagering operations whether in that State or Territory or elsewhere. (added 15.6.12)

"License" includes any approval or permit.

"Licensed" A person is licensed if he has the requisite license required by the Rules.

A **"Maiden"** with respect to a flat race means a horse which at the time of starting has never won on the flat at a recognized meeting or an advertised race in any country

"Manager" means the first-named person recorded by the Registrar of Racehorses in the official ownership records including the Transfer or Lease (if leased) of a horse, or if the horse is owned or leased by a Syndicate, the person first-named in the Certificate of Registration of the Syndicate, subject always to the provisions of the rules. If the horse is owned or leased by more than one Syndicate, the first-named person appearing in the Certificate of Registration of the first-named Syndicate shall be deemed to be the manager.

“Medication” means any treatment with drugs or other substances.

"Member" for the purposes of these Rules includes any person who has an interest of any kind and to any extent in any Company, Firm, Syndicate or other association of persons, whether such interest be by way of membership, individual or part-ownership, sharing or stockholding, and an "officer" of a Company (within the meaning of a Companies Act under which it is incorporated or registered) shall be deemed to have an interest in that Company; and "membership" and all other derivatives and applications of the word "member" shall be construed accordingly.

“Microchip” means an electronic identifier transponder encoded with a unique unalterable number approved by the Registrar of Racehorses for implantation in a horse.

"NARA" means the National Arabian Racehorse Association.

"National Gear Register" means the register of all gear approved by the Chairmen of Stewards, together with conditions for the use of such gear approved by the Chairman of Stewards.

“National Stewards Embargo Register” means a record, maintained by the Stewards Australia-wide, of embargos imposed on horses.

"Nominator" means any owner or if the horse is leased any lessee by or on whose behalf a horse is entered and includes any Registered Manager for a Company and any trustee for a Syndicate and any person exercising the rights of a nominator under the Rules by reason of the death of a nominator, the sale of a horse with engagements, the termination of a lease or otherwise.

"Official Racing Laboratory" means an analytical racing laboratory that is accredited by the National Association of Testing Authorities or by a similar Authority in an overseas country, and is approved by the National Arabian Racehorse Association and published in the *Racing Calendar*.

Note: The following have been approved by the National Arabian Racehorse Assoc.

- Australian Racing Forensic Laboratory, Sydney
- Queensland Government Racing Science Centre, Brisbane
- Racing Analytical Services Limited, Melbourne
- Racing Chemistry Laboratory, Chemistry Centre (W.A.) , Perth
- Australian Sports Drug Testing Laboratory, Sydney
- Chem Centre, Western Australia

“Official Trial” means a trial –

- (a) that is approved and advertised by the Principal Racing Authority;
- (b) that is conducted in accordance with the conditions set by the Principal Racing Authority;
- (c) that is supervised by the Stewards; and
- (d) for which official entries are taken and results are officially recorded.

Overseas Racing Authority means a body, whether statutory or otherwise, that has the control or general supervision of racing within a country, territory or province other than Australia.

“Participant in racing” includes:

- (a) a trainer
- (b) any person employed by a trainer in connection with the training or care of horses
- (c) a nominator
- (d) a rider
- (e) a riders agent
- (f) any person who provides a service or services connected with the keeping, training or racing of a horse.”

"Penalty" includes the suspension or partial suspension of any licence, disqualification and the imposition of a fine, and "penalize" has a corresponding meaning.

"Person" includes any Syndicate, Company, combination of persons, firm, or Stud owning or racing a horse or horses.

“Premises” includes land, buildings or any fixed or moveable structure, including any vehicle.

The expression **“Principal Racing Authority”** when referring to Arabian Racing means the National Arabian Racehorse Association.

"Prize" includes any moneys, cups, trophies or any material gain or benefit capable of being valued in money (but not including the value of any stallion services) from whatever source awarded to the nominator of trainer or jockey of a horse or to any other person in accordance with the conditions of a race as a result of the horse winning or being placed second, third, fourth fifth, sixth, seventh, eighth, ninth or tenth in such race.

"Prohibited Substance" means a substance declared by these Rules and the Rules of The Australian Racing Board, as amended from time to time, to be a prohibited substance, or which falls

within any of the groups of substances declared by these Rules to be prohibited substances unless it is specifically excepted.

"Promoter" means any person or Corporation who for valuable consideration offers or invites any other person or Corporation to subscribe for shares or participate in any manner in any scheme, the objects of which include the breeding and/or racing of an Arabian horse or horses.

"Punishment" includes the suspension of any licence, disqualification and the imposition of a fine and "punish" has a corresponding meaning.

"Race" includes each division of a divided race.

"Racehorse Document of Identification" means the document which bears the horses name and which has been issued by the NARA appointed Registrar of Racehorses or a recognized overseas turf authority in relation to the identity of the racehorse described thereon.

"Racing Calendar" means the publication published under that name or any similar name by or under the authority of the Principal Arabian Racing Authority.

"Registered Club" means a Club registered by the Principal Arabian Racing Authority in accordance with the Rules.

"Registered Manager" means a person who is appointed to be the Registered Manager for a Company by instrument under the common seal of the Company and who has been approved by the Principal Arabian Racing Authority by which the Company has been registered as a Syndicate.

"Registered Meeting" or **"Registered Race Meeting"** includes any race meeting or race approved in accordance with the rules of the Principal Arabian Racing Authority, a Principal Thoroughbred Racing Authority or of any registered Club.

"Registrar of Racehorses" and the **"Registrar"** means the person appointed to that office by the Board of the National Arabian Racehorse Association.

"Restricted Race Conditions" means those conditions for Restricted Races as prescribed by AAR.1A.

"Rider" means a jockey, apprentice jockey, amateur rider, approved rider, or any other person who rides a horse in a race, official trial, jump-out or during trackwork,

"Riders Agent" means a person licensed by a Principal Racing Authority who by contract or any other arrangement or agreement assists a jockey or the master of an apprentice jockey in the organization and/or the obtaining of riding engagements.

"RISA" means Racing Information Services Australia Proprietary Limited.

"Sample" means a specimen of saliva, urine, perspiration, breath, blood, tissue, hide, hair, or any other excretion product or body fluid taken from a horse or person.

“Screening limit” means the concentration of a therapeutic substance or its specified metabolite present in a sample during a screening test or analysis as specified in AAR.171.E(2), above which the therapeutic substance will be notified as a prohibited substance.

Sexual Harassment” means:

- a) subjecting a person to an unsolicited act of physical intimacy; or
- b) making an unsolicited demand or request (whether directly or by implication) for sexual favours from a person; or
- c) making a remark with sexual connotations relating to a person; or
- d) engaging in any other unwelcome conduct of a sexual nature in relation to a person; and the person engaging in the conduct described in paragraphs (a), (b), (c) or (d) does so
- e) with the intention of offending, humiliating or intimidating the other person; or
- f) in circumstances where a reasonable person would have anticipated the possibility that the other person would be offended, humiliated or intimidated by the conduct.

Conduct described in paragraphs (b), (c) and (d) includes, without limitation, conduct involving the internet, mobile phone or any other electronic mode of communication.

“Stable Return” means

a notification submitted by a trainer, containing such information required by the Rules in respect of each horse under his care, control and superintendence; and thereafter from time to time supplemented by amending notifications in the event of any alterations to the information previously submitted.

“Stewards” means the persons appointed as such in accordance with these rules and includes Deputy Stewards duly appointed.

“Stud” means a person, firm or company engaged in the breeding of Arabian horses as defined in the Rules of the Arabian Horse Society of Australia Ltd. or an approved Stud Book.

“Suspension” means the temporary withdrawal in whole or in part of any licence, permit, permission, right or privilege granted under these Rules.

“Syndicate” means a Syndicate as defined by these Rules and registered pursuant to these Rules.

“Therapeutic substance” means a prohibited substance to which a screening limit applies, and which is promulgated as such from time to time by the National Arabian Racehorse Association and published in the Racing Calendar.

“These Rules” means the Australian Arabian Rules of Racing and “The Rules” mean these Rules together with the Australian Rules of Racing.

“Trackwork” means any training activity, excluding an official trial or jump-out, undertaken by a racehorse in the care of a trainer on a racecourse, recognized training track, private training establishment or elsewhere.

“Trainer” means a person licensed or granted a permit by a Principal Racing Authority to train horses, and includes any persons licensed to train as a training partnership

“Trustees” means the natural persons being members of a Syndicate who have been nominated to represent it as such trustees.

“Warned off.” “Warning off.” A person warned off a racecourse is one who is not permitted to enter a racecourse under the control of the Club or body warning him off.

“Weight for Age Race” means a race in which weights are apportioned to horses according to their age or sex or both, and remains a Weight for Age race even if:

- (a) there are penalties and allowances; or (b) the race is confined to horses of the same age.

“Workplace Harassment” means behavior of one person towards another person with whom he has a workplace connection which:

- (a) Is unwelcome to and unsolicited by the person who is the subject of the behavior;
- (b) The person subject to the behavior considers to be offensive, intimidating or threatening; and
- (c) A reasonable person would consider to be offensive, humiliating, intimidating or threatening

However, reasonable management action taken in a reasonable way by the person's employer in connection with the person's employment is not workplace harassment.

Marginal notes and headings, where they appear, are for reference purposes only and shall not be regarded as being part of the Rules.

Words importing the singular include the plural and the plural the singular, unless the context requires otherwise; and words importing the masculine gender shall be deemed and taken to include females unless the contrary is expressly provided; and words importing the feminine shall be deemed and taken to include the masculine unless the contrary is expressly provided.

Expression of distances and weights shall be expressed in metres and kilograms as directed by The National Arabian Racehorse Association.

Restricted Races

Maiden Race is one restricted to horses which at the time of starting have never won on the flat a race at a registered meeting or any advertised race in any country.

A **Trophy Race** is a race in which the prize money and/or value of any trophy to the winner does not exceed \$1,500.

A **Class A Race*** is one restricted to horses which, at the time of starting, have not generated prizes in the aggregate worth more than \$6,000 for wins in races on the flat and have never won a race on the flat outside Australia.

A **Class B Race*** is one restricted to horses which, at the time of starting, have not generated prizes in the aggregate worth more than \$10,000 for wins in races on the flat and have never won a race on the flat outside Australia.

* The value of the prize to the winner shall not exceed:
in a Class A Race - \$6,000
in a Class B Race - \$10,000.

Provided that Class A and/or Class B races shall not be programmed for TAB meetings, except in the Northern Territory, King Island and approved country areas of Western Australia, and that each Principal Racing Authority should restrict Class A and Class B races to “remote/minor” race meetings, as determined by the Principal Racing Authority.

For the purposes of determining the value of the prize to the winner and the eligibility of any horse for any Class A or Class B race, no account shall be taken of prizes won after 30th September 1991 which were not, at the time of entry for a race or series of races, available to be won by every horse eligible to be entered therein.

A **CLASS ONE RACE** is one restricted to horses which, at the time of starting, have not won more than one race on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further

provided that, notwithstanding the foregoing provisions, the winner of any Group or Listed race shall be ineligible.

A **CLASS TWO RACE** is one restricted to horses which, at the time of starting, have not won more than two races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further provided that, notwithstanding the foregoing provisions, the winner of any Group or Listed race shall be ineligible.

A **CLASS THREE RACE** is one restricted to horses which, at the time of starting, have not won more than three races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further provided that, notwithstanding the foregoing provisions, the winner of any Group or Listed race shall be ineligible.

A **CLASS FOUR RACE** is one restricted to horses which, at the time of starting, have not won more than four races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further provided that, notwithstanding the foregoing provisions, the winner of any Group or Listed race shall be ineligible.

A **CLASS FIVE RACE** is one restricted to horses which, at the time of starting, have not won more than five races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further provided that, notwithstanding the foregoing provisions, the winner of either of the following races shall be ineligible:

- (a) Any Group Race; or
- (b) Any Listed Race in which horses older than 3yo could run.

A **CLASS SIX RACE** is one restricted to horses which, at the time of starting, have not won more than six races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse. Further provided that, notwithstanding the foregoing provisions, the winner of the following races shall be ineligible:

- (a) Any Group Race; or
- (b) Any Listed Race in which horses older than 3yo could run; or
- (c) More than one Listed Race in which 3yos only could run.

APPLICATION OF THESE RULES

AAR.2. Any person who takes part in any matter coming within the Rules of Racing of the National Arabian Racehorse Association thereby agrees to be bound by them.

AAR.3. Subject to the conditions of any race when the last day for doing any act under the Rules falls on a Sunday or holiday such act may be done on the next succeeding day not being a Sunday or holiday, unless the race to which such act relates is appointed for that day.

AAR.4. Any act done or decision made by a Committee of a Club or by Stewards in the exercise or intended exercise of any right power or authority conferred by or under any of the Rules shall except where otherwise provided in the Rules be final and conclusive.

AAR.5. These Rules shall come into operation on the First day of August, 1995 and any other Rules of Arabian Racing repugnant to or inconsistent with these Rules shall be annulled as from that day, but such annulment shall not-

(a) Affect the previous operation of any rule so annulled or anything duly done or suffered thereunder, or

(b) Affect any right, privilege, obligation, or liability acquired, accrued, or incurred under any Rule so annulled, or

(c) Affect any penalty or disqualification incurred in respect of any offence committed against any rule so annulled, or

(d) Affect any investigation, proceeding, or remedy in respect of any such right, privilege, obligation, liability, or penalty as aforesaid.

Any such investigation, proceeding, or remedy may be instituted, continued, or enforced, and any such penalty or disqualification may be imposed as if these Rules had not been passed.

AAR.6. (1) These Rules apply to all races held under the management or control of a Principal Racing Authority, and shall, together with such Rules (not being repugnant to or inconsistent with these Rules) as may from time to time be made by the Principal Racing Authority in its territory, be read and construed as the rules of the Principal Racing Authority in such territory and, subject to the provisions of AAR.27, shall apply to all races held under the management of a Principal Racing Authority or any registered Club and to all meetings registered by a Principal Racing Authority.

(2) Unless the Principal Racing Authority otherwise determines, if any race or race meeting is not held under these Rules .

(a) any horse taking part shall *ipso facto* be disqualified;

(b) any person taking part therein shall be ineligible to enter a horse for any race, or to hold or continue to hold any licence or registration under these Rules;

(c) any person who acts in connection therewith as promoter, organizer, president, chairman, secretary, treasurer, committee member, or in any advisory or official capacity, shall be debarred from acting in any official capacity at any race meeting, and any horse in which he has an interest shall be ineligible to race at any registered meeting.

(3) Paragraphs (b) and (c) of subrule (2) shall not apply to any race or race meeting in which Arabian Horses do not take part and which is or are held under the management or regulation of an organization formally recognized by the Government of the State or Territory in which the race meeting is conducted.

(4) Any question not provided for by these Rules shall be determined by the board of the National Arabian Racehorse Association.

POWERS OF A PRINCIPAL RACING AUTHORITY

AAR.7. A Principal Racing Authority shall:

(i) have the right to make new Rules or to rescind or alter these Rules

(ii) have the control and general supervision of Arabian racing

(iii) in furtherance and not in limitation of all powers conferred on it or implied by these Rules, have power, in its discretion:-

(a) To hear and decide appeals as provided for in its Rules or by law.

(b) To license jockeys, trainers and others on such terms and conditions as it shall think fit, and at any time to suspend, vary or revoke any such licence without giving any reason therefore.

(c) To inquire into and deal with any matter relating to racing and to refer and/or delegate any such matter to stewards or others for investigation and report and/or for hearing and determination and, without prejudice to the generality of the foregoing power, to inquire at any time into the running of any

horse upon any course or courses, whether a report concerning the same has been made or decision arrived at by any Stewards or not.

(d) To penalize:-

(i) any person contravening the Rules or disobeying any proper direction of any official, or

(ii) any licensed person or official whose conduct or negligence in the performance of his duties has led, or could have led, to a breach of the Rules.

(e) At any time to exercise any power conferred on Stewards by the Rules.

(f) To confirm, adopt or enforce any penalty imposed upon any person by the Committee or Stewards of any Club in the Commonwealth.

(g) To make reciprocal arrangements with any Club for the recognition or enforcement of each other's penalties.

(h) To confirm, adopt or enforce, in accordance with the provisions of Rule 172A, any suspension, disqualification, ban, or other similar penalty imposed by an Overseas Racing Authority upon any person.

(i) To annul or mitigate any penalty incurred within its territory.

(j) To publish in the *Racing Calendar* or in any newspaper or otherwise any penalty imposed or any decision made by itself or the Stewards or by any Club or Association or any other Racing Body within its territory.

(k) To recognize any Association of Registered Clubs or Race Meetings, or other Racing Body approved by it, and approve of its rules, articles or constitution.

(l) To register clubs, race meetings, owners, bookmakers, horses, jockeys and other riders, trainers and the employees of them or any of them and any other persons.

(m) To allot dates on which race meetings may be held within its territory.

(n) To prescribe the forms to be used under the Rules.

(o) To delegate to the Committee of a NARA approved State Arabian Racing Authority or, to a registered racing club, the authority to run Arabian Racing under these rules..

(p) To appoint a Subcommittee or Subcommittees of its Members and to delegate to any Subcommittee so appointed all or any of its powers under these Rules.

(q) To appoint such persons as the Principal Racing Authority thinks fit for the purpose of hearing and deciding appeals and applications as provided for in its Rules or by law, and for that purpose to delegate to such persons any of the Principal Racing Authority's powers under these Rules.

(r) Notwithstanding the provisions of AAR.10 and AAR.10A, to appoint such person or persons as the Principal Racing Authority thinks fit to hear and adjudicate upon any matter or charge brought by the Stewards relating to a breach of such of the Rules as may be specified by the Principal Racing Authority; and to delegate to any appointee or appointees so much of its Principal Racing Authority powers as would enable them to discharge the responsibilities of their appointment.

(s) To investigate alleged breaches of a Code of Practice published by the National Arabian Racehorse Assoc. and to warn-off or punish any person it finds to have committed a breach of such a Code of Practice."

(t) To appoint or to approve the appointment by any Club of any official any deputy or assistant official. For the purposes of this provision the term "official" means a person appointed to carry out official duties at a race meeting, but does not include the Club Secretary

AAR.7A. Without limiting in any way the powers of the Principal Arabian Racing Authority under these Rules, the Principle Arabian Racing Authority may in its absolute discretion in respect of any person who has been warned-off or who is or has been subject to any suspension or disqualification or embargo imposed by a committee or stewards of any racing or harness racing or greyhound racing club, racing authority or racing appeals tribunal in Australia or in any other country -

(a) refuse to grant any license or permit to, or to register, any such person under these Rules, or

(b) warn-off, suspend or disqualify or place a like embargo on any such person under these Rules.

STEWARDS

AAR.8. To assist in the control of racing, Stewards shall be appointed according to the Rules of the National Arabian Racehorse Association with the following powers:-

- (a) To make, alter, or vary all or any of the arrangements for the conduct of any race meeting under their control.
- (b) To require and obtain production and take possession of any mobile phones, computers, electronic devices, books and documents and records including any telephone or financial records relating to any meeting or enquiry.
- (c) To enter upon and control all lands, booths, buildings, stands, enclosures, and other places used for the purposes of the meeting, and to expel or exclude any person from the same.
- (d) To regulate and control, inquire into and adjudicate upon the conduct of all officials and licensed persons, persons attendant on or connected with a horse and all other persons attending a racecourse.
- (e) To punish any person committing a breach of the Rules.
- (f) To determine all questions arising or objections made in reference to racing at the meeting.
- (g) To order the examination of any horse for the purpose of ascertaining its age or identity, or for any other purpose connected with the Rules.
- (h) To disqualify any horse entered for any race at a meeting which is removed from the course contrary to the orders of the Committee of the Club or the Stewards, or which is not produced at their request.
- (i) To require any nominator to satisfy them that he and any horse nominated by him is subject to no disability under the Rules.
- (j) To take or cause to be taken any sample from any horse and to make or cause to be made any test to determine whether any prohibited substance is present in the system of the horse.
- (k) To take or cause to be taken from any rider either prior to or after riding in any race, trial or trackwork any sample, and to make or cause to be made any test to determine whether any banned substance defined in the Australian Rules of Racing is present in such sample.
- (k) (i) To take possession on the course or elsewhere of any horse for any of the purposes aforesaid and to detain such horse for such period as they consider necessary.
- (k) (ii) On any course (whether a race meeting is being conducted thereon or not) search any licensed person or any gear or equipment used by or about to be used by him and to take possession of any article or thing found as a result of such search which the Steward or Stewards making such search believe could afford evidence of a breach of or an offence under these Rules.
- (l) To order down any rider without assigning any reason and if they think fit to substitute another rider.

(l) To adjudicate on the claim by any rider that a nominator or trainer of a horse had refused to honour a riding engagement, and to make an order regarding the engagement and/or any compensation considered appropriate.

(m) To prohibit any horse from starting in any race.

(n) To order the removal of any shoes, racing plates, equipment or gear which has not been approved or is in their opinion unsuitable, unsafe or ineffective. person responsible.

(o) To order any rider to alter the length of his stirrups.

(p) In exceptional cases to extend the time allowed for weighing-out, declaring weight, for starting or for any other thing required by the Rules, or conditions of a race.

(q) If the conditions are in their opinion unsafe for racing, or in the case of urgent necessity, or with the permission of the Committee of the Club for any other reason,

(i) to postpone any race or races whether before or after the commencement of the meeting to a later time on that day, or to such other day as the Committee of the Club may decide subject to the approval of the body responsible for allotting race dates in the area; and/or

(ii) to alter the distance of any race.

(r) To remove at any time during the hours of racing in their discretion the Judge, Starter, Clerk of Scales, Clerk of Course, Timekeeper, or other official and appoint a substitute for any such official.

(s) To appoint any official or any deputy or assistant necessary for the conduct of a meeting if the Committee of the Club have failed or omitted to do so and.

(t) To refuse or reject the nomination of any horse at any time for any period and/or until such horse has trialed, barrier trialed or passed any required veterinary examination to their satisfaction.

(u) To order the withdrawal of a horse from any race at any time before the start if in their opinion it is unfit to run or unable to start without unreasonable delay.

(v) To inquire at any time into the running of any horse in a race upon any course or courses within their jurisdiction whether or not a report concerning the same has been made or a decision arrived at by the Stewards.

(w) To report within fourteen days of the holding of any race meeting to the Principle Arabian Racing Authority and the State approved Principle Club the running of any horse at such meeting which in their judgment is inconsistent with any previous or subsequent performance or performances of such horse.

(x) To publish in any newspaper or elsewhere any punishment imposed or any decision made by them in the exercise of their powers under these Rules.

(y) To exercise any other powers and duties laid down for them by the Principal Arabian Racing Authority.

(z) Notwithstanding anything contained within these Rules, and not in limitation of any power conferred by these Rules, where a person has been charged with a breach of these Rules (or a local rule of a Principal Racing Authority) or a person has been charged with the commission of an indictable criminal offence, the Stewards pursuant to the authority delegated

by the Principal Racing Authority, if of the opinion that the continued participation of that person in racing might pose an unacceptable risk to, prejudice or undermine the image, interests or integrity of racing, may:

- (a) suspend any licence, registration, right, or privilege granted under these Rules to that person;
- (b) prevent any horse owned (or part-owned) or leased by that person from participating in any race or official trial;
- (c) order that any registration of the transfer of ownership and/or training of a horse related to that person not be effected;
- (d) make any other direction or order related to the person which is in the interests of racing,

pending the hearing and determination of the charge under these Rules, the relevant local rule or the relevant criminal charge

AAR.8A. The powers given the Stewards under AA.R. 8 (l), (n), (o) and (u) may be exercised by the Chairman of Stewards, or the Steward acting as such, at any meeting, save and except that the power to punish under A.R. 8 (n) may be exercised only by the Stewards.

AAR.8B. The stewards shall have the power to enter upon the premises occupied by or under the control of a licensed person and used in any manner in relation to any licence (hereinafter referred to as the premises) to:

- (i) Inspect and search the premises and also search any licensed person thereon.
- (ii) order a veterinarian examination of any horse, and, on the advice of a veterinarian, take possession thereof and cause such horse to be-
 - (a) removed from the premises and detained; or
 - (b) confined to, or otherwise detained at, or within, the premises- for such period and on such terms and for such purposes as they consider necessary.

(iii) Examine the premises and any article or thing situated thereon and on legal advice, take possession of any article or thing found as the result of such search and remove from the premises any article or thing of which possession has been taken and retain the same for such period as stewards consider necessary under these rules.

Provided that the onus of proof that the premises are not being used in any manner relating to any licence shall be upon the licensed person who has the occupation or control of the premises and the use thereof.

AAR.8C. Any licensed person who, whilst the stewards are exercising the powers vested in them by these Rules or carrying out their duties, refuses to obey any reasonable direction of stewards or obstructs, hinders or delays stewards in exercising such powers or carrying out their duties, or incites any other person or persons to obstruct, hinder or delay stewards from exercising such powers, or carrying out their duties, or does not act to prevent any other person or persons on the premises from so doing, may be punished.

AAR.9. A majority of the Stewards present at any meeting of the Stewards shall have all the powers hereby given to the Stewards, and a Deputy Steward shall be considered to be a Steward. If voting is equal the Chairman shall have a casting vote.

AAR.10. The Stewards may at any time inquire into, adjudicate upon and deal with any matter in connection with any race meeting or any matter or incident related to racing.

AAR.10A. (1) The Stewards may inquire into, and adjudicate upon, any incident or occurrence arising at any organized trial or training facility.

(2) Without limiting the provisions of subrule (1) of this rule, the Stewards may-

- (a) inquire into and adjudicate on any misconduct occurring at any trial, trackwork, or associated activity.
- (b) inquire into and adjudicate upon any suspected breach of the Rules or of any regulations, by-laws or conditions established by a race club or other responsible body for the conduct of organized trials or the use of any training facility.
- © take any action deemed necessary in respect of any horse.

REGISTRATION OF CLUBS AND MEETINGS

AAR.11. There shall be kept at the office of the National Arabian Racehorse Association a register of every Club applying to hold Arabian racing under the rules. This register shall be made available to the appropriate approved state principle club. Every such application shall be made in writing by the Secretary or other official on behalf of such Club to the Secretary of the National Arabian Racehorse Association and shall be accompanied by a copy of its constitution and rules.

AAR.12. Each Club shall keep a register of all race meetings approved to be held under these Rules. Every application for such approval, accompanied by the proposed program, shall be made in writing to the Secretary of the Principal Club by some person authorized by the organizers of the meeting before the program is published.

AAR.13. The Principal Arabian Racing Authority may in its discretion accept or refuse registration of any such Club or meeting, or having granted it may at any time revoke it.

REGISTRATION OF HORSES

AAR.14. No horse if in Australia shall be entered for and no horse shall run in any race or barrier trial unless it has been identified with the National Arabian Racehorse Assoc. Registrar of Racehorses, provided that the National Arabian racehorse Assoc., after conferring with the Registrar, may allow a horse registered abroad to start upon such conditions as they see fit.

AAR.14A The Registrar will only accept for racehorse identification the name of an Arabian horse as registered in the Studbook of the Arabian Horse Society of Australia Ltd. or an Arabian Stud Book listed as acceptable to the World Arabian Horse Organization

AAR.14B. The Certificate of Registration of any horse registered in a recognized overseas jurisdiction may be endorsed for a six months period by the Registrar or Deputy Registrar, upon payment of the prescribed fee and provided a declaration is received by the Registrar or Deputy Registrar from the overseas organization stating that none of the owners or the horse is under any disability.

AAR.14C. If a registered Arabian Horse be transferred to a new owner, the transferee shall apply for the registration off the transfer to the Arabian Horse Society of Australia Ltd. and proof of such transfer must be supplied to the Registrar of Racehorses for the amendment of the Racehorse Document of Identification

AAR.15. (1) Every application to register any horse shall contain or be accompanied by the following particulars, viz:-

(a) in respect of the horse's ownership - Name of breeder, (2)

- (i) Name and signature of each owner, his date of birth and usual address; or
- (ii) if the horse is owned by a Company the name of the Company and its registered address; or
- (iii) in the case of a horse owned by a syndicate, the name of the syndicate and the names of the trustees or registered manager thereof and the usual address of each of them;

(b) in respect of a horse, its -

- (i) age,
- (ii) sex,
- (iii) colour,
- (iv) pedigree,
- (v) distinguishing marks and brands and any other particulars specified on a diagram to be supplied to the applicant by the Registrar or Deputy Registrar,
- (vi) any disqualification incurred,
- (vii) microchip number if applicable
- (viii) such other information as the Registrar or Deputy Registrar may consider necessary.

Provided that any of these particulars shall, if required by the Registrar, be verified by statutory declaration.

AAR.15 (a)1 This rule applies to all horses:

- (a) born on or after 1st May 2014; and
 - (b) which are eligible to be registered under these Rules but have not yet been registered under these Rules.
- (2) The Stewards or other official appointed by the Principal Racing Authority may, at any time, direct that a horse be produced to provide a sample to be analyzed to determine whether any anabolic androgenic steroid is present in the system of the horse.
- (3) Where a horse is not produced to provide a sample as directed pursuant to AAR.15(a)2, that horse is ineligible to start in any race or official trial:

until at least 12 months after the latter of:

- (i) the date on which the horse, having been registered under these Rules, is allowed to start in a race under (subject to any further conditions imposed by the Stewards in their discretion); and
 - (ii) the date on which the horse is in fact produced to provide a sample to be analyzed to determine whether any anabolic androgenic steroid is present in the system of the horse; and
 - (a) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.
- (4) Where a sample taken at any time from a horse has detected in it an anabolic androgenic steroid (other than an anabolic androgenic steroid which is present at or below the relevant concentrations set out in AAR.171.C(1), that horse is ineligible to start in any race or official trial:
- (a) until at least 12 months after the latter of:
 - (i) the date on which the horse, having been registered under these Rules, is allowed to start in a race under subject to any further conditions imposed by the Stewards in their discretion); and

- (ii) the date the relevant sample was taken; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.

(5) Any person must, when directed by the Stewards or other official appointed by the Principal Racing Authority, produce, or otherwise give full access to, the horse so that the Stewards or other official appointed by the Principal Racing Authority may take or cause a sample to be taken and analyzed to determine whether any anabolic androgenic steroid is present in the system of the horse.

(6) For the avoidance of doubt and without limitation, sub-rule (5) requires an owner, lessee, nominator and/or trainer to produce the horse, or otherwise give full access to the horse, even if the horse is:

- (a) under the care or control of another person; and/or
- (b) located at the property of another person.

(7) Any person who fails to produce, or give full access to, a horse to provide a sample as required by sub-rule (5) may be penalized.

AAR.16. No Arabian Horse shall be considered for registration as an Arabian Racehorse unless it is registered in the Studbook of the Arabian Horse Society of Australia Ltd. or an equivalent WAHO accepted Arabian Studbook of another country. Proof of such Stud Book registration must be made available to the Registrar of Racehorses

AAR.17. Unless permitted by the Committee, no horse shall be registered unless it is branded, and the brand consist (subject to any State legislation) of a distinguishing numeral over the last figure of the year which is deemed to be the year of foaling in addition to the identifying brand.

AAR.18. If any incorrect information be furnished on the application for registration of a racehorse, the stewards may punish the applicant and may suspend the horse from racing pending a decision by the Registrar of Racehorses as to whether or not the registration of the horse should be cancelled.

AAR.19. The Registrar will only accept for racehorse registration the name of a horse as registered in the Studbook of the Arabian Horse Society of Australia Ltd. or a equivalent WAHO accepted Arabian Studbook of another country.

AAR.19A. (1) As a condition of the registration of a horse, and in consideration for registration of the horse, each owner (including future owners) of the horse:

- (a) acknowledges that the Registrar, the Principal Racing Authorities and race clubs use the names, images, jockey silks and other indicia of horses for the purpose of administering, promoting and reporting on Arabian horse racing;
- (b) agrees that the Registrar owns all right, title or interest (including but not limited to copyright, goodwill and reputation) in the name, image, jockey silks and any other indicia associated with the horse, whether existing before or after the horse is registered;
- (c) assigns to the Registrar – to the extent that the owner owns, by force of law, any right, title or interest (including but not limited to copyright) in the name, image, jockey silks and any other indicia associated with the horse, whether existing before or after the horse is registered – any and all such intellectual property rights;
- (d) undertakes not to apply, or to authorize any other person to apply, to register the name, image, jockey silks or any other indicia associated with the horse as a trade mark; and

(e) undertakes not to assert or bring, or to authorize any other person to assert or bring, any claim of ownership of any intellectual property rights in the name, image, jockey silks or other indicia associated with the horse.

(2) In consideration for the assignment and undertakings in AAR.19A(1), NARA grants to the owner(s) a non-exclusive, royalty-free and non-transferable licence to:

(a) use the name, image, jockey silks and other indicia associated with the horse the subject of this form where NARA owns the intellectual property in such indicia for any purpose related to racing, training, promoting and otherwise dealing with the horse, including merchandising; and

(b) sub-license the same to any other person.

AAR.20. If a registered Arabian Horse be transferred to a new owner, the transferee shall apply for the registration off the transfer to the Arabian Horse Society of Australia Ltd. and proof of such transfer must be supplied to the Registrar of the National Arabian Racehorse Association for the amendment of the Racehorse Document of Identification.

The Registrar may refer any such application to Stewards or may himself inquire into the bona-fides of the transaction and the identity of the persons or horses concerned, and whether they or any of them are under any disability under the Rules. Until such transfer is registered the horse shall not be permitted to start in any race without the leave of the Committee of the Principal Club or the Stewards who may impose such conditions as to payment of a fine or otherwise as they see fit.

AAR.21. The Registrar or may allow or reject any application for racehorse identification. Fees payable for racehorse identification of a horse, or for a transfer of ownership, shall be such as are determined from time to time by the National Arabian Racehorse Association

AAR.22. No horse foaled out of the Commonwealth of Australia shall be granted racehorse identification papers unless there shall have been produced:

(a) A certificate of pedigree stating where it was foaled, the name (if any), pedigree, age, sex, colour of the horse and any mark by which it may be distinguished, signed by the official Stud Book authority of the country in which the horse was foaled, or such other evidence as is prescribed by the Committee of the National Arabian Racehorse Association and

(b) A certificate of identification stating the age, colour, sex and any marks by which the horse may be identified signed by a Veterinary Surgeon in the Commonwealth approved for this purpose by the Committee.

AAR.23. A duplicate Document of Identification may be issued by the Registrar on payment of the prescribed fee on receipt of evidence satisfactory to him that such Document of Identification was lost, destroyed or for some other reason cannot be produced.

LEASES

AAR.24. The lessee of any horse leased for racing shall, before entering such horse for any race at an approved meeting, lodge for registration with the Secretary of the Principal Arabian Racing Authority the lease or other documents under which he claims to be entitled to the possession or control of such horse, or a true copy thereof, and, if a copy only is lodged, he shall if so required produce the original to the office of the said club. A lease document shall be sealed on behalf of a Company and in the case of a syndicate signed by its trustees.

AAR.25. If a lease be determined before the due date notice thereof shall be given in writing to the Secretary of the Principal Club with whom the lease or a copy was lodged before the horse is thereafter nominated for any race.

AAR.26. The Principal Arabian Racing Authority may refuse to accept for registration any lease, and may insist on the inclusion in a lease of provisions considered undesirable, and may prescribe a general form of lease which may be adopted with or without modification.

RACE MEETINGS

AAR.27. The Principal Arabian Racing Authority may in its absolute discretion exempt such meetings or races as they think fit from the provisions of all or any of the Rules on such conditions as they think fit.

AAR.28 (1). Before being advertised or otherwise published, the conditions of and the name of every race and the full program of every meeting shall be lodged with the Secretary of the Principal Arabian Racing Authority for approval at such time or times as may be prescribed by the Committee. The Committee may in its absolute discretion and without assigning any reason therefore refuse approval of any race or the name of any race or the conditions of any race.

(2) Notwithstanding the provisions of subrule (1), no name of any race shall, without the written permission of the National Arabian Racehorse Association, contain any one or more of the following words: (a) Derby; (b) Oaks; (c) Slipper; (d) Futurity. Provided that the names of races containing one or more of these proscribed words that are in existence on the day before the date of the operation of this subrule, shall be deemed to have the approval of the National Arabian Racehorse Assoc.

AAR.29A. Except where the Principal Arabian Racing Authority otherwise determines, a race meeting conducted by a Club on a racecourse other than that on which the Club usually conducts its race meetings, shall be deemed to be a race meeting of the same status as the race meeting would have had but for the transfer of venue.

AAR.29B. No alteration shall be made after the declaration of acceptances to the weight allotted to any horse as a consequence of -

(a) any alteration to race distance approved by the Stewards; or

(b) any race postponement for which the original fields are unaltered.

AAR.30. The value of prizes not in money must be advertised.

AAR.31. The Committee of any Club may with the approval of the Principal Arabian Racing Authority cancel or abandon any race or meeting, or may postpone the same to a day approved by the Principal Arabian Racing Authority, or other body authorized by it to allot race dates, either before or after the commencement of the meeting. In the event of cancellation or abandonment all entry and acceptance fees not already forfeited shall be returned. The Principal Arabian Racing Authority may appoint an official for the purpose of giving any approval required by this Rule and the approval of such official shall be deemed to be the approval of the Principal Arabian Racing Authority.

AAR.32. No person shall act in an official capacity as Steward, Veterinary Surgeon, Handicapper, Judge, Starter, Clerk of the Course, Clerk of the Scales, Farrier, Barrier Attendant or Timekeeper, or as Assistants or Deputies of any of the above in respect of any race in the result of which he has a pecuniary interest except where the committee otherwise determines.

AAR.33. After every race meeting the Secretary of the Club holding the meeting shall forthwith forward to the Secretary of the Principal Arabian Racing Authority a report containing:-

- (a) Names of horses which started in each race.
- (b) Particulars of age, colour and sex.
- (c) Weights carried.
- (d) Names of owners, trainers and riders and the pedigrees when known of the placed horses.
- (e) Positions of horses placed in the race.
- (f) A statement of all fines inflicted and all complaints to and decisions of the Stewards.
- (g) The name of all horses sold or claimed in any selling or claiming race.
- (h) Any overweight carried, whether it has been duly declared or not and any other information as required from time to time by the National Arabian Racehorse Association.

AAR.34. The Stewards of every race meeting shall forward a report to the Secretary of the Principal Arabian Racing Authority which shall include a statement of any action taken by them.

AAR.35. The Principal Arabian Racing Authority may divide, or authorise the Committee of a Club or the Stewards to divide, any race into two or more divisions in such circumstances and upon such terms as the Committee thinks fit.

AAR.36. No Arabian race shall be less than 900 metres.

AAR.37. No Arabian horse shall be permitted to start in any official school, trial or race until the January of its three year old year.

AAR.38. The age of a horse shall be according to the foaling date as recorded in the Arabian Stud Book by the Arabian Horse Society of Australia Ltd.

AAR.39. Arabian Group and Listed Races are those considered by the National Arabian Racehorse Association to reflect the highest standard of racing. The only Group and Listed Races which will be officially recognized for races run under these Rules are those approved and adopted from time to time by the National Arabian Racehorse Association and The International Federation of Arabian Horse Racing. These races will be published in a schedule approved by the National Arabian Racehorse Association.

AAR.39B. The official results and horse performance records for races run under these Rules are those recorded by the National Arabian Racehorse Association.

AAR.39C. (1) A person under the age of 18 years shall not have an interest whether by lease or a share in ownership or outright ownership in any racehorse.

(2) No person shall enter or cause to be entered in any race, official trial or jump-out a racehorse in which a person under the age of 18 years has an interest whether by lease or a share in ownership or outright ownership.

(3) Should any horse start in an official trial or race in contravention of subrule (2) then it may be disqualified for such official trial or race.

NOMINATIONS AND ENTRIES

AAR.40. A horse shall not run in any race except one for which it is eligible under these Rules

(1) No horse shall be entered for or run in any race except for one for which it is eligible under these Rules.

(2) A horse shall be eligible for any race only if it possesses the qualifications (if any) imposed by the conditions of the race.

(3) Any horse that runs in a race for which it was ineligible, or in which it carried less than the weight prescribed by the conditions of the race, shall be disqualified for the race.

(4) Any person who enters or runs a horse in a race for which it was ineligible may be penalized.

AAR.41. (a) The Secretary of the Club shall from time to time publish the days on which entries may be lodged with him or with any other person on his behalf to be named in such notice, and all entries shall be subject to the provisions of the Rules.

(b) Entries for all races shall be made in the name of the owner (or, if the horse is leased, the lessee) and shall be in writing signed by the owner (or, if the horse is leased, the lessee) or the trainer of the horse or the authorised agent of any of them, provided that a lessor may enter a horse under lease by him for any race to be run after the expiration of the lease. In the event of the lessee entering a horse for a race to be run after the expiration of the lease, the lessee shall not scratch such horse without the consent of the lessor (which consent shall be in writing), unless otherwise directed by the Committee provided that if such consent is refused, the lessor and not the lessee shall be liable for all future payment of fees or forfeits in connection with such entry.

(c) All entries shall be made as prescribed and, if required by the race conditions, any regulations or arrangements, be accompanied by the necessary fee.

(d) If no other hour be fixed the list of entries shall close at 4 p.m.

AAR.42 (1) A person under the age of 18 years shall not have an interest whether by lease or a share in ownership or outright ownership in any racehorse.

(2) No person shall enter or cause to be entered in any race, official trial or jump-out a racehorse in which a person under the age of 18 years has an interest whether by lease or a share in ownership or outright ownership.

AAR.43. All nominations and entries are subject to approval, and the Committee of any Club, or the Stewards, may decline to receive, or at any time after having received, reject any nomination or entry without giving any reason for so doing. If any nomination or entry be rejected under this Rule, the fees paid in respect thereof shall be refunded.

AAR.44. All declarations of forfeit, acceptance, non-acceptance, or withdrawal (sometimes called "scratching") shall be made before such time on such day as may be prescribed by the Committee of the Club. Every such declaration shall be accompanied by the necessary fee or payment (if any) in cash paid to the Secretary of the Club or person authorised by him. Any such declaration once made may not be withdrawn subject to any Rule in respect of postponed meetings.

AAR.44.A. If permission to withdraw a horse is given after the scratching deadline time and before the release of the final list of scratchings, the Stewards may at their discretion permit the next available emergency acceptor to be included in the field.

AAR.45. If the Secretary of the Club accept any entry without payment of the necessary fee or allow a horse whose subscription or stake has not been paid to start in a race he may be fined.

AAR.46. The Principal Arabian Racing Authority may direct that no Club shall receive:-

(a) Nominations by any person, or of any horse in which he is interested at the time of nomination.

(b) Nominations of any horse or horses owned by any person at the time of such direction, and may further direct that any such nominations already received be rejected.

A horse the subject of any such direction shall not be eligible to run in any race in Australia without the permission of the Committee making the direction. Provided that the Committee of any Principal Club may waive the application of this Rule within its own area in favour of a bona-fide lessee of a horse owned by a person suffering disabilities under this Rule, in which case the provisions of the rules shall apply in the same manner as if such owner was a disqualified person.

AAR.46A. (1) An attack of bleeding shall be the appearance of blood at both nostrils, irrespective of quantity, unless in the opinion of the Stewards such bleeding was caused by external trauma.

(2) If a horse suffers an attack of bleeding at any time the fact of such bleeding shall be reported by the Trainer without delay to the Stewards.

(3) If any Principal Club advises in writing that any horse has suffered an attack or attacks of bleeding such advice shall be prima facie evidence that such horse has suffered an attack or attacks of bleeding.

(4) A horse which has in the opinion of the Stewards suffered an attack of bleeding shall not without permission of the Stewards -

(a) be trained, exercised or galloped on any racecourse for a period of two months thereafter;

(b) start in any race for a period of three months, and then only after a satisfactory gallop of at least 1,000 metres in the presence of a Steward.

(5) If a horse suffers more than one attack of bleeding such horse shall be ineligible to start in any race

(6) If a horse displays blood at one nostril, the trainer shall without delay report such occurrence to the Stewards.

(7) Unless the Stewards are satisfied that the presence of blood provided for in subrule (6) was attributable to external trauma, the horse shall before racing again be required to undergo a satisfactory gallop of at least 400 metres in the presence of a Steward

AAR.46B. Following a horse suffering a bleeding attack the Stewards shall -:

- (a) record such bleeding attack and any related embargo imposed on the horse in the National Stewards Embargo Register; and also, if applicable, record such bleeding attack and any related embargo imposed on the horse in the horse's Document of Description, which shall be presented by the trainer to the Stewards as soon as possible after the bleeding attack; and
- (b) record in the National Stewards Embargo Register any subsequent permission given for the horse to resume racing; and also, if applicable, in the horse's Document of Description, which shall be presented as soon as possible by the trainer to the Stewards for that purpose.

AAR.46C. In the event of an entire horse being gelded or a female horse being spayed the owner of such horse or his agent shall, prior to nominating such horse for a race or trial, or transferring the ownership of such horse -

- (a) notify the trainer, who shall submit a stable return reporting such change if a gelding; and
- (b) notify the Stewards or the Registrar, who shall –
 - (i) record such amendment in the records of the Registrar of Racehorses; and,
 - (ii) if applicable, amend the Document of Description of the horse concerned.

AAR 47 (1) The trainer of a horse must within 48 hours of its entering or leaving his stable lodge a stable return containing such information as is required by the Principal Racing Authority; provided that if such horse has been or is to be nominated for a race or official trial such stable return must be lodged immediately.

(2) If a horse trained outside Australia is entered for a race or official trial, a stable return for such horse must be lodged with the Principal Racing Authority no later than the time for the declaration of final acceptances for such race or the time for the closing of entries for such official trial.

(3) When a stable return for a horse has been duly lodged the trainer shall immediately lodge an amended stable return when any particulars on the previous return have changed.

(4) Any trainer who fails to lodge, in whole or in part, a stable return or any amendment thereof in accordance with the provisions of this rule may be penalised and the entry of such horse for any race or official trial may be rejected or cancelled."

AAR.48. In entering a horse in several races closing simultaneously, it will be sufficient to give its name and description in one of the nominations and the name only in the others.

AAR.49. Every entry form or the stable return forming part thereof shall state -

(i) the name or names of every person or persons having any share or interest in the ownership or lease of the horse shown therein.

(ii) the name of the horse.

(iii) the name of the trainer (if any).

Provided that in the case of a leased horse it shall be sufficient to show the name or names of all the lessees of the horse and provided further that in the case of a horse nominated by a syndicate, the name of the syndicate and the nominated trustees or registered manager of the syndicate.

The nominator, trainer or any other person contravening this rule may be punished by the Stewards.

AAR.49A. No horse, if in Australia, shall be entered for or run in any race or organized trial unless:

(a) it is trained by a person licensed or holding a permit to train granted by a Principal Racing Authority or Association approved by NARA.

Provided that this rule shall not apply to a horse entered for a race the entries for which close more than 60 days prior to the advertised date for the running of such race. Further provided that this Rule shall not apply to any other race exempted under the Rules.

AAR.49B. Any person who, in the opinion of the Stewards, fails to declare any share or interest in, misrepresents or provides any misleading or inaccurate information regarding the ownership of a horse, or is a party to any breach of this rule, may be punished by the Stewards and the horse may be disqualified.

AAR.50. (1) The manager may be removed or replaced by a memorandum signed by the joint owners or lessees or syndicate members representing a majority interest in the horse.

(2) The manager of a horse shall, alone of the joint owners, lessees or syndicate members be entitled to:

- (a) enter, nominate, accept or scratch such horse for any race;
- (b) engage a jockey to ride such horse for any race;
- (c) receive any prize money or trophy won by such horse; or
- (d) act for and represent the joint owners, lessees or syndicate members in relation to the horse in all respects for the purpose of these Rules.

(3) The entry or nomination of every such horse for any race shall state thereon the name of the manager.

(4) The trainer of any such horse who enters, nominates, accepts or scratches such horse shall be deemed to have done so with the authority of the manager and all other nominators.

AAR.51. Full particulars in writing of every dealing or change of interest in respect of such horse or any appointment or change of trainer occurring after the entry and before the race for which such horse is entered shall be forthwith furnished by the nominator to the person with whom such entry was made.

AAR.51. (1) The trainer of a horse and/or the trainer's authorized representative must ensure, including by reference to the horse's Arabian Racehorse Document of Identification, that where a horse is engaged to run in any race or official trial, the horse that:

- (a) is brought to the racecourse;
- (b) is presented to start in the relevant race or official trial; or
- (c) starts in the relevant race or official trial, is the correct horse.
- (d) A trainer and/or the trainer's authorized representative who fails to comply with AAR..51(1) commits an offence and may be penalized.

AAR.52 Before a horse shall be allowed to start in a race or trial in any territory for the first time, the Stewards or a person authorized by a Principal Club may require the production, at least one hour before the start of such race or trial, of the Document of Identification of such horse and in the case of a horse nominated by a syndicate the Certificate of Registration of such syndicate.

AAR.52A. (a) No horse shall be permitted to start in a race or official trial unless one hour prior to the start the Document of Identification of such horse is produced, if required, to the Stewards, or to their duly authorized representative.

(b) Notwithstanding the provisions of subrule (a) of this Rule, the Stewards, in their absolute discretion, if otherwise satisfied as to the identity of the horse may permit it to start in the race or official trial

(c) The trainer of a horse and/or his duly authorized representative shall not permit such horse to be presented for or start in a race or barrier trial unless he has established the identity of such horse.

(d) A trainer and/or his duly authorized representative who fails to comply with the provisions of this Rule shall be liable to be punished in accordance with the provisions of the Rules.

AR.52B. (a) A licensed person who wishes to participate in racing in the territory of a Principal Club or a racing association other than that in which he last participated, shall obtain from the Principal Club or racing association concerned, or the stewards thereof, a certificate to the effect that he is under no disability.

(b) In the event of a trainer wishing to race or trial a horse in a territory of a Principal Club or racing association other than that in which the horse last raced, shall obtain from the Principal

Club or racing association concerned or the stewards thereof, a certificate to the effect that such horse is under no disability.

(c) The certificate provided for in sub-rule (a) of this rule shall be submitted to the stewards as soon as possible after the person concerned has arrived in the territory of the Principal Club to which he has been cleared, and the certificate provided for in subrule (b) of this rule shall be submitted to the stewards at least one hour before the horse concerned is to take part in its first race or trial in the new area.

(d) In the event of any non-compliance with the provisions of subrule (c) of this rule, the stewards may prevent the licensed person concerned from taking part in racing activities in their area, or may prevent the horse concerned from taking part in any race or trial in their area.

(e) The stewards, in their absolute discretion, if otherwise satisfied as to the bona fides of a licensed person or horse, may waive the requirements of subrule (d) of this rule.

(f) The stewards may punish any person who has not conformed to the requirements of this rule.

AAR.53. The nominator of a horse which has been registered as a racehorse outside Australia must provide in writing by entry closing time to the Principal Club in the area in which the horse is entered in to race:

(a) In the case of a horse which has never started in a race, a statement to that effect:

(b) In the case of a horse which had its last start in a race in an overseas country, a statement advising -

(i) the total number of starts,

(ii) the racecourse and date of each start,

(iii) the type of race and the distance,

(iv) the finishing position and the weight carried,

(v) the total of the prize money offered for each race and the amount received for winning or being placed in any such race.

Details of performance in overseas countries must be certified by an official of the controlling body of racing in the territory overseas in which such horse last raced.

AAR.54. No alteration or addition shall be made in any entry after the time fixed for closing without the authority of the Committee of the Club, or the Stewards as hereinafter provided.

AAR.55. (a) Any nomination or entry made contrary to these Rules shall be rejected as invalid, and the Committee of the Club or the Stewards may make such order as they think fit in respect of any stake or fee paid or payable; provided that the Committee of the Club or Stewards (if satisfied that the horse intended to be nominated or entered is sufficiently identified) may permit or order any error or omission whenever made in or from or relating to or affecting any nomination or entry to be corrected or made good at any time before the race in respect of which such nomination or entry was made.

(b) Any such permission may be given and any such order may be made retrospectively.

(c) Any person responsible for a nomination or entry contrary to these Rules may be punished by the Principle Arabian Racing Authority or Stewards.

AAR.55 1.. The nominator of a horse for a Group or Listed Race which is to be run within the next 30 days who decides that his horse will not start in such race shall immediately after that decision is made withdraw the horse from the race concerned.

AAR.56. A horse shall be eligible to run in any race only if it possesses at the time of starting the qualifications (if any) imposed by the conditions of the race.

AAR.57. (1) No horse shall be entered for a race for which it is ineligible.

(2) Any horse that runs in a race for which it was ineligible, or in which it carried less than the weight prescribed by the conditions of the race, shall be disqualified from the race.

AAR.57A. (1) A horse that is totally blind in one eye is ineligible for any race, official trial or trackwork.

(2) A horse that has partially impaired vision is ineligible for any race, official trial or trackwork unless the Stewards are satisfied on specialist veterinary evidence that the impairment does not constitute a danger to such horse or other participants in a race, official trial or trackwork.

(3) In the event of a horse being suspected of being blind or having impaired vision the owner of the horse or his agent shall as soon as practicable notify the Stewards, who shall then ensure that -

(a) details of the horse's impaired vision and any related embargo are recorded in the National Stewards Embargo Register; and

(b) if applicable, details of the horse's impaired vision and any related embargo are recorded and certified by the relevant veterinary surgeon on its Document of Identification.

(4) Any person who, in contravention of subrules (1) or (2) of this rule, enters or runs a horse in a race or official trial or permits a horse to engage in trackwork, or fails to notify the Stewards as required by subrule (3), commits a breach of these Rules unless he proves to the satisfaction of the Stewards that he was not aware, and should not reasonably have been aware, that the horse has the blindness or impaired vision visual specified in this rule.

AAR.57B. (1) A horse that has had a limb neurectomy is ineligible to race.

(2) Notwithstanding the provisions of subrule (1) of this rule, a horse that had a palmar digital neurectomy prior to 1st September 1999 is eligible to race provided that prior to 1st September 1999 the details of the surgery undergone have been endorsed on the Document of Identification for the horse, and the Stewards have received and accepted from a qualified veterinarian a written certificate of fitness of the horse to race.

(3) In the event of a horse undergoing neurectomy surgery the owner of the horse or his agent shall as soon as practicable notify the Stewards who shall then ensure that -

(a) details of the surgery and the horse's ineligibility to race are recorded in the National Stewards Embargo Register; and,

(b) if applicable, the Document of Identification for the horse is endorsed with details of the surgery and the horse's ineligibility to race.

AAR.57C. A horse which has had a tracheostomy, with or without a tracheotomy tube inserted, will be ineligible to trial or race.

AAR.57D. If at any time the Stewards have reason to doubt the fitness of any horse to race they may declare such horse ineligible to race until such time as its fitness is established by such trial or test or examination as they may specify.

AAR.57E. (1) No mare or filly shall race or take part in any trials or trackwork after day 120 of its pregnancy.

(2) A trainer shall notify the Stewards in writing as soon as practicable –

- (a) the pregnancy of any mare or filly in his charge; and
- (b) the date of service of such mare or filly.

AAR.57F. A horse that has been subjected to a firing procedure in Australia is ineligible to race.

(2) In the event of a horse being subjected to a firing procedure the *owner of the* horse or his agent shall as soon as practicable notify the Stewards, who shall then ensure that –

(a) details of the procedure and the horse's ineligibility to race are recorded in the National Stewards Embargo Register; and,

(b) if applicable, the Document of Description of the horse is endorsed with details of the procedure and the horse's ineligibility to race.

AAR.57G.1 No person, without the permission of the Stewards, may stomach-tube, attempt to stomach-tube, cause to be stomach-tubed or be a party to the stomach-tubing of a horse which is engaged to be run in:

(a) a race: at any time on the day of the race prior to the start of that race or during the one Clear Day prior to the day of the race; or

(b) an official trial or jump-out: at any time on the day of official trial or jump-out prior to the start of that event or during the one Clear Day prior to the day of the official trial or jump-out.

For the purpose of this rule, "stomach-tubed" and variations of that term means any application to a horse of a naso-gastric tube.

(2) Any horse that has been stomach-tubed contrary to the provisions of AAR.57G.1 (a) or (b) may be prohibited from starting in any relevant race, official trial or jump-out.

(3) Any horse that has been stomach-tubed contrary to the provisions of AAR.57G.1 may be disqualified from the relevant race in which it started.

AAR.57H. A horse that has been subjected to any form of shock wave therapy shall be ineligible to race or take part in any trial in the seven days following such treatment.

AAR.57i. (1) Upon the death of a horse, whether it has been included in a stable return, or has been retired, or was yet to race, the owner or trainer of the horse or their authorized agents, or any other person in charge of the horse at the time shall report the occurrence to the Stewards and the Registrar of Racehorses as soon as practicable.

(2) In the event of the deceased horse being included in a current stable return the trainer shall as soon as practicable lodge an amended stable return in relation to the death.

(3) Any person who fails to comply with the provisions of subrules (1) and (2) commits a breach of these Rules unless he proves to the satisfaction of the Stewards that he was not aware, and could not reasonably have been aware, of the death of the horse.

AR. 57J. (1) The following animal diseases or conditions are declared to be notifiable, and must be notified and dealt with in accordance with subrules (2) to (8) of this rule:

African horse sickness
Borna disease
Contagious equine metritis
Dourine
Epizootic lymphangitis
Equine encephalomyelitis (Eastern and Western)
Equine encephalomyelitis (Venezuelan)
Equine encephalosis
Equine herpes-virus 1 (abortigenic and neurological strains)
Equine infectious anaemia
Equine influenza
Equine piroplasmosis (Babesiosis)
Equine viral arteritis
Getah virus
Glanders
Hendra virus
Japanese encephalitis
Potomac fever
Screw-worm fly - New World (*Cochliomyia hominivorax*)
Screw-worm fly - Old World (*Chrysomya bezziana*)
Strangles
Surra (*Trypanosoma evansi*)
Trichinellosis
Warble fly myiasis
West Nile virus infection

(2) A person who owns or is in charge of, or has in his possession or control, a horse which the person suspects or should reasonably suspect is infected with a notifiable disease or condition, and who does not, as soon as possible after he should have suspected or became aware that the horse is infected, report the fact to the Principal Racing Authority in that State or Territory by the quickest means of communication available to the person is guilty of an offence.

(3) A person who owns or is in charge of, or has in his possession or control, a horse which the person suspects or shall reasonably suspect is infected with notifiable disease or condition must as far as practicable keep that horse separate from other horses or animals not so infected. A person who contravenes this subrule is guilty of an offence.

(4) If they reasonably suspect any premises, place or area to be contaminated with a notifiable disease or condition, the Stewards may by order in writing declare it to be an infected place. Such written notice of an order declaring any premises, place or area to be an infected place must be given to the owner or person in charge or in apparent control of the premises, place or area to which the order relates.

(5) If they reasonably suspect any vehicle to be contaminated with a notifiable disease or condition, the Stewards may by order in writing declare it to be an infected vehicle. Such written notice of an order declaring a vehicle to be an infected vehicle must be given to the owner or person in charge or in apparent control of the vehicle to which the order relates.

(6) Any person (other than a person expressly authorised to do so by the Stewards) who brings, moves, takes or allows any person to bring, move or take any animal, fodder or fitting into, within or out of any such premises, place, area or vehicle, declared under subrules (4) or (5), or who causes, permits or assists any vehicle to enter or leave any such premises, place or area is guilty of an offence.

(7) Without limiting their powers, the Stewards may attach conditions to an authorisation referred to in subrule (6) including conditions that the animal, fodder, fitting or vehicle to which the authorisation relates - must first be disinfected to the satisfaction of the Stewards and in a manner specified by the Stewards before leaving or being taken out of the infected place or infected vehicle; and must not go or be brought to any other premises or place where any specified animals, fodder or fittings are located.

(8) The Stewards may give any direction or order with respect to bio-security precautions that shall be taken by any person on licensed premises, or any person handling or riding racehorses.

(9) An order made under this Rule comes into effect on the day it is made.

AAR.57.J.(2) . A horse suffering from an infectious disease shall not be brought on a racecourse or training track.

AAR.58. The name of any horse disqualified by a Principal Arabian Racing Authority may be struck out of any engagements by the Secretary of any Club who has received any entry of such horse.

AAR.59. No person who has once subscribed to a stake shall be allowed to withdraw except as provided by these Rules.

AAR.60. A race shall be void when there is not more than one entry and forfeits and entrance moneys shall be returned.

AAR.61. It shall not be competent for the nominator of a horse in any subscription or entry to use any name other than his own, unless it is an assumed name which is registered by the Principal Club of the territory in which such horse is raced, or the syndicate name where the horse is owned or leased by a syndicate.

AAR.61A. (1) No person shall enter or cause to be entered a horse in a race with the primary purpose of affecting the weight to be allocated to any other horse entered in such race.

(2) No person shall declare or cause to be declared any horse as an acceptor for a race with the primary purpose of affecting either the weight allocated to any other horse that is accepted for such race or the total number of horses accepted for such a race.

(3) Any person who, in the opinion of the Stewards, is guilty of a breach of this rule may be penalized and the nomination or acceptance for the horse may be rejected or cancelled.

(3) If in the opinion of the Stewards there has been a breach of subrule(1) of this Rule, the Stewards may, if practicable, direct the Handicapper to reissue a set of revised weights.

SYNDICATES

AAR.62. A horse shall not be entered or raced except by;

(a) A natural person, or a combination of not more than ten natural persons.

(b) A syndicate.

(c) A combination of one or more registered syndicates and/or natural persons totaling in all not more than ten.

Provided the syndicate has been registered in accordance with the Rules of Racing and complies with the Regulations.

AAR.62A. For the purpose of these Rules the word syndicate shall mean and include any one of the following owning or leasing a racehorse -

- (a) A company (as defined in AAR.1).
- (b) A combination of persons not exceeding twenty.
- (c) A firm (as defined in AAR.1).
- (d) A stud (as defined in AAR.1) which has been registered as a syndicate in the name of the stud.

AAR.62B. Every natural person who has a right to participate or an interest (whether actual, prospective or contingent) with a combination of persons not exceeding twenty:

- (i) In any undertaking or scheme relating to the racing of one or more horses; or
- (ii) In any common enterprise in relation to one or more horses in which he is led to expect benefit or advantage from the enterprise or the promoter of it; or
- (iii) In any arrangement in relation to one or more horses which in substance involves the investment of money in circumstances in which he will or may have an interest in one or more horses or any benefit or advantage from the racing of it;

shall be deemed to be a member of a syndicate and the syndicate shall be deemed to be the owner or lessee and to enter or race (as the case may be) the relevant horses.

AAR.62C. A horse may be entered or raced by a syndicate which has been registered on or after the 1st day of August, 1995, provided that the legal possession of the horse has been vested in a manager or that a company registered as a syndicate has appointed under seal a registered manager.

AAR.62D. A natural person only shall be nominated as the manager of a syndicate.

AAR.62E. The number of syndicates a natural person, company, firm or stud, shall be a member of or have an interest in may be determined by the Regulations.

AAR.62F. Application to register a Syndicate shall be made by lodging with The Principal Arabian Racing Authority a written application containing such information as is prescribed by the Regulations. No Syndicate name shall be registered of used which has not been approved by the Principle Arabian Racing Authority and the Registrar.

AAR.62G. The National Arabian Racehorse Association may from time to time make Regulations (in these Rules called "the Regulations") making such provision in relation to syndicates as it may deem appropriate in relation to the formation, conduct and termination of syndicates, and otherwise howsoever in respect of them. Without limiting the generality of the foregoing, it may make Regulations in respect of the following:

- (i) The information to be furnished to the Principal Arabian Racing Authority in relation to the registration of a syndicate;
- (ii) The form of Certificate of Registration to be issued in respect of a syndicate, the person to whom it is to be issued, and the person to have and retain the possession of the certificate;

- (iii) The representation of a syndicate for the purposes of the Rules of Racing;
- (iv) The name in which a syndicate may be registered and the powers of the Principal Arabian Racing Authority to register or refuse to register or require the alteration of the name of a syndicate;
- (v) The information to be furnished to the Principal Arabian Racing Authority in relation to each additional horse to be entered or raced by a syndicate;
- (vi) The renewal or registration of a syndicate;
- (vii) The details respecting a syndicate which are to be published in the *Racing Calendar*;
- (viii) The mode and circumstances of cancellation of registration of a syndicate;
- (ix) The service of notices upon or communications with a syndicate and the members of it;
- (x) The termination of a syndicate and matters relating thereto;
- (xi) Procedures upon any change in membership of a syndicate;
- (xii) The fees to be paid in relation to a syndicate.

AAR.62H. No syndicate may be registered or continue to be registered if and while any horse owned or leased by the syndicate is under disqualification or if and while any member thereof is a person whose interest in any horse would, under the Rules, operate to prohibit such horse from being entered for or started in any race.

AAR.62i. Notwithstanding anything in these Rules, the Principal Arabian Racing Authority may at any time call upon the trustees or registered manager of a syndicate to show cause why the registration of the syndicate should not be cancelled or suspended.

AAR.62J. Every person or company committing a breach of any of the Rules relating to syndicates or of the Regulations made in relation thereto or who fails to comply with any of the requirements thereof may be punished by the Principle Arabian Racing Authority or the Stewards who may also disqualify any horse owned or leased by the syndicate.

AAR.62K. Every member of a syndicate shall in all respects and for all purposes be bound by these Rules and the Regulations irrespective of the nature or extent of the interests or rights of such members in the syndicate, and the provisions of any rules or constitution governing the syndicate or any agreement or stipulation as between the members of the syndicate.

AAR.62L. The Principal Arabian Racing Authority shall not have any responsibility for the due observance by the persons concerned of any syndicate agreement or any other instrument relating to a syndicate or for the performance of any legal or equitable obligations of any members of a syndicate.

AAR.62M. If but for the provisions of this Rule a horse would under these Rules be ineligible for a race by reason of the interest of a person who is a member of a company, combination, firm or stud, registered as a syndicate which is the owner or lessee of such horse and such horse has started in a race at a meeting or is nominated for a race at a meeting, then the Committee of the Club conducting the meeting or the Stewards officiating at it may (after considering the circumstances associated with that ownership leasing or membership and such other circumstances that they may consider relevant) rule that the horse was eligible for the race in which it started or is eligible for the race for which it is nominated, whereupon notwithstanding anything in these Rules, the horse will be eligible for the race, but if the horse has won or wins prize money the proportion of that prize to which such person would

otherwise be entitled shall be retained by and become the property of the Club conducting the meeting.

AAR.62N. In cases where a syndicate has owned, part owned or leased a horse, and that syndicate now wishes to own, part own or lease a subsequent horse, a separate notification must be lodged in respect of each subsequent horse and each notification must be accompanied by the fee prescribed from time to time by the National Arabian Racehorse Association. No Application to Register a Racehorse, endorse a Transfer or record a Lease will be accepted unless accompanied by the separate notification form, together with the prescribed fee.

AAR.62.0. (i) Any person or persons wishing to make an offer to promote shares in a racehorse or racehorses must hold a Dealer's Licence issued by the Australian Securities Commission.

(ii) Prior to an offer of shares being made to the public, the holder of a Dealer's Licence must be recorded in the Register of Promoters held by the Principal Arabian Racing Authority.

(iii) Promoters must obtain approval from the Principal Arabian Racing Authority for each Promoter's Disclosure Statement prior to an offer being made.

(iv) The Principal Arabian Racing Authority shall notify the same to the Registrar of Racehorses within fourteen (14) days.

SYNDICATE REGULATIONS

(a) The members of a syndicate may apply to the Principal Arabian Racing Authority for approval to race a horse.

(b) Application shall be made in writing in the prescribed form signed by all members of the syndicate and where a company is an applicant or member of a syndicate under the seal of such company and shall be accompanied by:

(i) a copy of the syndicate agreement (except where a company is the sole applicant);

(ii) an address to be the registered address for the syndicate;

(iii) the prescribed fee;

(iv) in the case of a firm or business name a copy of the Certificate of Registration of same and any renewal thereof.

(c) The syndicate agreement shall be in a form prescribed by the Principal Arabian Racing Authority and shall contain the following:

(i) the name and address and date of birth of each member and the share of each member in the horse;

(ii) a statement setting out all financial arrangements agreed between the members and in particular the method of calculating and the timing of payment of any contributions due from members toward racing, training and other expenses;

(iii) provision for the appointment of a manager in whom the legal possession of the horse is to be vested for the syndicate;

(iv) a declaration that each member has read the Australian Arabian Rules of Racing and the regulations concerning syndicates and interests in horses to which the application is made relating thereto, and that except for traffic convictions involving a fine, had not been convicted of any criminal offence;

(v) a term imposing on a manager in whom legal possession of the horse is vested an obligation to keep proper books of account and to send to each member of the syndicate a copy of the accounts each and every three months and to send each member an audited copy of the annual accounts;

(vi) any other provisions that the Principal Arabian Racing Authority considers desirable either generally or in particular cases.

(d) An application for approval by a company to race a horse shall be made in the prescribed form and shall be accompanied by copies of:

(i) Certificate of Incorporation;

(ii) if the company has a Constitution, that Constitution;

(iii) the name and address of each director and his date of birth;

(iv) the name and address of each member;

(v) the address of the registered office;

and by the prescribed fee.

The Principal Arabian Racing Authority may in its discretion and to the extent it considers appropriate dispense with the requirement to submit the names and addresses of members.

(e) The Principal Arabian Racing Authority shall have complete discretion whether to approve any syndicate as the owner or lessee of a horse or any members thereof as lessees or manager of a horse for the syndicate or in the case of a company the Registered manager thereof but it shall refuse to approve a syndicate of which any member is a disqualified person.

(f) Every syndicate agreement approved by the Principal Arabian Racing Authority and any other instrument that the Principal Arabian Racing Authority considers desirable either generally or in a particular case shall be registered by the secretary of the Principle Arabian Racing Authority. The name of every company and of every person approved to be the Registered manager for a company and the manager of any syndicate not being a company shall be registered by the secretary of the Principal Club and published in the *Racing Calendar*. A Certificate of Registration shall be issued to the manager of every syndicate.

(g) The Principal Arabian Racing Authority may in its absolute discretion at any time and without assigning any reason suspend or cancel the registration of a syndicate. Without prejudice to the foregoing registration shall be cancelled if:

(i) any member registered manager director or officer of the syndicate is or becomes a disqualified person or a person whose interest in a horse would, under these Rules, operate to prohibit the horse from being entered for or starting in a race;

(ii) the manager of the syndicate or any member thereof fails to supply to the Principal Arabian Racing Authority or its Stewards within a stipulated time such information as the Committee (or the Stewards at the direction of the Principle Arabian Racing Authority) may require;

(iii) being a company registered alone as a syndicate:

(a) a winding up order is made or a receiver, receiver and manager or official manager is appointed in respect of it.

(b) any Registered manager for or officer of the company fails to supply to the Principal Arabian Racing Authority or its Stewards within a stipulated time such information as the Committee (or the Stewards at the direction of the Committee) may require.

(h) The registration of a syndicate will not be affected by the fact that:

(i) a member of the syndicate other than the manager of the horse for the syndicate has disposed of the whole or part of his share since the agreement was registered, provided that no share of any one such member is disposed of more than once in any period of 28 days and provided that notification of each such disposition signed by the transferor and the transferee and containing a declaration by the transferee that he possesses a copy of the syndicate agreement and that he has read these Rules and the Regulations concerning syndicates and interests in horses by which the syndicate is registered relating thereto is lodged the Principal Arabian Racing Club within seven days of the disposition; or

(ii) a member of the syndicate has died, provided that written notification of death is lodged with the Principal Arabian Racing Authority Club within 28 days; and provided further that if the Principal Arabian Racing Authority by notice sent to the registered address of the syndicate calls for a new formal agreement to be lodged with the Principal Arabian Racing Authority for approval, then at the expiration of 28 days after the date of the notice or such other period that the notice may prescribe the registration of the agreement previously lodged shall be cancelled;

(iii) there has occurred any changes of the directors and of the shareholders of a company registered as a syndicate or of the members of a syndicate not being a company providing that notification thereof shall be made to the Principal Arabian Racing Authority within 28 days and shall be published in the *Racing Calendar* provided however the Principal Arabian Racing Authority may in its discretion and to the extent it considers appropriate dispense with this requirement.

(i) (1) The registration of a syndicate may be cancelled by the Principal Arabian Racing Authority upon written application by the manager of the syndicate or a company registered as a syndicate if the Principle Arabian Racing Authority is satisfied:

(a) that the manager has given written notice, addressed to each member, at their last known respective address by means of a certified mail letter of the application;

(b) after the expiration of one month from such notice having been given, members holding an interest of not less than twenty-five percent in the syndicate have given notice in writing to the Committee of the Principal Arabian Racing Authority of their objection.

(2) The registration of a syndicate shall be cancelled by the Principle Arabian Racing Authority if the syndicate has so resolved by resolution passed by members holding an interest of at least seventy-five percent in the syndicate.

(j) Notice of the suspension cancellation or reinstatement of the registration of a syndicate shall be given in the *Racing Calendar* and that publication shall be deemed sufficient notice to all members and other persons.

(k) Application for renewal of registration of a syndicate shall be made annually prior to the 1st August. The syndicate shall provide such information as the Committee of the Principal Arabian Racing Authority requires and shall pay the prescribed renewal fee.

DEATH OF NOMINATOR

AAR.63. (a) If a horse be entered or nominated for a race and the nominator shall die after such entry and before the race, such entry shall not become void, but the representatives of the person so dying, or the person or persons who become entitled to the horse in consequence of such death, or any purchaser of the horse from such representatives, or from the person or persons so becoming entitled to the horse as hereinbefore mentioned, shall, within such time in each particular case as the Committee consider reasonable, register with the Secretary of the Principal Club of the territory in which such race is to be run the fact of such change of ownership.

(b) Thereupon the said representatives, or person or persons so becoming entitled as aforesaid, or the said purchaser shall, subject to the approval of the Principal Club be regarded as the nominator of such horse and shall become entitled to the same rights and benefits and subject to the same liabilities and payments as the person who made such entry had he continued to live.

(c) Unless or until such change of ownership is registered every horse for which any forfeit is unpaid after its nominator's death shall be placed in the Forfeit List without mentioning the nominator's name.

(d) If a person enter or run for any race any horse previously nominated by a deceased nominator he shall be considered thereby to have taken upon himself all such horse's engagements, his name shall be substituted for that of the nominator, he shall be liable for all forfeits on account of such horse, and his name may be placed in the Forfeit List in respect thereof.

STAKES AND FORFEITS

AAR.64. A person entering a horse for a race thereby becomes liable for the entrance money and stake or forfeit; but no forfeit or sum which falls due after the death of such horse shall be payable.

AAR.65. (1) The Stewards may order the withdrawal of any horse from a race if, 45 minutes before the time appointed for the starting of the race or such earlier time as may be specified by the race conditions, there remains unpaid:

(a) any subscription, stake or fee which, in accordance with the race conditions or any arrangements established by the Principal Arabian Racing Authority is required to be paid before the race; or

(b) any arrears due from any person for such horse; or

© any arrears due for the same or any other horse from any person by whom such horse is wholly or partly owned, or in whose name or under whose subscription it is entered.

(2) In this Rule, "arrears" include

- (a) any sums payable as subscriptions, fines, fees, stakes or forfeits in respect of any race at the same or any other meeting conducted under the Rules of the Principal Arabian Racing Authority; and
- (b) any sum in respect of which a person has been declared a defaulter or placed on the Forfeit List.

AAR.66. The Committee of any Club shall not be responsible to the winner of any race for the stakes or forfeits therein, except in cases where the same may be made payable at the time of closing entries.

THE FORFEIT LIST

AAR.67. A Forfeit List shall be kept at the office of the Principal Arabian Racing Authority, and shall from time to time be published in the Calendar and transmitted with all additions thereto to other Principal Clubs, Associations and such other Clubs or bodies as the Principle Arabian Racing Authority may think fit. It shall include all due and unpaid subscriptions, fines, fees, stakes, forfeits (except fees payable on entry) and prize money recoverable and unpaid and shall state the names and also the assumed name (if any) of the persons from whom, and the horses (if any) in respect of which the same are due. Fines, subscriptions, fees, stakes, forfeits and prize money recoverable and unpaid which have been placed in the Forfeit List must be paid directly into the office of the Principal Club, and until so paid they shall not be removed from the List. Forfeits and other liabilities herein mentioned incurred at any meeting in any other territory or country may be included in the list by the authority of the Principle Arabian Racing Authority.

AAR.68. (a) So long as any person is in the Forfeit List, he shall be subject to the same disabilities and penalties as are declared by Rule 174 to apply to persons who are disqualified.

(b) So long as any horse is in the Forfeit List, such horse shall not be entered or run for any race, or be trained upon any course where these Rules are in force.

AAR.69. If a horse which, or nominator of which is in the Forfeit List, be entered for any race, the person entering such horse may be fined.

SALE WITH ENGAGEMENTS

AAR.70. In the following rule reference to sale includes a gift or other transfer of ownership or, where the context permits, a lease.

AAR.71. (a) In the absence of any agreement to the contrary, horses shall be taken to be sold with their engagements. Such sale shall be registered in accordance with the Rules and the transfer of the engagements shall be submitted to the Committee of the Club or to the Stewards for approval. If such approval be granted the vendor's liability shall cease and the purchaser shall thereupon become liable for all payments in respect of such engagements.

(b) If the approval of the Committee or Stewards shall not have been obtained as aforesaid with respect to any engagement within fourteen days of the vendor having requested the purchaser in writing to obtain such approval the vendor may upon payment of all forfeits then due strike the horse out of such engagements.

TRAINERS

AAR.74. A person training horses visiting the territory of a Principal Club may train a horse in that territory if he is licensed as a trainer by, the Principal Arabian Racing Authority or a Turf Authority recognized by the Principal Arabian Racing Authority, or a racing body in another country having powers similar to those of the Principal Arabian Racing Authority. Any such licence or permit to train shall be produced on request. Provided that this Rule shall not without the consent of the Principle Arabian Racing Authority apply to:-

(a) Any person whose licence or permit to train has been revoked, or whose application for a trainer's licence or permit has not been granted by the Principal Arabian Racing Authority; or

(b) Any person to whom in the opinion of the Principle Arabian Racing Authority the provisions of this Rule should not apply.

AAR.74A. Any person training horses under the provisions of AAR.74 shall comply with the conditions of licence .

AAR.74B. A trainer who does not ordinarily reside in the territory of the Principal Club where he has a race horse or race horses trained by him and in training within the territory of the said Principal Club shall be deemed to be personally in charge of such race horse or horses at all times. He may from time to time notify the said Club in writing of the name of a licensed person who is for the purpose and for the period notified to be left in charge thereof and he shall do so for any period during which he is not personally within the territory of the said Principal Club. The person so nominated must be a person licensed by the Principal Arabian Racing Authority who himself has consented in writing to be so nominated. Both the trainer and his nominee shall be bound by all the rules and regulations of the Principal Arabian Racing Authority.

AAR.74C. Every horse competing at a race meeting shall be attended at all times while it is on the course at such meeting. In the event of a breach of this Rule the trainer may be penalized.

AAR.74.D. A trainer must ensure that every horse in his care that is being led or ridden outside his stable premises on a public roadway or thoroughfare prior to sunrise wears a rug or other gear with reflective strips and that its rider or attendant wears a reflective vest of a design approved by the Stewards. Any person breaching this rule or found responsible for a breach of this rule may be Penalized

AAR.74E. (1) Any person commits an offence if he has in his possession or on his premises any substance or preparation that has not been registered or labeled, or prescribed, dispensed or obtained, in compliance with the relevant State and Commonwealth legislation.

(2) The Stewards may take possession of any substance or preparation mentioned in subrule (1), and may use it as evidence in any relevant proceedings

AAR.74F. (1) A trainer shall not, without having made written application and obtained the consent of the Stewards, stable any horse trained by him in any location other than his registered stable address as notified on his current licence renewal or application form.

(2) Any person found in breach of this rule may be penalized and the nomination of the horse concerned may be refused.

AR.74G. (1) A Principal Racing Authority may license up to three persons to train as a training partnership.

(2) Persons who train as a training partnership share all responsibilities, duties, obligations and rights provided by the Rules in relation to the training of racehorses.

(3) A person who is licensed to train as a member of a training partnership shall not train as an individual or in another training partnership in Australia or elsewhere.

(5) A minimum number of horses as determined by the relevant Principal Racing Authority shall be trained by a training partnership.

(6) If one person in a training partnership commits a breach of the Rules then all persons in the training partnership shall be deemed jointly and severally responsible and may be penalized accordingly.

(7) Subrule (6) may not apply if a person satisfies the Stewards that the relevant breach of the Rules does not relate directly to the training of racehorses.

(8) A trainer must inform the Stewards in writing prior to withdrawing from or dissolving a training partnership. Upon receipt of such advice, the Stewards may order that horses trained by the partnership shall not race, official trial or jump-out until they are satisfied that such horses are being trained in accordance with the Rules.

JOCKEYS AND RIDERS

AAR.75. (1) No person shall ride in a race held under these Rules unless he holds the appropriate qualification granted in accordance with the Rules of the Principal Racing Authority or another turf authority approved by NARA

(2) the Stewards of a meeting may grant permission to ride to any visiting rider at such meeting who holds a licence or permit to ride from the Principal Racing Authority or an Association in the territory from which he comes, and who produces a certificate that he is not disqualified or suspended from the Principal Racing Authority or Association under the jurisdiction of which he last rode.

(3) A visiting rider who holds a licence or a permit to ride issued by an overseas racing authority may be permitted to ride, subject to any conditions or restrictions a Principal Racing Authority or its stewards may in their discretion impose.

(4) It shall be a condition precedent to the granting under this Rule of any licence or permit or permission to ride that the applicant undertakes to submit, prior to, during or after fulfilling his riding engagement in any race, official trial jump-out or riding trackwork to any tests that are intended to detect in his body the presence of any alcohol or drug or its metabolites or artifacts.

(5) For the purposes of AAR.75 (4) a urine sample provided by a rider shall only be declared free of any substance banned by AAR.875 B if the sample contains a creatinine concentration of 200mg/L or greater. In the event that a rider provides a urine sample which does not contain this concentration, the rider shall be required to deliver a further urine sample or samples at the direction of the Stewards

AAR.75A. (1) Any rider commits an offence and may be penalized if -

(a) a sample taken from him is found upon analysis to contain a substance banned by AAR.75B; or

(b) he refuses or fails to deliver a sample as directed by the Stewards, or tampers with or in any way hinders the collection of such sample.

(2) Any rider may be prevented by the Stewards from mounting or riding a horse in a race, official trial, jump-out, trackwork, or anywhere on a racecourse property, training facility or any other place if in their opinion, based on any information, their own observations or on medical or other competent advice, his faculties may be impaired by any substance banned by AAR.75B or by any other cause.

(3) In the event of an analysis of a sample taken from a rider pursuant to AAR.8(k) indicating the presence of a substance banned by AR.81B, or if a rider refuses or fails to deliver a sample when directed to do so, or tampers with or in any way hinders the collection of such sample, the Stewards may forthwith, pending the determination of any inquiry or other proceeding or the result of any other analysis, stand down such person from riding.

(4) In the event of a rider incurring a penalty or being prevented from riding under this rule he shall not resume riding until he delivers a sample, as directed by the Stewards, that is free of any substance banned by AAR.75B.

AAR.75B. The following substances and/or their metabolites, artifacts and isomers are declared as banned substances in riders when present in a urine sample (unless otherwise stated) at a concentration above the applicable cut-off level::

Lysergic acid diethylamide (LSD) (0µg/L);

All barbiturates (0µg/L);

11-Nor-delta-9-tetrahydrocannabinol-9-carboxylic acid (15µg/L):

All diuretics (0µg/L):

Probenecid: (0µg/L)

Alcohol (at a concentration in excess of 0.02% on a breath analyser):

All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L):

Methylamphetamine (150µg/L): Methylenedioxymphetamine (MDA) (150µg/L):

Methylenedioxyethylamphetamine (MDEA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).

Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.

All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L): Sibutramine (0µg/L).

All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L, save as specified by AR.81C): Codeine (0µg/L, save as specified in AR.81C): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L): Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L): Buprenorphine (0µg/L).

Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholcodine: Propoxyphene: Tramadol

All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).

Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).

Benzylpiperazine (500 µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).

Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphamethyltryptamine: hydroxydimethyltryptamine and related substances)

All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Temazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100 µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam (0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

AAR.75C. Notwithstanding the provisions of AAR.75B, when codeine and/or morphine are detected in a sample taken from a rider then the sample shall be deemed not to contain codeine and morphine if:

- (a) the total codeine and morphine concentration is less than 2000 Sg/L; or
- (b) the total codeine and morphine concentration achieved in confirmatory testing is in the range 2,000 to 15,000µg/L inclusive and at least one of the following applies:
 - (i) the codeine to morphine ratio contained in the sample is greater than 1.0; or
 - (ii) the rider satisfies the Stewards that there is no illegal use of opiates or opioids by the rider.

AAR.75D. The Stewards may stay in whole or in part, and for such period and under such terms and conditions as they think fit, the operation of any penalty imposed for a breach of AAR.75A. Provided that, in the event of any failure to comply with any of the terms and conditions of the stay, the Stewards may order that the penalty take effect.

AAR. 75E. Notwithstanding the provisions of AAR.75A, a Principal Racing Authority may permit a rider to receive a specified banned substance, subject to the following conditions:

- (a) the medication must be essential treatment for a substantial illness, condition or ailment suffered by the rider;
- (b) the medication must be prescribed by a medical practitioner who is a recognised specialist in the relevant field of medicine;
- (c) the specialist medical practitioner must certify -
 - (i) the nature of the illness, condition or ailment being suffered by the rider;
 - (ii) that no alternative, non-banned substance would serve the same purpose for the illness, condition or ailment concerned;
 - (iii) that the medication would not affect the rider in a race, official trial, jump-out or trackwork to the extent that it could in any way constitute a danger to the rider or other riders;
- (d) the rider must if requested submit to medical examination by a specialist medical practitioner appointed by a Principal Racing Authority to advise it on the matters raised in subparagraphs (i), (ii) and (iii) of paragraph (c) of this rule.
- (e) the rider must –
 - (i) before riding any horse make application to the Principal Racing Authority for permission to ride with a specifically prescribed banned substance in his system;

- (ii) adhere strictly to his prescribed medication, and must report to the Stewards immediately he forms the intention to discontinue or in any way vary his prescribed medication;
- (iii) report to the Stewards immediately he believes that either his illness, condition or ailment or his medication may have some influence on his ability to ride effectively and/or safely
- (iv) renew his application for exemption on each occasion on which he applies for the renewal of his licence or registration or permit;
- (f) under no circumstances shall a person be granted retrospective exemption under this rule.

AAR.75F. (1)

- (a) No rider shall present himself to ride in any race, official trial, jump-out or trackwork unless he is physically fit to fulfill the requirements of such activity.
- (b) A rider shall report to the Stewards, as soon as practicable, any injury, sickness, abnormality or condition that may affect or may have affected him in the performance of his riding duties.
- (2) Any rider may be required by the Stewards to present medical evidence or to undergo a medical or physical examination to prove his fitness to the satisfaction of the Stewards.
- (3) When a rider fails to fulfill any race riding engagement due to reasons of fitness or health then, unless otherwise permitted by the Stewards, he must gain a satisfactory medical clearance prior to arrival on course for his next race riding engagement and produce proof of such clearance to the Stewards prior to riding. Any rider who fails to do so may be penalised and/or stood down from riding.

AAR.75G. (1) A pregnant rider shall not ride in races, official trials, jump-outs or trackwork after the first trimester of her pregnancy.

- (2) A pregnant rider may ride during the first trimester of her pregnancy provided that, as soon as practicable after becoming aware that she is pregnant, she provides to the Stewards a certificate from a relevantly qualified medical practitioner that it is safe for her and the foetus for her to ride in races, official trials, jump-outs and trackwork, and that her pregnancy creates no impairment to her capacity to control a racehorse.

AAR.76. Every jockey or apprentice may be penalized --

- (a) If he misconduct himself in any way, or
- (b) If, without the consent of the Stewards and the nominator of any horse he rides or is to ride in any race, he accept or agree to accept any pecuniary or other gift or other consideration in connection with any horse in such race provided that he does not require the consent of the Stewards in respect of any pecuniary or other gift or consideration from the nominator of the horse he rides or is to ride, or
- (c) If he bet, or facilitates the making of, or has any interest in a bet on any race or contingency relating to Arabian racing, or if he be present in the betting ring during any race meeting.

AAR.77(1) A jockey licenced by the National Arabian Racehorse Association Ltd. may own or part own a horse which is in training or entered for a race only if;

- (a) the jockey is not an apprentice jockey; and
- (b) (i) the horse is owned by or leased to;
 - (a) the jockey only; or
 - (b) the jockey and the jockey's spouse and no other person; or
- (ii) the jockey is the/or a lessor but not the/or a lessee of that horse.

(2) Whenever a jockey and that jockey's spouse race a horse that jockey must be the person whose name is, or one of the persons whose names are, recorded in that behalf on the prescribed form as having the authority under these rules to enter for or withdraw or scratch the horse from any race and that jockey's name must always appear in the racebook, for any meeting for which the horse is accepted, as one of the persons in whose name the horse is raced.

(2) No jockey shall, in any race in which there runs any horse owned by that jockey or that jockey and that jockey's spouse, ride any other owner's horse.

(4) In the event of a jockey failing to comply with any of the foregoing requirements of this rule the jockey and the trainer of and every other person having any interest in the horse owned by the jockey or that jockey and that jockey's spouse, commit a breach of these rules. Either the horse owned by the jockey or that jockey and that jockey's spouse, or both that horse and the horse ridden by the jockey may be disqualified from any race in which it or they started during any such breach and it or they may also be disqualified for such further period as the judicial committee thinks fit.

AAR 77(2) A trainers licence may be issued to a licenced jockey at the discretion of the National Arabian Racehorse Association Ltd. who may impose such conditions as they deem necessary.

AAR.78A. (1) All engagements for any apprentice jockey to ride in races shall be approved by his master or by his master's duly appointed representative.

(2) No person shall act in the capacity of riders agent unless he has been licensed in that capacity.

(3) With the exception of licensed stablehands, no person otherwise licensed may be licensed as a riders agent.

(4) No jockey, apprentice jockey or the master of an apprentice jockey shall authorise any person to be his riders agent unless such person has been licensed in that capacity.

(5) Any riders agent who without the permission of the stewards enters any restricted area on a racecourse on raceday may be removed therefrom and may be penalised.

(6) A Principal Racing Authority shall publish in its *Racing Calendar* a list of the persons it has licensed as riders agents.

AAR.78B. Any jockey or apprentice jockey may be penalised if, in the opinion of the stewards, he fails or refuses to fulfil a race riding engagement. Provided that the stewards may penalise also any person responsible for an apprentice jockey who, in their opinion, contributed to such apprentice jockey committing a breach of this rule.

AAR.79. Riders must wear thoroughly clean and appropriate dress.

AAR.79A. No rider shall in any race, official trial, jump-out or in trackwork wear any apparel or use any equipment which has not been approved by the stewards.

AAR.79B. Every rider when riding a horse shall wear footwear approved by the Stewards.

AAR.80. Every licensed or registered person or permit holder shall when mounted on a horse wear a properly affixed helmet which conforms to one of the standards that have been approved by the Australian Racing Board.

Note: Pursuant to AR.87 the Australian Racing Board has ordered that -

(a) The following standards are approved by the Australian Racing Board:

(i) AS/NZS 3838 2003: (ii) United States (US) ASTM F11 63-01;

(iii) British Standards (BS) EN 1384/1996 onwards.

(b) all helmets must be fitted with a nylon interlocking chinstrap clip attachment.

(c) all helmets must be clearly marked with a date of manufacture.

AAR.80A. (1) While being ridden every horse shall be properly bridled and saddled and every saddle used in official trials, jump-outs, tests or trackwork shall be equipped with safety irons of a design approved by the Stewards. Provided that in official trials and jump-outs if a rider wears race boots the saddle shall be equipped with race irons.

(2) While being led outside the confines of any stable premises every horse shall have a bit in its mouth, which bit shall be attached to a lead.

(3) Every person leading or attending a horse shall wear fully enclosed and substantial footwear of a standard approved by the Stewards.

AAR.80AA. (1) Every rider shall be responsible for the care and condition of his helmet.

(2) A helmet is not regarded as serviceable and must be immediately replaced by the rider when (a) a period of 5 years has expired since its date of manufacture, or

(b) it sustains a severe impact, or

(c) the wearer suffers from concussion following a fall.

(3) The Stewards may at any time take possession of a helmet for inspection and may at their absolute discretion confiscate any helmet that does not comply with the requirements of this rule.

AAR.80AAA. Every licensed or registered person or permit holder shall when mounted on a horse during darkness have affixed to his helmet a safety warning light of a type approved by the Stewards. Provided that this Rule does not apply to any location where Stewards have ruled that sufficient artificial lighting exists.

AAR.80B. (1) Every rider shall when mounted on a horse wear a properly fastened safety vest the standard of which has been prescribed by Order of the Board. Provided that every such safety vest shall be in a satisfactory condition and shall have attached to it a manufacturer's label that states that it complies with the relevant standard prescribed by the Board.

(2) The Stewards may confiscate or order the satisfactory repair of any safety vest that does not comply with the requirements of subrule (1).

Note: Pursuant to AAR.80B, the Australian Racing Board has ordered that the following standards of safety vests are prescribed: ARB Standard 1.1998; SATRA Jockey Vest standard; European Standard EN 13158 level 1.

AAR.80C. Any rider who has been found guilty of a breach of AAR.80 or AAR.80B may be penalized. Provided that the master and/or any other person who was in charge of an apprentice jockey at any relevant time may also be penalised unless he satisfies the Stewards that he took all proper care to ensure that the apprentice complied with the rule/s.

AAR.80D. Any rider required by these rules to wear a safety vest may be penalized if he wears or has in his possession any safety vest that has been modified in any way.

AAR.81. Riders may use spurs provided they are blunt and of a type approved by the Stewards.

AAR.82. Any person betting with or for a jockey or apprentice or giving or offering a rider any pecuniary or other gift or consideration contrary to these Rules may be penalized. [*amended*]

AAR.83. In the absence of a special agreement registered with the Principal Racing Authority the fees of jockeys and riders shall be prescribed by the Principal Racing Authority.

AAR.84. Any rider who has a riding engagement at any race meeting shall be present in the jockeys room no later than 45 minutes before the advertised starting time for the first race in which he has a riding engagement and, unless otherwise permitted by the Stewards, shall thereafter remain in the jockeys room until he has completed his riding engagements, when he shall seek the permission of the Stewards to leave the jockeys room.

AAR.85 . Every rider when riding a horse shall have the length of the stirrups adjusted to a length that allows the rider to grip with their knees.

AAR.86 . Every rider when riding a horse must ride with the ball of their foot in the irons.

AAR.86A The rider of each horse shall take all reasonable and permissible measures throughout the race to ensure that a safe distance between horses is maintained.

RIDERS FEES

AAR.86B. (1) Rides at race meetings:

(a) Owners/Trainers must pay the fees as published in the Prescribed Fee Schedule to jockeys and riders in consideration for their riding in races conducted by the Club.

(b) If a horse (other than an emergency acceptor) is withdrawn before Final Scratching Time a rider who has been declared to ride such horse must be paid an engagement fee of an amount equal to the relevant riding fee payable in accordance with the Prescribed Fee Schedule.

(c) If a race is abandoned, no riding fee is payable to any rider engaged to ride in the race unless the rider has been officially weighed out to ride in such race.

(2) Fee for official trials:

The fees payable to jockeys and apprentices for rides in official trials conducted on any Course are as prescribed by the Directors and published in the Prescribed Fee Schedule as published from time to time by the Principle Thoroughbred Club in each State.

APPRENTICES ALLOWANCES

AAR.87. (1) Any apprentice entitled under the Rules to ride in races may claim, in accordance with the following scales, a weight allowance in such races on the flat as the Local Rules of a Principal Racing Authority permit.

(2) For races run in a Metropolitan Area as defined by the Local Rules of any Principal Racing Authority:

If he has not ridden 20 winners on the flat in a

Metropolitan Area 3kg If he has not ridden 50

winners on the flat in a Metropolitan Area 2kg

If he has not ridden 80 winners on the flat in a Metropolitan Area 1.5Kg

Provided that for the purposes of this rule a winning ride in a Group or Listed race shall be deemed to be a winning ride in a Metropolitan Area.

Further provided that no apprentice jockey may claim a weight allowance in any Group or Listed race.

Further provided that, notwithstanding the provisions of subrules (2), (3) and (4), an apprentice may claim a weight allowance of 4 kilograms until he has ridden 5 winners on the flat; on condition that a Principal Racing Authority may except its territory from the application of this further proviso.

(2) For races run in a Provincial Area as defined by the Local Rules of any Principal Racing Authority:

- If he has not ridden 20 winners on the flat in a Metropolitan Area and/or a Provincial Area 3kg
- If he has not ridden 50 winners on the flat in a Metropolitan Area and/or a Provincial Area 2kg
- If he has not ridden 80 winners on the flat in a Metropolitan Area and/or a Provincial Area 1.5kg
- For races run other than in a Metropolitan Area or a Provincial Area: If he has not ridden 20 winners on the flat 3kg
- If he has not ridden 50 winners on the flat 2kg
- If he has not ridden 80 winners on the flat 1.5kg

(3) No apprentice may claim a weight allowance outside the Metropolitan Area greater than the allowance he is entitled to claim within the Metropolitan Area.

(4) An apprentice may claim during a race meeting the same allowance to which he was entitled when the acceptances for that race meeting officially closed.

(5) No horse shall have its weight reduced below 52kg by reason of any allowance.

(6) For the purposes of calculating the weight allowance for an apprentice all dead-heats for first place shall count as winning mounts.

(7) All winning mounts ridden by an apprentice on the flat before his apprenticeship shall be included as winning mounts.

(8) A winning rides book shall be issued to every apprentice, and it shall be the responsibility of the apprentice and his master to ensure that it is in the possession of the apprentice at every race meeting he attends, and that winning mounts are entered in his winning rides book and endorsed by a steward before the apprentice leaves the racecourse on any day on which he has ridden a winner or winners.

(9) No apprentice shall claim an allowance to which he is not entitled and any horse that has been ridden in a race by an apprentice whose weight in the race has been adjusted by an allowance to which he is not entitled may be disqualified for the race.

(10) Except with the permission of the Stewards, every apprentice must claim his full allowance, and any apprentice who fails to do so commits an offence and may be stood down for such ride.

(11)(a) Subject to paragraph (b) of this subrule winning rides in flat races held outside Australia shall be regarded for the purpose of this rule as winning rides in an Australian Metropolitan area.

(12) Any apprentice and/or his master may be penalized for any breach of this rule and any person concurring in or conniving at such breach may also be penalized.

RIDING SKILLSPANELS

AAR.87A. (1) A Principal Racing Authority may appoint a Riding Skills Panel for the purpose of assisting in the mentoring of and provision of remedial or technique training for riders, including jockeys, apprentice jockeys and approved riders.

(2) A rider may at any time be referred by the Stewards to the Riding Skills Panel for mentoring or such remedial or technique training as they see fit. (3) The Stewards may penalize any rider so referred who fails or refuses to attend when directed or fails or refuses to comply with or to fulfill any reasonable direction of the Riding Skills Panel.

(4) The Stewards may suspend or limit in any way a rider's permission to ride in races if they find that any aspect of his race riding technique, method or practice may be a hazard to himself or other riders, or may be contrary to the requirements of horse welfare.

STABLEHANDS AND APPRENTICES

AAR.88. Any person, other than a jockey, employed by an owner or trainer on a raceday must be licenced by a principle club as a stable hand.

AAR.90. Any apprentice leaving his or her employment without consent of his or her master or without just cause and any trainer or owner engaging or keeping such apprentice in his or her service may be punished.

AAR.91. Any person who shall be proved to the satisfaction of the Committee or the Stewards to have tampered with any stablehand or apprentice may be punished.

RETAINERS

AAR.92. No retainer shall be recognized unless it be in writing signed by the parties and lodged at the office of the Principle Arabian Racing Authority.

AAR.93. Employers retaining the same jockey have precedence according to the priority of their retainers.

AAR.94. If a jockey be prevented from riding by disqualification or suspension any person who has retained him may cancel the retainer.

AAR.95. In the absence of special agreement, a jockey's retainer shall be terminable by three months' notice in writing on either side, and not otherwise; but the Principle Arabian Racing Authority may at any time release an owner or jockey from a retainer for any cause appearing to them sufficient and on such terms as they think fit.

AMATEURS

AAR.96A Any rider licensed with a Thoroughbred Principle Club as an amateur/picnic/approved rider or licensed by the National Arabian Racehorse Association is considered under these rules to be an amateur rider.

(1) No person shall be eligible to ride as an amateur:

(a) If he receives or shall have received any fee or reward in money or be or have been in any way reimbursed for his services for riding in any race, show or competition save for reimbursement of reasonable out-of-pocket expenses the amount of which shall be in the discretion of the Stewards.

(b) If he shall at any time have been disqualified or suspended; (provided that if the disqualification or suspension shall have been removed or if the term shall have expired the Principal Racing Authority may on application grant the applicant permission to ride as an amateur).

(c) If, in the opinion of the Stewards, he is not a fit and proper person to ride as an amateur; or

(d) If he has been prohibited by the Principal Racing Authority from riding as an amateur.

(2) The Stewards may at any time call on any person who rides as an amateur to show that he is qualified under this Rule.

AAR.96B Any approved or amateur rider who has a riding engagement at any race meeting shall not at such race meeting make or have an interest in a bet, or be present in the betting ring.

AAR.96C. An amateur or approved rider who owns a horse entered in a race shall not without the permission of the Stewards accept an engagement to ride another horse in that race.

AAR.97. An amateur shall not ride in any race except one restricted to amateurs without the consent of the Principal Racing Authority or the Steward

AAR.97(a) Amateur riders may carry a whip in accordance with Regulation AAR.131 but must not use their whip except where a failure to do so may result in endangering any jockey, horse or other individual. Use of the whip may, at the discretion of the Stewards, result in disqualification.

GENERAL RULES FOR RACES IN THE ARABIAN PATTERN

AAR.98C. Every race in the Arabian Pattern must conform to the following rules:

1. It must have no indigenous conditions, and must accept runners from any country, from any country of training, providing that they are registered with the WAHO stud book of their country of origin.

2. All Arabian group races recognized by the Arabian Pattern will be identified by their group level followed by the suffix "PA".

No country member can have the right to use the term IFAHR or the suffix PA associated with the name of a group race, unless it has been awarded by the Arabian Pattern Race Committee.

3. It must have a permanent element within the race title, to which a prefix or suffix may be added.

4. It must justify its grouping by the quality of its runners, assessed primarily by its Pattern Race Rating

5. A Pattern Race Rating is the average of the Annual Ratings achieved by a race over a three-year period. The Annual Rating is the average of the official ratings, as agreed by the Committee, of the first four horses to finish in any given year. In addition, the actual weigh allowance received by any fillies and mares finishing in the first four in open competition will be added to their official ratings for the sole purpose of calculating Annual Ratings.

The Pattern Race Ratings below are the standard which a race should achieve to justify Pattern status:

Group 1 PA	Group 2 PA	Group 3 PA
115	110	105

For certain races, however, other parameters will apply as follows:

4-y-o's or older fillies and mares' races

Gp1 PA	Gp2 PA	Gp3 PA
110	105	100

3-y-o races (other than fillies' events)

Gp1 PA	Gp2 PA	Gp3 PA
110	105	100

3-y-o fillies' races

Gp1 PA	Gp2 PA	Gp3 PA
105	100	95

6. Group 1 races must be run with no penalties or allowances other than a sex allowance and a weight-for-age allowance where applicable.

7. Geldings may be eligible for all Pattern races.

2 DEFINITION OF CHANGES TO THE EUROPEAN PATTERN

For the purposes of the Ground Rules, changes are defined as:

1. Any change to the Group level of a Pattern race.
2. Any change to the distance, age or sex restriction, venue or surface of a Pattern race.
3. Any change to the permanent element in the name of a Pattern race.
4. The introduction of a new Pattern race.
5. The deletion of an existing Pattern race.

3. RULES GOVERNING EUROPEAN PATTERN RACE CHANGES

(a) Upgrading of Existing Pattern Races and the Creation of New Pattern Races

The following rules shall apply to the upgrading of Pattern races per Group and admission of new races to the Pattern system, and shall be waived only in exceptional circumstances and with unanimous agreement. In the case of a decision requiring approval from a majority of the Committee, the country submitting the proposal shall not have a vote. In the event of a tie, the proposal shall be rejected.

1. To qualify for upgrading or admission to the Pattern a race must have been run with similar race conditions, for at least the last three years.
2. An application for upgrading a race which has been abandoned once within the last three years may only be submitted if both of the last two Annual Ratings of the race meet the required parameter
3. A race shall be eligible for promotion if the race in question achieves both a Pattern Race Rating and an Annual Rating for the last year in excess of the applicable parameter.
4. An existing Pattern race satisfying the requirements for upgrading may be upgraded by only one Group in any one year.
5. The upgrading of any race already in the Pattern must be approved by the majority of the Committee.
6. A race satisfying the requirements for admission to the Pattern must be introduced as a Group 3 PA race.
7. The promotion of a race to Group 3 PA must be approved by a majority of the Committee.

(3b) Downgrading or Deleting Pattern Races

The following rules shall apply to the downgrading/deletion of Pattern Races

1. For All Pattern races

- If the Pattern Race Rating is more than 3lb below its parameter:

Warning letter to be issued to the relevant Racing Authority.

- If the Pattern Race Rating is more than 1.5kg below its parameter for a second consecutive year: Any downgrade shall be decided by majority vote unless the provisions for an automatic downgrade, as detailed below, apply.

2. Automatic downgrade

- If the Annual Race Rating is more than 1.5kg below its parameter for each of the last three years: Automatic downgrade unless a material change in conditions (i.e. distance, age, sex

restriction, or date) is proposed in which case it may be given another one year in which it must achieve the required Annual Race Rating or it will be automatically downgraded.

3. The Committee must be informed at the Annual Meeting of any voluntary downgrading or deletion of a Pattern race by the country concerned.

(c) Exemption

In assessing upgrades, admissions, downgrades (except in the case of automatic downgrades) or deletions of races to or from the Pattern, the Committee may additionally take account of the ratings of the four highest rated horses in any race as well as the first four to finish.

- (d) The following rules shall apply to any other amendments defined as changes to

Pattern races:

1. Any change to the distance, age or sex restriction, or venue of a Pattern race must be approved by the majority of the Committee.
2. Any change to the date of a Group 1 PA race must be approved by the majority of the Committee, as well as unanimously by the neighbor member countries if such a change would involve a clash liable to have adverse effects on an existing Group 1 PA race.
3. Any change of surface, any change to the permanent element in the name of a Pattern race should be approved by the majority of the Committee.⁵

4. LISTED RACES

A race that is sponsored with a minimum prize money of \$23,000 can apply for a Listed PA race status if the race is run under the Pattern Race system conditions without indigenous condition. The promotion of the Listed PA race to a Group PA race will follow the general rules for upgrading races.

5. WEIGHT-FOR-AGE AND SEX ALLOWANCES

The Committee aims to achieve greater standardization of the weight-for-age and sex allowances in all member countries.

6. NOTIFICATION & IMPLEMENTATION OF CHANGES

1. All Group PA race proposals for the following year must be notified to the Committee by September 1st for immediate circulation to all member countries. This requirement shall be observed strictly so as to ensure time for proper consideration of the proposals before the Annual Meeting of the Arabian Pattern Race Committee in the first week of October.
2. Applications for upgrades/promotions must be completed in full and returned to the Committee as soon as all the information is available. The Committee may reject any Pattern race application returned with incomplete information.
3. Until agreement has been reached by the Committee, there must be no official statement to the media of any proposed changes to the Pattern.
4. Any change to these general rules must be approved unanimously by the Supervisory Committee.

ARABIAN WEIGHTS, PENALTIES AND ALLOWANCES

AAR.98a. The Standard Weight-for-Age, expressed in kilograms, for flat races shall be from 1st August 2010 in accordance with the following schedule:

STANDARD WEIGHT FOR AGE FOR FLAT RACES - SCHEDULE													
MONTH	AGE	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JULY
Over 1000m to 1200m	3						55	55.5	56	56.5	57	57.5	58
	4	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5
	5+	58.5	58.5	58.5	58.5	59	59	59	59	59.5	59.5	60	60
Over 1200m to 1400m	3						54.5	55.5	56	56.5	57	57.5	58
	4	58.5	58.5	58.5	59	59	59	59	59	59	59	59	59
	5+	59	59	59	59	59	59	59.5	59.5	60	60	60	60
Over 1400m to 1600m	3						54	55	56	56.5	57	57.5	58
	4	58.5	58.5	58.5	59	59	59	59	59	59	59	59	59
	5+	59	59	59	59.5	59.5	60	60	60	60	60	60	60
Over 1600m to 2000m	4	58	58	58	58.5	58.5	58.5	59	59	59	59	59	59
	5+	59	59	59	59	59	60	60	60	60	60	60	60
Over 2000m to 2400m	4	57.5	57.5	57.5	58	58	58	58.5	58.5	58.5	59	59	59
	5+	59	59	59	59	59	60	60	60	60	60	60	60
Over 2400m to 3200m	4	57.5	57.5	57.5	58	58	58	58.5	58.5	58.5	59	59	59
	5+	59.5	59.5	59.5	59.5	59.5	60	60	60	60	60	60	60

Fillies and Mares allowed 2.kg from 1 August - 31 July

A weight allowance of 2kg to fillies and mares shall apply to all set-weight and set-weight-and-penalties races other than those races that are restricted to fillies and mares.

WEIGHTS, PENALTIES AND ALLOWANCES

AAR.100. Handicap Ratings are between 1 – 140

AAR.100. (1) The top weight allocated for handicap flat races must not be less than 60 kilograms, except for Group 1 handicap races for which the allocated top weight must not be less than 62 kilograms.

(2) Notwithstanding the provisions of subrule (1), if at the declaration of acceptances for a handicap flat race the weight allocated to the highest-weighted acceptor (including any extra weight by way of re-handicap or penalty) is less than 60 kilograms, then allocated weights for the race must be increased until the highest-weighted acceptor is weighted at 60 kilograms.

(3) The minimum weight allocated for handicap flat races must not be less than –

(a) 54 kilograms for Group 1 handicap races

(b) 55 kilograms for Group 2 handicap flat races;

(c) 56 kilograms for all other handicap flat races.

Provided that the Principal Racing Authority concerned may approve applications made by racing clubs 3-year old horses in open-age handicap races to be allocated lower minimum weights than those prescribed by paragraphs (a), (b) and (c) of this subrule.

AR.101. No horse shall receive an allowance of weight or be relieved from extra weight, for having been beaten in one or more races; but this rule shall not prohibit an allowance to maidens, or the holding of races under the conditions of which the weights allotted to horses depend on whether or not they have won a race, or whether they have or have not won one or more races of a particular kind.

AAR.102. The conditions of a race shall not contain any provision that a horse shall carry extra weight for having run second, or in any lower place, in any race or races.

AAR.103. Allowances and extra weights shall not be affected by performances in matches or private sweepstakes.

AAR.104. Penalties are not cumulative unless so declared by the conditions of the race.

AAR.105. The handicapper shall append to the weights for every handicap the date and hour of his declaration of such weights.

AAR.105A. (1) The Stewards may, subject to subrule (2) of this rule, within any reasonable time, permit the handicapper to amend the allotted weight of any horse in a handicap race.

(2) The Stewards may allow the handicapper to amend the allotted weight of a horse only if they are satisfied that the allotted weight was incorrect because of:

(a) a clerical error at the time of release of such weights, or

(b) incomplete or inaccurate information on the performances, age, sex or identity of any entry, or

(c) an error by the handicapper in the assessment of the age or sex of any entry.

(3) The handicapper may, with the permission of the Stewards and before the declaration of acceptances, issue a substitute set of weights for a handicap race only when -

(a) a correctly nominated horse was not included in the original weights, or

(b) the original weights are not in accordance with the conditions for the race.

(4) Notwithstanding the foregoing provisions of this rule, an error in the allotted weight of any horse in a weight-for-age, set-weight or set-weight-and-penalties race may be corrected at any time.

AAR.106. Any prize not in money shall be estimated at its advertised value.

(a) When horses run a dead-heat for first place each of such horses is liable to carry extra weight as winner of that race. Each such horse shall be deemed to have won in respect of such race the proportion of such prize payable to its nominator subject only to the deductions authorized by this Rule, and any extra weight shall be calculated accordingly.

(b) If, however, in the conditions of a race a certain penalty or a certain weight has to be carried for winning a race specified by name, each horse running a dead-heat for such race shall carry the penalty or weight so fixed as if he had won outright.

(c) For the purpose of calculating the value of prize money earned in other countries by a horse which is entered for an Australian race, the rate of exchange shall be used which was current on the first working day of January of the year in which such prize money was earned, as determined by a trading bank nominated by the National Arabian Racehorse Association Ltd.

AAR.106A. If the winner of any race is found by the Stewards or Committee to have been ineligible, or is subsequently disqualified for the race, the eligibility or weight of any other horse shall not be affected in respect of any other race run prior to such finding.

SCRATCHING

AAR.107. (1)(a) Subject to subrule (2) of this rule notice of withdrawal of a horse from any race shall be given to the Secretary of the Club or other official authorized to receive the same at least forty-five minutes before the time appointed to start such race, or such earlier time as the Local Rules may provide.

(b) Such notice shall be given in writing by the nominator or trainer or by the authorized agent of either of them.

(c) If no such notice be given the Stewards may nevertheless permit or order the withdrawal of the horse and may penalize the nominator or the trainer or both.

(2)(a) Where a horse has been accepted for races to be run on the same day in different states or territories the nominator or trainer of the horse, unless he has the express permission of the Stewards, must by 9.00am on the day prior to the day of the race give to an official authorized to receive same, notice of the withdrawal of the horse from the race for which the horse has accepted. but will not start.

(b) If no such notice is given the stewards may nevertheless permit or order the withdrawal of the horse and may penalize the nominator or the trainer or both.

(c) For the purposes of paragraph (a) nominator or trainer includes an authorized agent of either of them.

AAR.108. In the event of the postponement of a race or meeting to another day, scratchings made on the day on which such race or meeting as the case may be was to have been held shall be deemed to

be void and the time for scratching extended to the prescribed scratching time on the day on which the race or race meetings is held.

AAR.109. If the Stewards order a race to be re-run, they may allow any horse to be withdrawn from the race up to fifteen minutes before the time appointed for the race to be re-run.

AAR.110. (1) All horses engaged to be run in any race shall be brought into the saddling paddock at a time provided for by Local Rule and shall remain there until ordered to proceed to the starting post.
(2) At any time after the designated time for horses to be brought to the saddling paddock, the Stewards may call on the nominator or trainer to satisfy them that their horse will start, and if the Stewards are not so satisfied, or the nominator or trainer cannot be found, the Stewards may order the withdrawal of the horse and penalize the nominator and trainer or either of them.
(3) No horse that has competed in a race shall, without the consent of the Stewards, be removed from the saddling paddock within half an hour of the finish of such race.

WEIGHING OUT

AAR.111. When calculating a rider's weight in weighing-out and weighing-in –

- (a) no account shall be taken of fractions of a half kilogram, and,
- (b) the following items shall be included by the rider in the weight:
 - (i) any item of clothing worn by the rider, excluding the helmet, goggles, other face protection and gloves;
 - (ii) the saddle, lead bag and associated packing, excluding the saddle cloth;
 - (iii) any other gear attached or to be attached to the saddle.

AAR.111A. A rider or any other person shall not, without the permission of the Stewards, add to, remove from, or change any equipment with which the rider has been weighed-out

AAR.111AA. (1) When weighing-out for any race every rider must secure in his lead bag or saddle pouch any lead or other weight.
(2) All lead or other weight must be carried in the saddle or lead bag pouches and must be securely fastened therein.

AAR.111B. To compensate for the wearing of safety gear in races, other than such safety gear which is not allowed in the scales, the weight of all riders shall be calculated at one kilogram less than the weight that is registered on the scale at both weighing-out and weighing-in.

AAR.111C. It shall be an offence for any rider -

- (a) to in any way manipulate or attempt to manipulate the wearing of a safety vest to gain an unfair weight advantage in a race; or
- (b) to weigh-out or attempt to weigh-out for a race or ride in any race when wearing an approved or permitted safety vest that has been modified in any way.
- (c) to weigh-out or attempt to weigh-out or ride in any race unless he is wearing an approved or permitted safety vest

AAR.111D. If a horse runs in a muzzle, martingale or breastplate, these must be put in the scale and included in the rider's weight.

AAR.111E. No saddlecloth, scull cap, whip or substitute for a whip, blinkers, visor blinkers, winkers, ear muffs, nose bands, nose rolls, tongue ties, mesh eye protectors, plates or anything worn on the horse's legs shall be allowed in the scales, either on weighing-out or weighing-in.

AAR.112. If a rider after being declared is prevented by accident or illness or other cause from riding, the Stewards may permit another rider to be substituted.

AAR.113. (a) If a rider intends to carry overweight in a race, he must declare the amount of his overweight to the Clerk of the Scales. If such overweight is half a kilogram or more the rider must

first obtain the permission of the Stewards to carry such extra weight. The Clerk of Scales shall exhibit all overweight outside the weighing room.

(b) If a rider, after having been declared is found to have accepted a ride for which he is overweight, such rider may be penalized and another rider may be substituted at the allotted weight, or nearer to the allotted weight.

AAR.114. Nominators and trainers are responsible for their horses carrying all proper weight and penalties, and in all cases where penalties have to be carried for winning, or allowances are claimed, the nominator, trainer or rider must notify the same to the Clerk of the Scales before the rider is weighed out.

AAR.115. A rider shall mount the horse to be ridden by him in any race within such enclosure or place as the Stewards may appoint, and shall not without leave of the Stewards leave the jockeys room or such enclosure before proceeding to the starting post.

AAR.116. After a rider has left the jockeys room to ride in a race, and until he dismounts if not required to weigh in, or until he weighs in if so required,

(a) No person other than the trainer or nominator, or their authorized agent, or an official in the course of his duties, or during the race another rider, shall except by leave of the Stewards, Judge or Starter, speak to or communicate in any way with such rider.

(b) No other person save an official in the course of his duties or the trainer prior to the race shall except by leave of the Stewards, Judge or Starter touch the rider, or his horse or any of its equipment.

(c) The rider shall not except by leave of the Stewards, Judge or Starter, speak to or communicate in any way with any person other than the trainer or nominator, or their authorized agent, or an Official in respect of his duties, or another rider during the race.

STARTING

AAR.117. Every horse unless otherwise permitted by the Stewards shall be presented in the mounting yard no later than fifteen minutes prior to the advertised start time.

AAR.118. Every horse shall parade and proceed, without delay, to the start as directed by the Stewards.

AAR.119. Every race shall be started by the Starter or such person appointed by the Principal Racing Authority, Committee of the Club or the Stewards in accordance with these rules

AAR.120. The Starter may give all such orders and take all such measures as he considers necessary for securing a fair start and shall report to the Stewards any rider who disobeys his orders or attempts to take any unfair advantage.

AAR.121. (1) Every rider shall ensure that his horse occupies its allotted barrier stall that is in the respective order as previously determined by the barrier draw.

(2) If any horse starts from an incorrect barrier stall, the Stewards prior to the declaration of correct weight may confirm the official order of placings, declare the race to be void, or declare any horse concerned a non-starter.

AAR.122. An open barrier or flag start must be specifically authorized by the Stewards, whereupon the Starter may remove any unruly horse from the place allotted by the barrier draw; and in such case he shall place it at such a distance to the outside of, or behind, the other runners where it cannot gain any advantage for itself, or cause any danger or prejudice the chances of any other horse; or if he considers it necessary he may recommend its withdrawal by the Stewards.

AAR.123. If the start is from barrier stalls, no horse may start outside the barrier stalls and any horse which refuses to enter its barrier stall after all reasonable efforts have been made to place it therein, or any horse which becomes unduly fractious after being placed in its stall it may be withdrawn by the Stewards who may make such orders as are considered appropriate in respect to betting on such event.

AAR.124. If a race be started from the incorrect starting position the Stewards may declare such race void and may further order that such race be re-run on that day.

AAR.125. The Starter may signal a false start if he considers -

- (a) the barrier stalls have malfunctioned,
- (b) a horse has broken through the barriers before he had effected the start, or
- (c) for any reason, a fair start had not been effected.

AAR.125A. In the event that a false start has been signaled by the Starter and/or an official appointed for the purpose each rider must immediately restrain his mount and return to the starting point without delay.

AAR.126. Unless a false start has been signaled by the Starter or the official appointed for the purpose all riders shall ride their mounts so as to fulfill their obligations under AAR.128(b).

AAR.127. The decision of the Stewards shall be final and conclusive upon any question of whether a start has been effected or whether a horse is declared a non-starter.

AAR.127A. If in the opinion of the Stewards any horse was riderless at the time a start was effected, or was encumbered by equipment applied with the permission of or at the direction of the starter, or if a horse was denied a fair start and such occurrence materially prejudiced the chances of that horse finishing in first, second or third placing, the Stewards may declare such a horse to be a non-starter and may make such order regarding betting as provided for separately in the Rules of Betting. Provided that a horse which is ultimately declared first, second or third placing in a race shall not be declared a non-starter.

RUNNING

AAR.128. (a) Every horse shall be run on its merits.

(b) The rider of every horse shall take all reasonable and permissible measures throughout the race to ensure that his horse is given full opportunity to win or to obtain the best possible place in the field.

(c) Any person who in the opinion of the Stewards has breached, or was a party to breaching, any portion of this Rule may be penalised, and the horse concerned may be disqualified.

(d) Any person who:

- (i) in the opinion of the Stewards, has breached, or was a party to breaching, subrule 128(a); and
- (ii) has a lay bet or an interest in a lay bet on the subject horse and/or has a bet or an interest in a bet on another horse in the subject race, must be penalized in accordance with AAR.196(5).

AAR.128A. When by or on behalf of a trainer, any instruction is given to, or arrangement made with the rider of a horse engaged in a race that the horse be ridden in the race in a manner different from the manner in which the horse was ridden at its most recent start or starts, it shall be the responsibility of the trainer or his duly authorized agent to notify the Stewards of any such instruction or arrangement as early as practicable but not later than when the order to mount is given by the Stewards prior to the race. Upon receipt of that notification the Stewards may make any public release in respect thereof as they deem to be appropriate.

AAR.129. (1) If a horse -

- (a) crosses another horse so as to interfere with that, or any other horse, or
 - (b) jostles, or itself, or its rider, in any way interferes with another horse or its rider, unless such jostle or interference was caused by some other horse or rider -
- such horse and any other horse in the same nomination may be disqualified for the race.

(2) If a placed horse or its rider causes interference within the meaning of this Rule to another placed horse, and the stewards are of the opinion that the horse interfered with would have finished ahead of the first mentioned horse had such interference not occurred, they may place the first mentioned horse immediately after the horse interfered with.

- (3) When a horse or its rider is found by the Stewards to be guilty of causing interference in any part of a race, the horse shall be placed behind the horse or horses with which it has interfered or placed last in the order of finish.
- (4) The rider of each horse shall take all reasonable and permissible measures throughout the race to ensure that a safe distance between horses is maintained.

AAR.130. Any rider may be penalized if, in the opinion of the Stewards,

- (a) He is guilty of careless, reckless, improper, incompetent or foul riding
- (b) He fails to ride his horse out to the end of the race and/or approaching the end of the race.
- (c) He makes any celebratory gesture prior to his mount passing the winning post.
- (d) He excessively slows, reduces or checks the speed of his horse thereby causing interference, directly or indirectly, to any other horse in the race.

AAR131. Use of the Whip

- 1(a) Only padded whips of a design and specifications approved by a panel appointed by the Australian Racing Board may be carried in races, official trials or jump-outs.
- (b) Every such whip must be in a satisfactory condition and must not be modified in any way.
- (c) The Stewards may confiscate any whip which in their opinion is not in a satisfactory condition or has been modified.
- (d) Any rider who has been found guilty of a breach of this subrule may be penalized. Provided that the master and/or other person who is in charge of an apprentice jockey at the relevant time may also be penalized unless he satisfies the Stewards that he took all proper care to ensure the apprentice complied with the rule.
- (2) Only whips of a design and specifications approved by a panel appointed by the Australian Racing Board may be carried in a race, official trial, jump-out or trackwork.
- (3) When using the whip, both hands must remain holding the reins,
- (a) the reins cannot be crossed and held in one hand.
 - (b) the hand used to control the whip must remain in contact with the reins.
 - (c) use of the whip is restricted to the girthing area through to the shoulder and lower neck area.
 - (d) the tip of the whip is not to be raised above the horses wither.
 - (e) when changing the whip hand, the whip cannot be used until both hands are in contact with the reins.
- (4) The Stewards may penalize any rider who in a race, official trial, jump-out or trackwork, or elsewhere uses his whip in an excessive, unnecessary or improper manner.
- (5) Without affecting the generality of subrule (4) of this rule, the Stewards may penalize any rider who in a race, official trial or jump-out uses his whip -
- (a) forward of the horse's lower neck or in the vicinity of its head; or
 - (b) using an action that raises his arm above shoulder height; or
 - (c) when his horse is out of contention; or
 - (d) when his horse is showing no response; or
 - (e) after passing the winning post; or
 - (f) causing injury to his horse; or
 - (g) when his horse is clearly winning ; or
 - (h) has no reasonable prospect of improving or losing its position, or
 - (i) in such manner that the seam of the flap is the point of contact with the horse, unless the rider satisfies the Stewards that this was neither deliberate nor reckless.
- (6) (a) In a race, official trial or jump-out prior to the 100 metre mark:
- (i) The rider may at his discretion use the whip with a slapping motion down the shoulder, with the whip hand remaining on the reins.
 - (b) In the final 100 metres of a race, official trial or jump-out, a rider may, subject to the other requirements of this rule, use his whip at his discretion as outlined in subrule(3)
- (7) (a) Any trainer, owner or authorized agent must not give instructions to a rider regarding the use of the whip which, if carried out, might result in a breach of this rule.
- (b) No person may offer inducements to a rider, to use the whip in such a way that, if carried out, might result in a breach of this rule.
- (8) Any person who fails to comply with any provisions of this rule is guilty of an offence.
- (9) An owner or his authorized representative, trainer, rider or Steward may lodge an objection against the placing of a horse where the rider during the race contravenes AAR131A (3), (4) or (6)

AAR.131B. The Stewards may penalize any rider who in a race, official trial, jump-out or in trackwork, or elsewhere uses his spurs in an unnecessary, excessive or improper manner.

AAR.132. The Stewards may declare any race void and, if they consider it expedient, order such race to be run again on the same day.

AAR.133. (1) In any race approved by a Principal Racing Authority to be conducted outside markers, any rider may be penalized if in the opinion of the Stewards –

- (a) he permits his mount to go inside a marker;
- (b) he makes insufficient effort to prevent his mount from going inside a marker;
- (c) he causes either directly or indirectly another runner to go inside a marker;
- (d) he permits his mount to continue in the race after it goes inside a marker.

(2) The markers referred to in subrule (1) shall be of a design and placement as approved by the Principal Racing Authority.

(3) Any horse that goes inside a marker shall be disqualified for the race unless such occurrence was, in the opinion of the Stewards, caused by another horse or rider, in which case the horse so interfered with may be declared a non-starter.

(4) Any horse that interferes with or in any way causes another runner to go inside a marker may be disqualified for the race.

AAR.134. The Stewards may declare any race void and, if they consider it expedient, order such race to be run again on the same day,

AAR.135. (a) The trainer of a horse that is included in the final acceptors for a race must ensure that such horse is fit and properly conditioned to race, and shall report to the Stewards

(a) by acceptance time, any occurrence, condition, or treatment that may affect or impact on the horses performance in the race where the occurrence takes place, condition is present or treatment is administered before acceptance time;

(b) as soon as is practicable, any occurrence, condition, or treatment that may affect or impact on the horses performance in the race where the occurrence takes place, condition is present or treatment is administered after acceptance time.

(c) The owner and/or trainer and/or rider shall report to the Stewards as soon as practicable anything which might have affected the running of their horse in a race.

(d) If, after a horse which has raced has left the racecourse, the trainer of the horse becomes aware of any condition or injury which may have affected or impacted on the horses performance in the relevant race, the trainer must report the condition or injury to the Stewards as soon as practicable and no later than acceptance time for its next race engagement.

(e) Any loss or breakage of gear during a race, or any unusual happening in connection therewith, shall be reported by the owner and/or trainer and/or rider to the Stewards immediately after the race.

(f) Any person who fails to comply with any provision of AAR.135 commits an offence and may be penalised

AAR.1435.B. (1) The trainer of a horse is at all times responsible for the proper saddling and application and fitting of all gear to a horse presented for a race, official trial, jump-out or track work. (2) The trainer of a horse that is presented for a race, official trial, jump-out or track work and that has not been properly saddled or had all its gear fitted or correctly applied commits an offence and may be penalized.

AAR.136A. Any person guilty of carelessness or neglect in the saddling or applying and fitting of gear to a horse when it is presented for a race, official trial, jump-out or trackwork commits a breach of these Rules.

AAR.136B. (1) Only gear and conditions of use that have been expressly approved by the Chairmen of Stewards, and included in the National Gear Register, may be used on any horse in a race, official trial, jump-out or in trackwork. Provided that the Stewards may approve other gear to be used in trackwork.

(2) No horse shall race in any approved gear, including racing plates, listed in the National Gear Register unless permission has been obtained from the Stewards prior to acceptance time for the race concerned.

(3) When permission has been obtained in accordance with the provisions of subrule (2) of this rule such gear shall continue to be used without variation on the horse concerned in subsequent races unless permission has been obtained from the Stewards prior to acceptance time for the race concerned, or as otherwise approved or instructed by the Stewards.

AAR.137. Every horse running in a race shall carry a saddlecloth bearing a number corresponding with the number in the racebook. The cloth shall be supplied to the rider at the time of weighing out, and must be worn so that the number is clearly visible.

FARRIERS SERVICES – SHOEING OF RACEHORSES

AAR.137A. (1) Horses may be ridden with or without shoes. If a horse is to run without shoes the hooves must be in a suitable condition for racing. If a horse is shod the shoes must conform to rule AAR137.B.

(2) Prior to the acceptance time of any race for which a horse is entered, trainers must -

(a) obtain approval from the Stewards for the use of any synthetic hoof repair material, hoof pads or any non-standard, partial, modified and/or therapeutic, racing plates or tips; and

(b) notify to the Stewards any change from tips to plates, or from plates to tips.

(3) To ensure compliance with the requirements for plating as prescribed in AAR137B, the farrier's supervisor or any other person appointed by the Stewards shall be authorized to inspect all or any horses presented for racing.

(4) Any mishap to a plate or tip occurring in a race must be reported by the trainer to the Stewards without delay.

AAR.137B. (1) Plates and tips must be made of an approved material capable of being forged or moulded into shape. Tips must cover at least one third of the perimeter of the hoof.

(2) Plates and tips must not exceed 150 grams in weight, provided that upon application the Stewards may give permission for the use of approved therapeutic plates up to a weight of 170 grams.

(3) Plates and tips must be securely and properly fitted and must not protrude beyond the perimeter of the hoof. Plates must be secured by a minimum of five nails and tips by a minimum of three nails. The heads of nails must not protrude more than 2mm from the surface of the plate or tip.

(4) Forged or rolled toe and side clips are permitted provided such clips have blunt, rounded edges and do not exceed 15mm in height and 20mm in width. Steel inserts are permitted provided they are level with the surface of the plate.

(5) Bar plates are permitted, provided that the entire plate including the bar is in one piece. A bar may be welded or riveted to the plate provided that the surface of the bar is level with that of the plate.

(6) Heeled plates or caulks are not permitted in flat races. Cutting plates, grippers or any other form of plates or tips which in the opinion of the Stewards may be dangerous are not permitted.

(7) Hoof pads shall be of a material, design and weight approved by the Stewards.

WEIGHING IN

AAR.138. When a race has been run every rider shall immediately after pulling up, ride his horse to the place of weighing and when told by the Stewards so to do and not before, there dismount and the riders of the placed horses, and such other riders as directed by the Stewards, shall be weighed to the satisfaction of the Clerk of the Scales or a Steward.

Provided that if a rider be prevented by accident, illness or other cause deemed sufficient by the Stewards from riding to the place of weighing he may walk or be carried to the scales. If, in the opinion of the Stewards, it is impracticable to weigh in a rider, his horse shall not be disqualified if he weighed out correctly and the Stewards are of the opinion that he carried his correct weight.

AAR.139. If a horse carries less weight than the weight it should carry –

- (a) it shall be disqualified for the race, provided that a rider shall be allowed by the Clerk of the Scales a half kilogram for the weight of his bridle; and
- (b) notwithstanding paragraph (a), the rider and/or any person at fault may be penalized.

AAR.140. If a rider does not weigh in when required to do so, or if he touch (except accidentally) any person or thing other than his own equipment, after starting, and before weighing in, unless justified by extraordinary circumstances in doing so, he may be penalized and the horse he rode may be disqualified for that race; provided that any part of his equipment dropped after passing the post may be handed to him by the Clerk of the Course or other authorized official.

AAR.141. If a horse carries more than a half a kilogram over the weight imposed or declared, the rider and any other person at fault may be penalized.

AAR.142. When all the riders required to be weighed-in have been so weighed at not less than the weight at which they weighed-out, if there has been no objection or after any objection has been determined, the Stewards shall declare correct weight and make a public announcement to that effect.

DEAD-HEATS

AAR.143. When horses run a dead-heat for first or other place, the prize money awarded in respect of each horse shall be an equal share of the total prize money that would have been awarded in respect of the horses had they finished in successive places and don't dead-heated.

AAR.144. If the nominators of a horse which run a dead-heat cannot agree who of them is to have a cup or other prize that cannot be divided, the question shall be determined by lot by the Stewards, who, if it becomes necessary, shall also decide what sum of money (if any) is to be paid by the nominator taking the cup or other indivisible prize to the other nominator.

AAR.145. Subject to the conditions of any race, each horse that divides a prize for first place shall be deemed to be a winner of a race worth the amount received by its nominator by way of money or prize.

JUDGE'S DECISION

AAR.146. Placings in a race shall be decided only by the Judge, occupying the Judge's box at the time when the horses passed the winning post.

AAR.147. A camera may be used to make photographs or images of the horses at the finish to assist the Judge in determining their positions as exclusively indicated by their noses.

AAR.148. (1) The determination of the Judge declaring a horse to have won or to have been

placed shall be final, subject only to alteration by the Stewards in accordance with these Rules; provided that the Judge may correct any mistake before the riders of the placed horses have been weighed in.

(2) In the event of the Judge being unavailable or, in the opinion of the Stewards, is or was unable, because of illness or otherwise, properly to place the horses as they pass or passed the winning post, the Stewards shall stand in the place or stead of the Judge and assume and exercise the responsibilities, powers and duties conferred on him by this Rule.

(3) Notwithstanding the terms of subrule (1), whether prior or subsequent to the declaration of correct weight, if the Stewards are satisfied on the evidence of the available prints or images that the Judge has made a mistake in the determination of the finishing order of a race, the Stewards may correct such mistake and alter the places accordingly. No alterations to the Judge's places after correct weight will have any effect on previous orders given by the Stewards as to the payment of bets.

AAR.149. The Judge shall place the first four horses in a race; or where the conditions of the race provide a fourth prize, the first five horses; or where the conditions of the race provide a fifth prize, the first six horses and so on; or such further number as the Stewards may require.

WALK-OVER

AAR.150. If a horse's rider be weighed out and the horse mounted and ridden past the Judge's box, and that horse is the only runner, it shall be deemed the winner of the race in question and shall be liable to carry extra weight as a winner.

AAR.151. In the event of a walk-over only half of any money prize due in respect of the winning horse shall be awarded, and when a prize not in money is advertised to be run for it shall be given even if walked-over for; provided that no award shall be made when in the opinion of the Stewards the walk-over is the result of any arrangement.

AAR.152. Any money or prize which was to be awarded in respect of a horse filling second or any other place shall, if no horse fills any such place, go to the Club conducting the meeting unless otherwise provided in the conditions of the race.

COURSE TELECASTS

AAR.153. No photograph, film or telecast of a race shall be exhibited or replayed at the racecourse on which a meeting is being conducted without the permission of the Stewards in charge of such meeting.

AAR.153B: No person shall, without the permission of the Stewards -

(1) transmit in any way from the grounds of a racecourse any betting odds being offered by bookmakers on any horse that is competing at a racecourse in Australia or elsewhere;

(2) while betting is taking place on the grounds of a racecourse, have turned on or use in any way at any of the following places on the racecourse any portable telephone, radio transmitter, radio transceiver or any other appliance, apparatus, instrument or equipment that is capable of receiving or transmitting information:

- (a) in the Mounting Yard;
- (b) in the Scales area;
- (c) in any other area designated by the Stewards

Notwithstanding the provisions of this subrule an owner present in the mounting yard immediately after the running of a race is permitted to use a mobile phone

(3) within the area of the jockeys room bring into, have in his possession, or use any portable telephone, radio transmitter, radio transceiver or any other appliance, apparatus, instrument or equipment capable of receiving or transmitting information.

AAR.153C: The Stewards may impound any appliance, apparatus, instrument or equipment that is used without their permission by any person in contravention of AAR.153B.

OBJECTIONS AND COMPLAINTS

AAR.154. Except as provided in AAR.158 every objection shall be in writing and shall, without the leave of the Stewards, be signed by the nominator or his authorized agent or by its trainer or rider and shall be made to the Stewards.

AAR.155. No objection on the ground of misdescription, or of error, or omission in any entry, except as mentioned in AAR.159, shall be accepted after a race.

AAR.156. No horse shall be disqualified for a race on account of any defect in regard to its registration or entry when the Stewards might reasonably have permitted or ordered the defect to be corrected if brought to their notice before the start of the race.

AAR.157. An objection may be made by a Steward or Starter in his official capacity and in the case of matters provided for in AAR.158 at any time before weight is declared.

AAR.158. (1) Any objection by the persons authorized by AAR.154 against a horse or horses, on the ground of:

- (a) an interference as provided for in AR.136(1); or
- (b) his not having run the proper course; or
- (c) the race having been run over a wrong course; or
- (d) grounds provided for in AR137A;
- (e) any other matter occurring in the race

shall be made to the Steward at scale before the riders of all placed horses are weighed-in.

(2) An objection made under paragraphs (a) or (d) of subrule (1) of this Rule shall only be made on behalf of a horse that has been placed by the Judge in accordance with AAR.149.

(3) In the event of an objection made under this Rule being deemed by the stewards to be frivolous, the person making such objection may be penalized.

(4) No person shall improperly deter or attempt to improperly deter a person qualified to object from making an objection under this Rule.

(5) No person shall improperly encourage or improperly attempt to encourage a person qualified to object to make an objection under this Rule.

AAR.159. An objection -

- (a) on the ground of fraudulent misstatement or fraudulent omission in the entry; or
- (b) on the ground that the horse which ran was not the horse, or of the age which it was represented to be, or that it was not qualified under the conditions of the race; or
- (c) that the name of such horse or of any person having an interest in such horse is in the Forfeit List or List of Disqualifications; or
- (d) that the horse was not registered in accordance with these Rules; or
- (e) that the weight carried by a horse was incorrect, may be received within 30 days of the conclusion of the meeting.

AAR.160. (1) Subject to subrule (2) if an objection to a horse that has won or been placed in a race be upheld the horse may be disqualified for the race,

(2) If an objection is lodged on behalf of a placed horse against another placed horse and the Stewards are of the opinion that had the rider of the horse objected against not been in breach of AAR131A(3) or (5) that the horse would not have finished equal or ahead of the horse on whose behalf the objection is lodged, they may place the horse considered to have been advantaged immediately after the other horse.

AAR.161. In the event of an objection having been made under AAR.157 prior to the declaration of correct weight, the Stewards shall without delay make public announcements in relation to –

- (a) the fact that an objection has been lodged;
- (b) the nature of the objection; and subsequently –
- (c) that the objection has been dismissed or upheld;
- (d) if the objection be upheld, details of any alteration to the Judge's placings;
- (e) the declaration of correct weight.

AAR.162. An objection cannot be withdrawn without leave of the Stewards.

AAR.163. If the qualification of any horse is objected to the nominator or his representative must satisfy the Stewards that the horse is eligible, in default of which the Stewards may order the horse to be withdrawn or may direct that any prize awarded in respect of such horse be withheld for a period fixed by them. If at the expiration of that period the Stewards are not satisfied that the horse was qualified, the prize shall be awarded as if that horse had not started. If the qualification of the horse is objected to after ten o'clock on the morning of the day of starting, it shall be allowed to run unless the person making the objection proves the want of qualification to the Stewards' satisfaction, in which case they shall order the horse to be withdrawn

AAR.164. Whenever an objection has been lodged, or an inquiry the finding in which may affect the placing of a horse has been instituted, or any action is taken or about to be taken which may lead to such inquiry, any money or prize due in respect of such horse may be withheld pending the consideration of such objection or inquiry.

AAR.165. In any case where money or a prize or part thereof has been paid or awarded to a person who is subsequently found by the Stewards not to be entitled thereto by reason of the disqualification of his horse or otherwise, such money or prize shall be recoverable from the recipient by the Club concerned.

AAR.166. Pending the determination of an objection to the placings of a race, the horse placed first shall be liable to all the penalties attaching to the winner of such race.

OFFENCES

AAR.167. The Principal Racing Authority or the Stewards may penalize;

(a) Any person who, in their opinion, has been guilty of any dishonest, corrupt, fraudulent, improper or dishonorable action or practice in connection with racing.

(aa) Any person, who in their opinion, engages in conduct that corrupts the outcome of a race or is intended to corrupt the outcome of a race. In this rule:

(i) conduct corrupts the outcome of a race if it:

- (a) affects or, if engaged in, would be likely to affect the outcome of any race; and
- (b) is contrary to the standards of integrity that a reasonable person would expect of persons in a position to affect the outcome of a race.

(ii) conduct means an act or an omission to perform an act.

(iii) engage in conduct means:

- (a) do an act; or
- (b) omit to perform an act.

(ii) outcome is to include any result within the race and is not to be limited to winning or placing in the race.

(b) Any person who corruptly gives or offers any money, share in a bet, or other benefit to any person having official duties in relation to racing, or to any owner, nominator, trainer, rider, or person having charge of or access to a racehorse.

(c) Any person having official duties in relation to racing, or a nominator, trainer, rider, or person having charge of or access to a racehorse, who corruptly accepts, or offers to accept, any money, share in a bet, or other benefit.

(d) Any person who willfully enters or causes to be entered or to start for any race a horse which, or the owner or nominator of which, he knew to be disqualified.

(e) The owner, nominator, and trainer of any horse entered or run in any race, official trial, or jump-out under a fraudulently false description and any person having any interest in such horse or any of them.

(f) Any owner, nominator, lessee, member of a syndicate, trainer, jockey, rider, apprentice, stablehand, bookmaker, bookmaker's clerk, person having official duties in relation to racing, person attendant on or connected with a horse, or any other person who refuses or fails to attend or give such evidence as directed at any inquiry or appeal when requested by the Principal Racing Authority or Stewards to do so.

(g) Any person who gives at any inquiry or appeal any evidence which in their opinion is false or misleading in any particular.

(gg) Any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing.

(h) Any person who administers, or causes to be administered, to a horse any prohibited substance -
(i) for the purpose of affecting the performance or behaviour of a horse in a race or of preventing its starting in a race; or

(ii) which is detected in any sample taken from such horse prior to or following the running of any race.

(hh) Any person who:

(i) uses, or attempts to use, any electric or electronic apparatus or any improper contrivance capable of affecting the performance of a horse in a race, official trial, jump-out or training gallop; or

(ii) has in his possession, any electric or electronic apparatus or any improper contrivance capable of affecting the performance of a horse in a race, official trial, jump-out or training gallop.

For the purposes of this provision where an electric or electronic apparatus has been designed to deliver an electric shock it is deemed to be capable of affecting the performance of a horse in a race, official trial, jump-out or training gallop.

(i) Any person being an owner, nominator or licensed person who by advertisement, circular, letter, or other means offers to give information concerning his own or other horses in return for any monetary or other consideration, or any person who connives at such practice.

(j) Any person guilty of improper or insulting behavior at any time towards the Committee of any Club or Association or any member thereof, or Stewards, or any official, in relation to their or his duties.

(k) Any person who has committed any breach of the Rules, or whose conduct or negligence has led or could have led to a breach of the Rules.

- (l) Any person who attempts to commit, or conspires with any other person to commit, or any person who connives at or is a party to another committing any breach of the Rules.
- (m) Any person who obstructs or in any way interferes with, or who attempts to obstruct or interfere with, the conduct of any race meeting, race, official trial or jump-out.
- (n) Any person who in their opinion commits or commissions an act of cruelty to a horse, or is in possession or control of any article or thing which, in their opinion, has been made or modified to make it capable of inflicting cruelty to a horse.
- (o) Any person in charge of a horse who in their opinion fails at any time –
 - (i) to exercise reasonable care, control or supervision of a horse so as to prevent an act of cruelty to the animal; and/or
 - (ii) to take such reasonable steps as are necessary to alleviate any pain inflicted upon a horse; and/or
 - (iii) to provide for veterinary treatment where such treatment is necessary for the horse.
- (iii) to provide proper and sufficient nutrition for a horse.
- (p) Any person who fails or refuses to comply with any order, direction or requirement of the Stewards or any official.
- (q) Any person who in their opinion is guilty of any misconduct, improper conduct or unseemly behaviour.
- (r) Any nominator, trainer or person in charge of any horse who contrary to the orders of the Committee of the Club or Stewards, fails or refuses to produce upon request a horse entered for any race at a meeting or removes such horse from the course.
- (s) Any person responsible for the use on any horse of any shoes, racing plates, equipment or gear which has not been approved, or which in their opinion is unsuitable or unsafe.
- (t) Any person who obstructs or hinders the Stewards or other official in the exercise of their powers or duties.
- (u) Any person who tampers or attempts to tamper with any means of identification of a racehorse as provided for in the Rules.
- (v) Any person who commits a breach of a Code of Practice published by the National Arabian Racehorse Association.
- (w) Any person who uses a stockwhip on a horse in any circumstances relating to racing, training or pre-training regardless of whether that horse is registered. [added 1.5.09]
- (x) Any person who in their opinion is guilty of workplace harassment of a person while the latter is acting in the course of his duties when employed, engaged or participating in the racing industry.
- (y) Any person who in their opinion is guilty of sexual harassment of a person employed, engaged or participating in the racing industry.

AAR.168.A. Any person bound by these Rules who either within a racecourse or elsewhere in the opinion of the Committee of any Club or the Stewards has been guilty of conduct detrimental to the image, or interests, or promotion of racing may be penalized.

AAR.168.B. (1) A trainer must not lay any horse that is either under his care, control or supervision or has been in the preceding 21 days.

(2) Any person employed by a trainer in connection with the training or care of racehorses must not lay a horse under the control of the trainer for whom he is or was employed, while so employed and for a period of 21 days after ceasing to be so.

(3) A nominator must not lay any horse that is or may be entered by him or on his behalf, provided that a bookmaker may lay a horse in accordance with his licence.

(4) A riders agent must not lay any horse to be ridden by a rider for whom he is agent.

(5) Any person who has provided a service or services connected with the keeping, training or racing of a horse must not, within 21 days of having last done so, lay such horse.

(6) It is an offence for any person to offer an inducement to a participant in racing with the intention of profiting from a horse not participating in the event to the best of its ability.

(7) For the purposes of this rule "lay means the offering or placing of a bet on a horse:

(a) to lose a race; or

(b) to be beaten by any other runner or runners; or

(c) to be beaten by any margin or range of margins

AAR.175C. In circumstances where it is an offence for a person to lay a horse under AAR.175B it shall also be an offence for that person to:

(a) have a horse laid on his behalf; or
receive any moneys or other valuable consideration in any way connected with the laying of the horse by another person.

AAR.169. The Committee of any Club or the Stewards may disqualify any horse entered or run in any race under a fraudulently false description or in connection with which any other improper or dishonorable action or practice mentioned or referred to in Rule AAR168 is found to have been committed.

PROHIBITED SUBSTANCES

AAR.170. Any horse that has been brought to a racecourse and a prohibited substance is detected in any sample taken from it prior to or following its running in any race must be disqualified from any race in which it started on that day.

AAR.170A. When a horse is brought to a racecourse or recognized training track to engage in either –

(a) an official trial,

(b) a jump-out, or

(c) any other test –

for the purpose of obtaining a permit to start in a race (whether after suspension or otherwise) and a prohibited substance is detected in any sample taken from it prior to or following such engagement, the trainer and any other person who was in charge of the horse at any relevant time may be penalized.

AAR.170B. (1). When a sample taken at any time from a horse being trained by a licensed trainer has detected in it any prohibited substance specified in subrule (2),

(a) the trainer and any other person who was in charge of such horse at the relevant time may be penalized unless he satisfies the Stewards that he had taken all proper precautions to prevent the administration of such prohibited substance

(b) The horse may be disqualified from any race in which it has competed subsequent to the taking of such a sample where, in the opinion of the Stewards, the prohibited substance was likely to have had any direct and/or indirect effect on the horse at the time of the race

(2) For the purposes of subrule (1), the following substances are specified as prohibited substances:-

(a) erythropoiesis-stimulating agents, including but not limited to erythropoietin (EPO), epoetin alfa, epoetin beta, darbepoetin alfa, and methoxy polyethylene glycol-epoetin beta (Mircera),

(b) insulins,

(c) growth hormones,

(a) insulin-like growth factor-1,

(b) substances listed in Schedule 8 and Schedule 9 of the Standard for the Uniform Scheduling of Medicines and Poisons contained in the Australian Poisons Standard,

(c) selective androgen receptor modulators (SARMS),

(d) selective estrogen receptor modulators (SERMS),

(e) selective opiate receptor modulators (SORMS),

(f) peroxisome proliferator activated receptor δ (PPAR δ) agonists, including but not limited to GW 1516,

(g) AMPK activators, including but not limited to AICAR (5-amino-1- β -D-ribofuranosyl-imidazole-4- carboxamide),

(h) other agents that directly or indirectly affect or manipulate gene expression,

(i) hypoxia inducible factor (HIF)-1 stabilisers, including but not limited to ITPP (myo-inositol trispyrophosphate),

(j) agents modifying myostatin function, including but not limited to myostatin inhibitors,

(k) oxygen carriers including but not limited to perfluorochemicals, efaproxiral and modified haemoglobin products,

(l) thymosin beta,

(m) venoms of any species or derivatives thereof,

(n) synthetic proteins and peptides and synthetic analogues of endogenous proteins and peptides not registered for medical or veterinary use, (r) anabolic androgenic steroids (other than an anabolic androgenic steroid which is present at or below the relevant concentrations set out in AAR.171.C(1)

(s) metabolites, artifacts and isomers of any of the substances specified in paragraphs (a) to (r).

(3) The National Arabian Racehorse Association may determine at any time any addition to this list of substances in subrule (2) and publish such additions in the Racing Calendar.

(4) The substances bufotenine, butorphanol, 3-(2-dimethylaminoethyl)-4-hydroxyindole, N,N-dimethyltryptamine, ketamine, methadone, morphine, pethidine and quinalbarbitone, and their

metabolites, artifacts and isomers, are excepted from the provisions of this Rule, but would be specified as prohibited substances for the purposes of AAR.170, AAR.170A, AAR.171 and AAR.171.A

(5) If any substance or preparation that could give rise to an offence under this rule if administered to a horse at any time is found at any time at any premises used in relation to the training or racing of horses then any owner, trainer or person who owns, trains or races or is in charge of horses at those premises is deemed to have the substance or preparation in their possession and such person shall be guilty of an offence and liable to penalty.

6) Any person who, in the opinion of the Stewards, administers, attempts to administer, causes to be administered or is a party to the administration of, any prohibited substance specified in subrule (2) to a horse being trained by a licensed trainer must be penalized in accordance with AAR.188(5).

AAR.170C. In the case of the presence of testosterone (including both free testosterone and testosterone liberated from its conjugates) above a mass concentration of 20 micrograms per litre being detected in a urine sample taken from a gelding prior to or following its running in any race it is open to the Stewards to find that the provisions of AAR.170 or AAR.171.H do not apply if on the basis of the scientific and analytical evidence available to them they are satisfied that the detected level in the sample was of endogenous origin or as a result of endogenous activity.

AAR.171. When any horse that has been brought to a racecourse for the purpose of engaging in a race and a prohibited substance is detected in any sample taken from it prior to or following its running in any race, the trainer and any other person who was in charge of such horse at any relevant time may be penalized.

AAR.171A. (1) No person, unless he has first obtained the written permission of the Stewards, shall have in his possession on a racecourse where a race meeting is being conducted or in any motor vehicle, horse float or other mode of transport being used for the purpose of conveying a horse or horses to and/or from a race meeting any prohibited substance or a syringe, needle, naso-gastric tube or other instrument that could be used –

- (a) to administer a prohibited substance to a horse; or
- (b) to produce a prohibited substance in a horse.

(2) The Stewards may at their complete discretion grant written permission for a person to have in his possession on a racecourse where a race meeting is being conducted or in any motor vehicle or horse float being used for the purpose of conveying a horse or horses to and/or from a race meeting any prohibited substance or a syringe, needle, naso-gastric tube or other instrument that could be used –

- (c) to administer a prohibited substance to a horse; or
- (d) to produce a prohibited substance in a horse.

The Stewards may impose terms or conditions on a permission granted under this subrule.

(3) A person who fails to comply with subrule (1) or with a term or condition imposed under subrule (2) is guilty of an offence, and any substances or items concerned may be confiscated.

AAR.171B. The following substances are declared as prohibited substances:-

(1) Substances capable at any time of acting directly or indirectly on one or more of the following mammalian body systems:-

- the nervous system
- the cardiovascular system
- the respiratory system
- the digestive system
- the musculo-skeletal system
- the endocrine system
- the urinary system
- the reproductive system
- the blood system
- the immune system

(2) Substances falling within the following categories of substances:-

acidifying agents
 adrenergic blocking agents
 adrenergic stimulants
 agents affecting calcium and bone metabolism
 alcohols
 alkalinising agents
 anabolic agents
 anaesthetic agents
 analgesics
 antiangina agents
 antiarrhythmic
 agents antianxiety
 agents
 anticholinergic agents
 anticoagulants
 antidepressants
 antihistamines
 antihypertensives
 anti-inflammatory agents
 antinauseants
 antineoplastic agents
 antipsychotic agents
 antipyretics
 antirheumatoid agents
 antispasmodic agents
 antithrombotic agents
 antitussive agents
 blood coagulants
 bronchodilators
 bronchospasm relaxants
 buffering agents
 central nervous system stimulants
 cholinergic agents
 corticosteroids
 depressants
 diuretics
 erectile dysfunction agents
 fibrinolytic agents
 haematopoietic agents
 haemostatic agents
 hormones (including trophic hormones) and their synthetic counterparts
 hypnotics
 hypoglycaemic agents
 hypolipidaemic agents
 immunomodifiers
 masking agents
 muscle relaxants
 narcotic analgesics
 neuromuscular agents
 plasma volume expanders
 respiratory stimulants
 sedatives
 stimulants
 sympathomimetic amines
 tranquilisers
 vasodilators
 vasopressor agents
 vitamins administered by injection
 oxygen carriers
 agents that directly or indirectly affect or manipulate gene expression
 (3) Metabolites, artifacts and isomers of the prohibited substances prescribed by subrules

(1) and (2) of this rule.

AAR 171C. (1) The following prohibited substances when present at or below the concentrations respectively set out are excepted from the provisions of AAR 171B:-

(a) Alkalinising agents, when evidenced by total carbon dioxide (TCO₂) at a concentration of 36.0 millimoles per litre in plasma.

(b) Arsenic at a mass concentration of 0.30 milligrams per litre in urine.

(c) Dimethyl sulphoxide at a mass concentration of 15 milligrams per litre in urine or 1.0 milligrams per litre in plasma.

(d) In male horses other than geldings, 5 α -estrane-3, 17-diol in urine (including both the free substance and that liberated from its conjugates) at a mass concentration equal to or less than that of 5(10) estrane-3, 17-diol in urine (including both the free substance and that liberated from its conjugates).

(e) Salicylic acid at a mass concentration of 750 milligrams per litre in urine or 6.5 milligrams per litre in plasma.

(f) Hydrocortisone at a mass concentration of 1.00 milligrams per litre in urine.

(g) Testosterone (including both free testosterone and testosterone liberated from its conjugates):

(i) in geldings: at a mass concentration of 20 micrograms per litre in urine;

(ii) in fillies and mares: at a mass concentration of 55 micrograms per litre in urine.

(iii) in fillies and mares that have been notified as pregnant at any concentration.

(h) 3-Methoxytyramine (including both free 3-methoxytyramine and 3-methoxytyramine liberated from its conjugates) at a mass concentration of 4.0 milligrams per litre in urine.

(j) Boldenone in male horses other than geldings, (including both free boldenone and boldenone liberated from its conjugates) at a mass concentration of 15 micrograms per litre in urine

(k) Theobromine at a mass concentration of 2.00 milligrams per litre in urine.

(2) The following substances are excepted from the provisions of AAR.171B: -

antimicrobials (antibiotics) and other anti-infective agents with the exception of procaine penicillin antiparasitics approved and registered for use in horses

ranitidine

omeprazole

ambroxol

bromhexine

dembrexine

registered vaccines against infectious agents

orally administered glucosamine

orally administered chondroitin sulphate

altrenogest when administered to fillies and mares.

AAR.171.D. (1) Samples taken from horses in pursuance of the powers conferred on the stewards shall be analysed by only an official racing laboratory.

(2) Upon the detection by an official racing laboratory of a prohibited substance in a sample taken from a horse such laboratory shall -

(a) notify its finding to the stewards, who shall thereupon notify the trainer of the horse of such finding; and

(b) nominate another official racing laboratory and refer to it the reserve portion of the same sample and, except in the case of a blood sample, the control of the same sample, together with advice as to the identity of the prohibited substance detected.

(3) In the event of the other official racing laboratory detecting the same prohibited substance, or metabolites, isomers or artifacts of the same prohibited substance, in the referred reserve portion of the sample and not in the referred portion of the control, the certified findings of both official racing laboratories shall be *prima facie* evidence that a prohibited substance has been detected in that sample for the purpose of these rules.

(4) In accordance with Australian Arabian Rules of Racing AAR.171D.(1)(b) referee analysis must be done at an independent Official racing laboratory if a drug is confirmed. The connections of the horse presenting with a positive sample are responsible for the additional costs incurred by the positive result including the costs of any staff giving evidence or assisting at any inquiry or disciplinary process which may follow the reporting of a positive sample.

AAR.171.DD. (1) The Stewards may direct that samples taken from a horse be stored, in whole or in part, and shall be disposed of only as they direct.

(2) Notwithstanding any other provision of the rules, the Stewards may direct that a stored sample, in whole or in part, be submitted or resubmitted for any test to determine whether any prohibited substance was at the relevant time present in the system of the horse from which the sample was taken.

(3) For the avoidance of doubt, when a prohibited substance is detected in a stored sample submitted or resubmitted for testing in accordance with subrule (2), the provisions of AAR.170.A, AAR.170.B. and AAR.171 shall apply.

(4) When a prohibited substance is detected in a stored sample submitted or resubmitted for testing in accordance with subrule (2) and that sample was taken from the horse prior to or following its running in any race, the provisions of AAR.170 do not apply, provided that the horse concerned may be disqualified from any race in which it started on the day the sample was taken.

AAR.171.E. (1) Notwithstanding the provisions of AAR.171.C.(2), no person without the permission of the Stewards may administer or cause to be administered any medication to a horse on race day prior to such horse running in a race.

(2) The Stewards may order the withdrawal from a race engagement any horse that has received medication in contravention of subrule (1) of this rule.

AAR.171.E (2) In relation to the testing for the presence of a therapeutic substance in a sample taken at any time from a horse there must be an initial screening test or screening analysis of the sample.

(a) As a minimum requirement, the initial screening test or screening analysis is to be conducted as follows:

(b) A biological matrix, equivalent in volume to the sample, is to have added to it a quantity of the therapeutic substance, or its specified metabolite, sufficient to bring its concentration to the screening limit specified for that therapeutic substance, This is known as the spiked sample and is to be analysed concurrently with the sample

(c) The sample is then to be tested to ascertain whether or not it contains a quantity of the therapeutic substance, or its specified metabolite, that exceeds that screening limit by making a direct comparison with the spiked sample.

(d) If the screening limit is exceeded then the sample is to be further tested in accordance with normal laboratory procedures designed to certify the presence of the therapeutic substance in the sample.

(e) If the screening limit is exceeded then the sample is to be further tested in accordance with normal laboratory procedures designed to certify the presence of the therapeutic substance in the sample.

(3) A therapeutic substance for the purpose of this rule and the screening limit applicable to it or its specified metabolite shall be promulgated from time to time by the Australian Racing Board and published on its web site.

(4) The screening limit testing provided for in this rule is not intended and does not operate to mean that for the purpose of the rules the therapeutic substance only becomes a prohibited substance if and when the screening limit is exceeded.

(5) It shall not be a defence to any charge under this rule that the result of any initial screening test or screening analysis should have been below the screening limit for the therapeutic substance in question.

AAR.171F. (1) A trainer must keep, and retain for a period of twelve months, a record of any treatment administered to any horse in his care. Each record of treatment must, as a minimum requirement, include the following information:

(a) name of the horse;

(b) date of administration of the treatment;

(c) name of the treatment (brand name or active constituent);

(d) route of administration;

- (e) amount given;
- (f) name and signature of person or persons administering and/or authorizing treatment.
- (2) For the purposes of this rule "treatment" includes:
 - (a) all Controlled Drugs (Schedule 8) administered by a veterinarian;
 - (b) all Prescription Animal Remedies (Schedule 4), including those listed in AAR.171C(2);
 - (c) all Prescription Only Medicines (Schedule 4), prescribed and/or dispensed by a veterinarian for off-label use;
 - (d) all injectable veterinary medicines (intravenous, intramuscular, subcutaneous, intraarticular) not already included above;
 - (e) all Pharmacist Only (Schedule 3) and Pharmacy Only (Schedule 2) medicines;
 - (f) all veterinary and other medicines containing other scheduled and unscheduled prohibited substances;
 - (g) all alkalising agents;
 - (h) all herbal preparations.

(3) When requested, the records of treatment kept by trainers in accordance with the provisions of subrule (1) must be made available to the Stewards .

AAR.171.G. In the case of the presence of testosterone (including both free testosterone and testosterone liberated from its conjugates) above a mass concentration of 20 micrograms per litre being detected in a urine sample taken from a gelding prior to or following its running in any race it is open to the stewards to find that the provisions of AAR171 do not apply if on the basis of the scientific and analytical evidence available to them they are satisfied that the detected level in the sample was of endogenous origin or as a result of endogenous activity.

AAR.171.H. A horse must not, in any manner, at any time, be administered an anabolic androgenic steroid.

- (1) Any person who:
 - (a) administers an anabolic androgenic steroid;
 - (b) attempts to administer an anabolic androgenic steroid;
 - (c) causes an anabolic androgenic steroid to be administered; and/or
 - (d) is a party to the administration of, or an attempt to administer, an anabolic androgenic steroid, to a horse commits an offence and must be penalised in accordance with AAR.188.(5).
- (2) Where the Stewards are satisfied that a horse has, or is likely to have been, administered any anabolic androgenic steroid contrary to AAR.171. H(1), the Stewards may prevent the horse from starting in any relevant race, official trial or jump-out.
- (3) When a sample taken at any time from a horse has detected in it an anabolic androgenic steroid the horse is not permitted to start in any race or official trial:
 - (a) for a minimum period of 12 months from the date of the collection of the sample in which an anabolic androgenic steroid was detected; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.
- (4) Any owner, lessee, nominator, trainer and/or person in charge of a horse registered under these Rules must, when directed by the Stewards or other official appointed by the Principal Racing Authority, produce, or otherwise give full access to, the horse so that the Stewards or other official appointed by the Principal Racing Authority may take or cause a sample to be taken and analyzed to determine whether any anabolic androgenic steroid is present in the system of the horse.

(5) For the avoidance of doubt and without limitation, sub-rule (5) requires an owner, lessee, nominator and/or trainer to produce the horse, or otherwise give full access to the horse, even if the horse is:

(a) under the care or control of another person; and/or

(b) located at the property of another person.

(6) Any person who fails to produce, or give full access to, a horse to provide a sample as required by sub-rule (5) may be penalized.

(7) In respect of a horse registered under these Rules, where an owner, lessee, nominator, trainer and/or person in charge of a horse is in breach of sub-rule (5), the relevant horse will not be permitted to start in any race or official trial:

(a) for a period of not less than 12 months following the day on which the horse is in fact produced to the Stewards, or full access to the horse is otherwise given to the Stewards, so that a sample may be taken and analysed for anabolic androgenic steroids; and

(b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.

PENALTIES

(except weight penalties)

AAR.172. The Committee or Stewards of any Club may accept:-

(a) A certificate by a Club that it has imposed or adopted any penalty, or

(b) The publication in a *Racing Calendar* or similar publication of a statement to the effect that any penalty has been imposed or adopted as prima facie evidence of the fact stated and may assume unless the contrary is proved that such penalty not been set aside or mitigated.

AAR.172A. (1) Upon a Principal Racing Authority receiving Notice from any Overseas Racing Authority of the imposition, by that Overseas Racing Authority, of a suspension, disqualification, or other penalty upon a person, the Principal Racing Authority shall proceed in accordance with this Rule.

(2) In the event that the Principal Racing Authority which receives a Notice in accordance with subrule (1) is not the Principal Racing Authority by which the person named in the Notice was most recently licensed for a continuous period of not less than 3 months, it shall cause a copy of the Notice to be forwarded to that latter Principal Racing Authority immediately. In the event that the person named in the notice has not previously been licensed by a Principal Racing Authority or not previously licensed by a Principal Racing Authority for a continuous period of 3 months or more then the Principal Racing Authority that received the notice in with subrule (1) shall deal with the Notice.

(3) As soon as is practicable after receiving a Notice pursuant to subrule (1) or (2) as the case may be, and in any event no later than seven (7) days after such receipt in either case, the relevant Principal Racing Authority shall:

(a) cause a copy of the Notice to be served upon the person named therein; and

(b) advise that person of the provisions of this Rule.

(4) In the absence of any application being made under subrule (6) the Principal Racing Authority who served the Notice under subrule (3) shall:

(a) apply the penalty set out in the Notice within the state or territory In the absence of any application being made under subrule (6), the Principal which that Authority from time to time administers;

(b) issue a Notice to all other Principal Racing Authorities advising of the application of the penalty pursuant to subrule 4(a).

(5) Upon receipt of any Notice issued pursuant to subrule (4)(b), all Principal Racing Authorities to whom such Notice was issued shall immediately apply the penalty within the state or territory which each of those Authorities administers.

(6) The person named in a Notice served pursuant to subrule (3) (hereinafter referred to as "the applicant") may apply to the Principal Racing Authority by which he was most recently licensed for a declaration that the penalty set out in the Notice:

(a) not be applied at all; or

(b) be applied only in part. by that Principal Racing Authority within the state or territory which it administers.

(7) Subject to subrule (10)(a), any application pursuant to subrule (6) shall:

(a) be made within a period of fourteen (14) days from the date of service of a copy of the Notice under subrule (3);

(b) be accompanied by a statement of the applicant confirming that the applicant has exhausted all avenues of appeal for which provision is made under the rules of the Overseas Racing Authority under which the penalty set out in the Notice was imposed;

(c) provide particulars of the ground(s) upon which the application is made; and

(d) set out, by reference to sub-paragraphs (a) and (b) of sub-rule (6), the terms of any declaration(s) sought.

(8) Upon receipt of an application pursuant to sub-rule (6) the Principal Racing Authority to whom such application is made may, in its absolute discretion, determine that the penalty set out in the Notice is not to be applied within the state or territory which it administers, pending the hearing of the application.

(9) Within a period of seven (7) days of the receipt of an application made pursuant to subrule (6), the Principal Racing Authority to whom application is made shall hear and determine the matter.

(10) At the hearing of an application made pursuant to subrule (6):

(a) the Principal Racing Authority may, on the application of the applicant and notwithstanding the provisions of subrule (7), waive compliance with all or any of the provisions of that subrule if it considers it appropriate to do so;

(b) the applicant may:

A. with the leave of the Principal Racing Authority, be represented by a legal practitioner or agent;

B. give oral evidence;

C. adduce other oral or written evidence;

D. make oral or written submissions to the committee in support of the application.

(11) At the conclusion of the hearing of an application made pursuant to subrule (6), the Principal Racing Authority shall:

(a) if satisfied that there are exceptional circumstances, order that the application be granted and make the declaration(s) sought;

(b) otherwise order that the application be dismissed.

(12) For the purposes of subrule (11) the onus of establishing exceptional circumstances shall be upon the applicant.

(13) Upon the making of any order(s) or declarations(s) pursuant to subrule (11), the Principal Racing Authority whom the application was made shall issue a Notice to all other Principal Racing Authorities in the Commonwealth of Australia setting out the terms of such order(s) or declaration(s).

(14) Immediately upon the issue of a Notice pursuant to subrule (13), the order(s) or declarations(s) set out therein shall, without anything further, apply within each of the states and territories administered by each of those Principal Racing Authorities to whom such Notice was issued.

AAR.173. A list of persons suspended, warned-off or disqualified, and of horses disqualified by the Principal Racing Authority, or whose suspension or disqualification as the case may be has been adopted by a Principal Racing Authority, shall be kept at the Office of that Principal Racing Authority, and shall from time to time be published in the *Racing Calendar* and be transmitted with all additions thereto to the other Principal Racing Authorities and such other Clubs as the Principal Racing Authority may think fit.

AAR.174. (1) Except with the consent of the Principal Racing Authority that imposed the disqualification, and upon such conditions that they may in their discretion impose, a person disqualified by the Stewards or a Principal Racing Authority shall not during the period of that disqualification

- (a) Enter upon any racecourse or training track owned, operated or controlled by a Club or any land used in connection therewith;
- (b) Enter upon any training complex or training establishment of any Club or licensed person;
- (c) Be employed or engaged in any capacity in any racing stable;
- (d) Ride any racehorse in any race, official trial, jump-out or test;
- (e) Enter or nominate a horse for a race or official trial
- (f) Subscribe to any sweepstakes;
- (g) Race or have trained any horse whether as owner, lessee or otherwise;
- (h) Share in the winnings of any horse;
- (j) Participate in any way in the preparation for racing or training of any racehorse.

(2) Except with the consent of the Principal Racing Authority that imposed the disqualification, no person who in the opinion of the Principal Racing Authority or the Stewards is a close associate of a disqualified person shall be permitted to train or race any horse.

- (2) Unless otherwise determined by the Principal Racing Authority that imposed or adopted the penalty, the period of disqualification of any person who contravenes any of the provisions of subrule (1) of this rule, shall automatically recommence as from the most recent date of such contravention, and the person may also be subject to further penalty.

The provisions of subrule (3) shall apply to any person to whom AAR.174 applies, regardless of when such penalty that gives rise to the application of the rule was imposed

AAR.174A. A bookmaker shall not bet by telephone or otherwise with a disqualified person.

AAR.175. A person warned-off by a Principal Racing Authority shall be subject to the same disabilities as a person disqualified.

AAR.175A. (1) Unless otherwise ordered, during the period of his suspension no suspended rider who is licensed, approved or permitted to ride shall ride in any race, official trial, jump-out or trackwork. Provided that a rider may be suspended from riding in races only.

(2) Except with the consent of the Principal Racing Authority or the Stewards who imposed the suspension, a rider suspended by the Principal Racing Authority or the Stewards shall not during the period of that suspension be registered as a stablehand or be employed or work in any racing stable.

AAR.175B. Except with the consent of the Principal Racing Authority or the Stewards who imposed the suspension, a suspended trainer or a person holding a permit to train shall not during the period of that suspension

- (a) As a trainer or permit holder, nominate a horse for a race, official trial or jump-out; or
- (b) Train or participate in any way in the training of any racehorse; or
- (c) Be registered as a stablehand, or be employed or act or be involved in any capacity in any racing stable.

AAR.175C. A Bookmaker suspended by the Stewards or a Principal Racing Authority or the relevant supervising body shall not field at any race meeting conducted under The Rules or be in any way concerned in the operation of a bookmaker during the period of that suspension.

AAR.175D. Unless otherwise permitted by the stewards or a Principal Racing Authority, and upon such conditions as they may in their discretion impose, a stablehand while suspended shall not be employed or work in any racing stable during the period of his suspension.

AAR.175E. Any person disqualified under these Rules shall not during the period of such disqualification hold any office on or participate in the business of any Principal Racing Authority, Racing Association or Racing Club or any other racing disciplinary body.

AAR.176. Where in relation to any disqualification or suspension imposed under these Rules there are proceedings in a court and the court in such proceedings orders or declares by way of injunction or otherwise that the disqualification or suspension shall be, or is, not operative or is not to be enforced or acted upon either generally or for any specified or otherwise limited period of time, then the time during which such suspension or disqualification would but for such order or declaration have been effective shall not be included in calculating the duration of such suspension or disqualification. In the event that any such order of a court shall cease to have effect for any reason whatsoever, subject to any order a court may make or may have made, the duration of such suspension or disqualification shall commence to run, or, resume running, as the case may be, from the date upon which such order ceases to have effect. Every suspension or disqualification imposed after this rule comes into operation shall be subject to the provisions hereof.

AAR.177. Notwithstanding the provisions of AAR.174. if a lessor is a disqualified person, or in the opinion of the Principal Racing Authority or the Stewards is a close associate of a disqualified person, a Principal Racing Authority may, in its discretion, waive in favour of the lessee in respect of any particular meeting or during the currency of the lease or any part thereof the provisions of those rules; but in the event of such horse winning any stake or prize money, the amount thereof shall be reduced by the amount or proportion thereof to which such lessor would otherwise be entitled by virtue of any agreement (whether verbal or in writing) entered into between the lessor and the lessee in respect of such horse, and no part of such stake or prize money shall be payable to such lessor nor be recoverable by the lessor from any Club or the lessee or any other person whomsoever.

AAR.178. No horse shall be disqualified for a race by reason of any bonus payable under the conditions of the race to a disqualified person as breeder or nominator of the sire, and in the event of such horse winning or being placed, any such bonus shall be withheld and paid to the nominator.

AAR.179. So long as a horse is disqualified by the Stewards or a Principal Racing Authority it shall not be entered or run for any race held under these Rules or be trained on any course where these Rules are in force.

AAR.180. A person or horse disqualified or suspended by any Club, other than a Principal Racing Authority, or by an Association shall, pending adoption or disallowance by the Principal Racing Authority, be subject to disabilities similar to those abovementioned so far as they relate to any course under the control of the Club or Association imposing the disqualification or suspension as the case may be.

AAR.181. If a horse has been disqualified for any particular race, or for anything occurring in such race, the prize or money including any proportion to which the rider would have been entitled as rider of a winning mount shall be awarded as though such horse had not started in the race.

AAR.182. When a Principal Racing Authority disqualifies any person it may disqualify for the *same or any term all or any horses in which he has an interest.* Notice of every such general disqualification of horses and their names when they can be ascertained by the Secretary shall be included in the List of Disqualifications, but the omission of any horse's name shall not affect the disabilities involved in such disqualification.

AAR.183. The disqualification of a trainer or the suspension of his trainer's licence shall not of itself render ineligible for racing any horse which at the time of the disqualification or suspension was being trained by him for fee or reward, and in which he had no interest other than as a trainer, provided that such horse is removed as soon as practicable to the possession and control of another trainer who is expressly approved by the Principal Racing Authority or the appropriate Association. For the purpose of this rule the words "being trained" shall include any horse for which such trainer was responsible for the care, control and superintendence and/or any horse for which a current stable return has been lodged declaring such horse to be trained by the said trainer.

AAR.184. Any person found by the Principal Racing Authority or by the Stewards to be a defaulter in bets or any person posted as a defaulter in bets by any Club recognized by a Principal Racing Authority for the purpose of this Rule, may be disqualified until his default is cleared or his posting removed.

AAR.185. The Committee of any Club or Association or the Stewards may suspend any licence, right or privilege granted under the Rules for such term as they think fit so far as it relates to the courses or meetings controlled by them provided that such suspension may be disallowed or removed by the Principal Racing Authority.

AAR.186. A disqualification or suspension imposed by the Committee or Stewards of any registered club or of any registered race meeting may be adopted or enforced by the Committee or Stewards of any other Club or race meeting pending adoption or disallowance by the Principal Racing Authority.

AAR.187. The Secretary of every registered club or registered race meeting shall immediately forward to the Secretary of the Principal Racing Authority a certificate of every disqualification or suspension made by the Committee or Stewards thereof, with a statement of the facts on which it is founded.

AAR.187A. Upon any licensed person being disqualified his licence shall cease and determine and he must make application to the Principal Racing Authority to be relicensed.

AAR.188 (1) Subject to subrule (2) of this Rule any person or body authorized by the Rules to penalize any person may, unless the contrary is provided, do so by disqualification, suspension, reprimand, or fine not exceeding \$10,000. Provided that a disqualification or suspension may be supplemented by a fine.

(2) In respect of a breach of AAR131A the Stewards may in addition to the penalty options conferred on them under subrule (1) of this Rule order the forfeiture of the rider's riding fee and/or forfeiture of all or part of the rider's percentage of prizemoney notwithstanding that the amount exceeds \$75,000.

(3) Unless otherwise ordered by the person or body imposing the penalty, a penalty of disqualification or suspension imposed in pursuance of subrules (1) and (2) of this Rule shall be served cumulatively to any other penalty of suspension or disqualification

(4) Any person or body authorised by the Rules to penalise any person may in respect of any penalty in relation to the conduct of a person, other than a period of disqualification or a warning off, suspend the operation of that penalty either wholly or in part for a period not exceeding 12 months upon such terms and conditions as they see fit.

(5) Where a person is found guilty of a breach of any of the Rules listed below, a penalty of disqualification for a period of not less than the period specified for that Rule must be imposed unless there is a finding that a special circumstance exists whereupon the penalty may be reduced:

(6) For the purpose of this sub-rule, a special circumstance is as stipulated by each Principal Racing Authority under its respective Local Rules.

(6)(a) Any person or body authorised by these Rules to suspend or disqualify any trainer may defer the commencement of the period of suspension or disqualification for no more than seven Clear Days following the day on which the suspension or disqualification was imposed, and upon such terms and conditions as seen fit.

(b) Notwithstanding that the commencement of a period of disqualification may be deferred pursuant to AAR.188(6)(a), a trainer must not start a horse in any race from the time of the decision to disqualify that trainer until the expiration of the period of disqualification

AAR.188. (2) No person shall be entitled to make any claim for damages by reason or in consequence of the imposition, annulment, removal, mitigation, or remission of any penalty imposed or purporting to be imposed under the Rules.

AAR.189. No club, official or member of a club shall be liable to any person for any loss or damage sustained by that person as a result of, or in any way (either directly or indirectly) arising out of the exercise of any right, privilege, power, duty or discretion conferred or imposed, or bona-fide believed to have been conferred or imposed, under the Rules.

AAR.190. Subject to the provisions of AAR.190A, every person aggrieved by -
(a) any penalty imposed by the Committee of a Club or an Association or by the Stewards, or
(b) any disability imposed by such Committee of a Club, Association or Stewards on a horse in which he has an interest, may subject to the Rules appeal to the Principal Racing Authority.

AAR.190A. There shall be no right of appeal against a decision of the Stewards in connection with

- (a) any protest or objection against placed horses arising out of an incident or incidents occurring during the running of a race; or
- (b) a disability imposed on a horse which provides that such horse shall pass a specified trial or test or examination; or
- (c) the eligibility of any horse to run in any race; or
- (d) a declaration under AAR.127A.

AAR.190B. A person attending or required to attend an inquiry or hearing conducted by the Stewards or the Committee of a Club or Association shall not be entitled to be represented by any other person, whether a member of the legal profession or otherwise, provided that an apprentice jockey may be represented by his master or other trainer acting for his master.

AAR.191. Notwithstanding anything in these Rules contained, when an appeal has been duly instituted against a disqualification or suspension imposed under these Rules, the Principal Racing Authority concerned and any persons holding delegated powers of such Principal Racing Authority pursuant to AAR.7(q) may in its or their absolute discretion and subject to such conditions as it or they shall think fit, suspend the operation in whole or in part of the Rules imposing disabilities upon disqualified or suspended persons and horses until the determination of such appeal.

DESTRUCTION OF HORSE

AAR.192. In the event of any horse being so injured on a racecourse that the destruction of such horse in the opinion of a qualified veterinary surgeon appointed by the Club conducting the race meeting, or a qualified veterinary surgeon approved by the Club in control of the racecourse, is advisable in order to save unnecessary suffering, such a qualified veterinary surgeon may order such horse to be destroyed by such person as the stewards or the veterinary surgeon consider suitable.

NOTICES

AAR.193. Any notice to be given under these Rules may be served upon any person either personally or by sending it through the post in a prepaid envelope or wrapper addressed to such person at his last known address or place of abode in the State, or by advertising in one daily

newspaper published in the principle city of the territory in which the Club giving the notice has its office.

AAR.194. Any notice sent by post shall be deemed to have been served in the usual course of post, and proving such service it shall be sufficient to prove that the letter containing the notice was properly addressed, stamped and posted. Any notice by advertisement shall be deemed to have been served on the day on which the advertisement appears.

AAR.195. The signature to any notice to be given may be written, printed or typed.

AAR.196. Where a given number of days' notice or notice extending over other period is required to be given, the day of service shall, but the day upon which such notice will expire shall not be included in the number of days or other period.

AAR.197. Any notice may be signed by the Secretary or other officer or person authorized by the Committee of any Club.

FACSIMILE TRANSMISSIONS

AAR.198. Any entry, scratching or notice required by the Rules to be in writing may be made or given by facsimile transmission and such entry, scratching or notice shall be deemed to have been made or given when facsimile transmission is received by the addressee.

NATIONAL ARABIAN RACEHORSE ASSOC. BOARD

AAR.199. The National Arabian Racehorse Association is a Association under the Corporations Act established to make, change and administer the Australian Arabian Rules of Racing and otherwise do all things whatsoever that the Board considers to be conducive to developing, encouraging, promoting or managing the Australian Arabian Horse Racing racing industry.

The National Arabian Racehorse Racing Association may, from time to time, publish Codes of Practice setting out standards of conduct for persons commercially associated with Australian

NEW RULES

AAR.200. These Rules as apply to Arabian horses may from time to time be rescinded or altered and new Rules made by (and only by) the National Arabian Racehorse Association.

PROFESSIONAL CODE OF ETHICS

The National Arabian Racehorse Association in carrying out the role of providing service to the Arabian Racing Industry, recognize the need to do so in a professional manner, and to deal with the public and our colleagues with the highest degree of integrity. Therefore, we have set forth the following creed which shall govern our endeavours to fulfill our obligations.

This creed shall also apply to all individual associations and committees affiliated with the National Arabian Racehorse Association hereinafter referred to as NARA.

- To adhere to the professional standards of NARA and to work to further its' goals and objectives.
- To ensure that the welfare of the Australian Arabian Racehorse is paramount and that every Arabian Racehorse shall at all times be treated humanely and with dignity, respect and compassion.

- To handle our business and operations in a manner which promotes the image of the Arabian Racing Industry and NARA.
- To instill confidence among clients and the public in NARA, avoiding any action conducive to discrediting it or membership in NARA.

ANIMAL WELFARE

No person shall treat any horse in a cruel or inhumane manner, including, but not limited to, the prohibited conduct specified in the Rules of Racing as set out in the following.

Cruelty to horses other than Australian Arabian Racehorses is included in this prohibition as it indicates a general course of dealing with horses which is unacceptable for NARA membership.

DRUGS/SURGICAL PROCEDURES.

Note:1 Drugs - when reference is made to prohibited substances and their administration, this means by injection, orally, topical or by any route.

Any surgical procedure or administration of any foreign substance or drug which could affect the horses performance is prohibited, except for those surgical procedures performed by a duly licensed veterinarian for the sole purpose of protecting the health of the horse. However, no foreign substance or drug which could affect the horses performance is acceptable, whether or not administered to protect the health of the horse, and, on the contrary, is prohibited.

Such surgical procedures or injections shall be grounds for any appropriate committee of the Association to ban a horse from participation in NARA approved events for such period as determined appropriate.

Upon request the owner or trainer shall deliver the horses' Racehorse Registration certificate to the Association for such ineligibility to be prominently marked on the face of the registration certificate. Although ownership of the horse may thereafter be transferred to another party, the transfer of ownership will not dissolve or shorten the terms of ineligibility.

No person shall cause to be administered internally or externally to a horse, either before or during a race, any drug, medication or mechanical device or artificial appliance which is of such character as could affect its' performance. Upon discovery of administration of such drug, medication, mechanical device or artificial appliance, the committee or Association shall immediately report the matter to NARA.

Any action or substance administered internally or externally whether drugs or otherwise, which may interfere with the testing procedure or mark or screen the presence of such drugs or prohibited substance is forbidden.

Presence of such drugs or medication in a horse shall be grounds for the NARA board or other appropriate committee of the Association to take the following action:

- (a) Ban the horse and/or connections from participation in further NARA approved events for such period as determined appropriate.
- (b) Upon request the owner shall deliver the horses racehorse registration certificate to be held during the period of the horse's suspension from participation. Although ownership of such horse may, there-after, be transferred to another party, the transfer of ownership will not dissolve or shorten the terms of these suspensions.
- (c) The responsible individual, as defined in this rule, may be disciplined under the Associations general disciplinary procedure.

The above specified individuals are responsible for a horse's condition, are presumed to know the rules and regulations of the Association and the penalty provisions of said rules, and these voluntary action in presenting or causing their horse to be presented at racecourse and their absolute responsibility for the condition of the horse makes them eligible for disciplinary sanction, whether or not they had actual knowledge of the presence of a forbidden drug, directly participating in administration thereof, innocently miscalculated its retention time in the horse's system, or any other reason for its' presence established.

It is presumed the sample of urine, saliva, blood or other substance tested by the approved laboratory to which it is sent is the one taken from the horse in question, its integrity is preserved, and that all procedures of same, collection and preservation, transfer to the laboratory and analysis of the sample are correct and accurate, and the report received from the laboratory pertains to the sample taken from the horse in question and correctly reflects the condition of the horse during the event in which it was entered with the burden on the exhibitor or other responsible party to prove otherwise at any hearing of the matter conducted by the Association.

Pending final hearing by NARA, its' affiliate or other responsible hearing committee, the board may, by giving written notice of its action to the owner on record at his/her current address shown on the Association's records, temporarily suspend such horse from further participation in a NARA approved event.

Every owner, trainer or other responsible party shall, upon request from a NARA representative, permit a specimen of urine, saliva, blood or other substance to be taken for testing. Refusal to comply with such request shall constitute grounds for immediate disqualification of the horse from further racing, shall ban the horse from participation in future NARA events for such period as determined by the NARA Board or other appropriate committee, and shall constitute grounds for suspension of membership.

If the laboratory report on the chemical analysis of saliva, urine, blood or other sample taken from the horse indicates the presence of a forbidden drug or medication, this shall be taken as prima facie evidence such substance has been administered to the horse either internally or externally.

LAMENESS

- (a) Stewards and attending Veterinarians shall examine and check for lameness all nominated horses presented to race.
- (b) Obvious lameness shall be the cause for withdrawal from a race.

Obvious lameness is:

- (i) Consistently observable at the trot under all circumstances.
- (ii) Marked nodding or shortened stride.
- (iii) Minimal weight-bearing in motion and/or at rest and inability to move.

On receiving any report of or discovering any inhumane treatment, stewards or the appropriate committee must investigate the incident and the circumstances surrounding it.

If the incident concerns letting blood from a horse or intentional inhumane treatment that results in bleeding, a qualified veterinarian must examine the horses for evidence of cruel or inhumane treatment.

If the incident concerns the use of:

- (a) inhumane equipment
- (b) inhumane training methods.
- (c) any other treatment which, in the opinion of the steward or appropriate representative, is cruel or inhumane, the person whose name appears on the nomination form, owner

and/or trainer, should be immediately contacted and a request be made to cease the treatment.

- (a) If this request is denied or if the request is acceded to but, in the opinion of the steward or an appropriate representative of the association, the horse shows evidence of cruel or inhumane treatment, a qualified veterinarian should be called to examine the horse for evidence of cruel or inhumane treatment.

RESTRICTED RACES

MAIDEN RACE is one restricted to horses which at the time of starting have never won on the flat a race at a recognized meeting or any advertised race in any country.

CLASS A RACE* is one restricted to horses which, at the time of starting, have not received prizes in the aggregate worth more than \$1,500 for wins in races on the flat and have never won a race on the flat outside Australia.

CLASS B RACE* is one restricted to horses which, at the time of starting, have not received prizes in the aggregate worth more than \$3,000 for wins in races on the flat and have never won a race on the flat outside Australia.

CLASS C RACE* is one restricted to horses which, at the time of starting, have not received prizes in the aggregate worth more than \$4,500 for wins in races on the flat and have never won a race on the flat outside Australia.

CLASS D RACE* is one restricted to horses which, at the time of starting, have never received prizes in the aggregate worth more than \$6,000 for wins in races on the flat and have never won a race on the flat outside Australia.

*The value of the prize to the winner shall not exceed:

- in a Class A Race - \$1,000
- in a Class B Race - \$2,000
- in a Class C Race - \$3,000
- in a Class D Race - \$4,000

For the purpose of determining the value of the prize to the winner and the eligibility of any horse for any Class A, Class B, Class C, Class D race, no account shall be taken of prizes won which were not, at the time of entry for a race or series of races, available to be won by every horse eligible to be entered therein.

CLASS 1 RACE is one restricted to horses which, at the time of starting, have not won more than one race on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A- Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE.

- (1) The winner in Australia of –

- (a) any race on the flat which was not a Restricted Race and in which horses older than 3YO could run; or
 - (b) any Group Race or Listed Race for 3Yos.

- (2) The winner outside Australia of –

- (a) any race on the flat in which horses older than 3YO could run other than a Maiden race; or
- (b) any Group Race or Listed race for 3Yos.

CLASS 2 RACE is one restricted to horses which, at the time of starting, have not won more than two races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A- Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE.

- (1) The winner in Australia of –

any race on the flat which was not a Restricted Race and in which horses older than 3YO could run; or

- (a) any Group or Listed Race for 3Yos.

- (2) The winner outside of Australia of –

- (a) any race on the flat in which horses older than 3YO could run other than a Maiden race; or
- (b) any Group Race or Listed Race for 3YOs.

CLASS 3 RACE is one restricted to horses which, at the time of starting, have not won more than three races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A – Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE:

- (1) The winner in Australia of –

- (a) any race on the flat in which horses older than 3YO could run which was not a Restricted Race other than the winner of one Special Condition Race for 4YOs; or
- (b) any Group Race or Listed Race for 3YOs.

- (2) The winner outside Australia of –

- (a) any race on the flat in which horses older than 3YO could run, other than a Maiden Race; or
- (b) any Group Race or Listed Race for 3YOs

CLASS 4 RACE is one restricted to horses which, at the time of starting. Have not won more than four races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A – Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE:

- (1) The winner in Australia of –

- (a) any race on the flat in which horses older than 3YO could run which was not a Restricted Race, other than the winner of one Special Condition Race for 4YOs; or
- (b) any Group Race or Listed Race for 3YOs.

- (2) The winner outside Australia of –

- (a) any race on the flat in which horses older than 3YO could run, other than a Maiden Race or
- (b) any Group Race or Listed Race for 3YOs.

CLASS 5 RACE is once restricted to horses which, at the time of starting, have not won more than five races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A – Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE:

(1) The winner in Australia of –

- (a) any race on the flat in which horses older than 4YO could run which was not restricted by prize money and/or races won; or
- (b) (i) more than one race on the flat for 4YOs which was not restricted by prize money and/or races won: and/or Special Condition Race on the flat in which horses older than 4YO could run; or
 - (ii) more than two Special Condition Races on the flat for 4YOs; or
 - (iii) two Special Condition Races on the flat for 4YOs and any other race in part (b)(i) of this subrule; or
 - (iv) any Group Race or Listed Race for 4YOs; or

© any Group Race or more than one Listed Race for 3YOs

(2) The winner outside Australia of –

- (a) any race on the flat in which horses older than 3YO could run, other than a Maiden Race; or
- (b) any Group Race or more than one Listed Race for 3YOs

CLASS 6 RACE is once restricted to horses which, at the time of starting, have not won more than six races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A – Class D races other than a win as a Maiden Horse.

Further provided that the following horses shall be INELIGIBLE:

The winner of –

- (a) (i) more than one race on the flat in any country in which horses older than 3YO could run which was not restricted by prize money and/or races won; or
- (ii) more than one race outside Australia on the flat other than a Maiden Race in which horses older than 3YO could run; or
- (iii) more than two Special Condition Races on the flat in which horses older than 3YO could run; or
- (iv) two Special Condition Races on the flat in which horses older than 3YO could run and one race in part (a)(i) or (a)(ii) of this subrule; or
- (v) any Group Race or Listed Race in which horses older than 3YO could run; or
- (b) any Group Race or more than one Listed Race for 3YOs.

PRIZEMONEY

Except where specified in race conditions, prize money is to be paid to the first five (5) place-getter according to the following scale:

1 st	-	58%
2 nd	-	19%

3 rd	-	10%
4 th	-	6%
5 th	-	3%

The remaining 4% of prize money will be shared equally between place getters 6th to 10th.

REGULATIONS

These Regulations, adopted by the Board of the National Arabian Racehorse Association pursuant to the powers reserved to them, shall remain in force until such time as they may be amended or rescinded. Any such alteration shall be advertised by notice to that effect being published in the Arabian Racing industry publications.

By order of the Committee

Maureen Milburn
Chief Executive
(Secretary in accordance with the Australian Arabian Rules of Racing)

REGULATIONS

Riders.

GEAR

Riders are responsible for using safe gear of good quality and condition as approved by the Australian Racing Board. Every rider shall wear boots of design and material approved by the Stewards of an approved Principle Club and a properly affixed skull cap which shall conform to the Standards Association of Australia, specification number 2063.3 when riding at any racecourse or recognized training track.

WHIPS

Whips are required to conform to the following specifications:

- Shaft – no longer than 56cm
- Flap – 77mm to 102mm long; 38mm wide.
- Flap to be open for at least 51mm.
- Flap must be of soft leather.

SMOKING

No person whilst riding a horse either in a race, exercising or in work on a racecourse or training track shall engage in the practice of smoking.

MINIMUM AGE - TRACKWORK RIDERS, REGISTERED STABLEHANDS.

No person under the age of 14 years shall be permitted to ride track-work at any racecourse or training center or be a registered stable-hand.

SUBSTITUTION OF RIDERS

Stewards may approve the substitution of a Licensed Jockey for a Licensed Jockey and an Apprentice for an Apprentice, provided that the substitution of a Jockey for a non-claiming Apprentice and vice versa may also be approved.

It should be noted that the implementation of the abovementioned guidelines will depend on particular circumstances and the availability of riders.

NORMAL PROCEDURE FOR HORSES CARRYING OVERWEIGHT.

The Stewards will allow the Clerk of Scales to permit riders of horses to weigh out 1 kg overweight without obtaining permission from the Stewards, provided the connections of the horse are in agreement.

If a rider is to be more than 1kg overweight, permission must be obtained from the Stewards who may grant approval if the connections are in agreement.

Unless special circumstances apply the Stewards will not permit a rider to ride more than 2kg overweight unless there is no other rider available within 1kg of the allotted weight.

LEAD VESTS (BACK PROTECTORS)

Riders intending to use a lead vest must weigh out with the lead vest on and shall not remove it until after weighing in. The maximum weight permitted for use is 3.1/2kg.

REQUIREMENTS AFTER WEIGHING OUT

Riders are required to present themselves at the scales in the colours to be worn and may not, after weighing out, change any clothing or equipment.

Saddles shall be handed to the trainers concerned or their representatives and shall not be left unattended or taken back into the jockeys' room.

CASUALTY ROOM EXAMINATIONS.

Any rider involved in a race fall shall be taken to the Casualty Room for examination unless taken to hospital. A report of such examination is to be recorded in duplicate in a book to be kept in the Casualty Room for that purpose.

CLEARANCE TO LEAVE RACECOURSE

All riders upon completing their engagements at a race meeting must obtain permission from the Stewards to leave prior to doing so.

TRAINERS

LICENSED TRAINER OR OWNER TRAINER.

Categories granted as determined by the Committee of an approved Principle Club.

OWNER TRAINERS

Owner Trainers may train for themselves and their immediate family only, i.e. husband, wife, children or step children over 18 years, father or step father, mother or step mother, sisters or step sisters, brothers or step brothers.

Provided that these persons may also train for de facto spouses if they have lived together for more than two years. Confirmation to this effect must be submitted in writing.

TRAINERS UNABLE TO ATTEND RACE MEETING

Trainers unable to attend a Race Meeting at which horses trained by them are racing, must lodge in writing with the Stewards attending the meeting the name of the person who will act on their behalf.

GEAR

REGULATIONS APPLYING TO USE OF GEAR

Trainers shall be held responsible for seeing that all racing gear provided by them is approved for use and is in a proper condition. All gear to be used on a horse shall be fitted before it leaves the mounting yard.

BITS

Ring or D type Bits with snaffle or straight bar are approved. Rubber bits must be rubber covered steel bits or show evidence that the bit is reinforced with steel through the centre.

Ring bits (either fixed or moveable, with ring type attachment) are approved for use as Lugging Bits.

Aluminium Bits, Basket Bits and Tom Thumb Bits are not permitted.

CHEEKERS.

Cheekers consisting of a rubber strap attached to the bridle and down the nasal bone to the bit, may be approved. The circular type may be attached to the bit in rubber or leather with a smooth soft face.

NOSEROLLS/SHADOW ROLLS

Trainers seeking permission to use Nose rolls/Shadow Rolls must do so in writing indicating why they wish to use them

Lambs wool Rolls are not to project more than 38mm from the nasal bone when fitted, and must be situated not further up the nasal bone than half way between nostrils and eyes.

NOSEBANDS

Cross-over nosebands in leather are approved. Dropped nosebands are not permitted.

TONGUE TIES

The following are permitted for use as tongue ties:-

An inch wide tape or nylon stocking looped over the tongue, and attached to the bit or secured around the jaw.

Or

A leather strap looped over the tongue and buckled up securely around the jaw.

BLINKERS

Application to use blinkers on a horse in a race must be made to the Stewards in writing for horses having their first race start. Such application shall indicate when and where the horse will compete in a trial for approval to race in blinkers. Permission to race in blinkers may be granted provided a horse which has not started in a race has performed in a trial or jump-out in blinkers to the satisfaction of stewards **prior to the time of declaration of acceptance for the race in which it is intended to start.**

Blinker trials or jump-outs should be started from the starting stalls with a least 3 runners and run over not less than 600 metres.

A horse which has previously raced may be approved to race in blinkers as above, or provided that the trainer signs a declaration stating that the horse has either worked in blinkers with other horses or performed in blinkers in a trial to the trainer's satisfaction, and the trainer believes that the horse will race tractably and safely in blinkers. Such application must be lodged in writing prior to declarations of acceptance for the race it is intended to start.

Unless there are exceptional circumstances permission to race in blinkers will not be granted when a horse has been previously approved on 3 occasions to race in blinkers and subsequently races without them.

An owner or trainer intending to use blinkers on a horse in a race must lodge an amended stable return in respect of such horse prior to declaration of acceptances. Permission to discontinue the use of blinkers on a horse must be obtained from the Stewards and an amended stable return lodged prior to declaration of acceptances. Owners or Trainers must also notify the club concerned at acceptance time whether the horse is racing in blinkers for the 1st, 2nd or 3rd time or whether the horse is racing without blinkers. The engagement of an Apprentice to ride a horse to be raced in blinkers must be approved by the Stewards.

Applications to use blinkers together with a nose-roll should be lodged in writing and the horse concerned trial satisfactorily before the Stewards prior to declaration of acceptance to gain approval.

SIDE WINKERS

Lambs-wool Side Winkers of a design approved by the stewards, which shall not exceed 270mm in length and 50mm diameter and attached to the cheek strap of the bridle are permitted to be used in races. Application to use Side Winkers must be in writing to the stewards prior to the time of declaration of acceptances for the race in which it is intended to start. Permission to discontinue the use of Side Winkers must be obtained from the steward. Side Winkers may not be used with Blinkers.

EARPLUGS

The use of earplugs will be permitted up until the time a horse walks onto the track from the enclosure.

BANDAGES

To be stitched the full length on the outside of the leg in contrasting thread. Elastoplast, when used, must be sewn at corners.

BOOTS

Should be good quality and light for racing purposes, and must have buckles, not interlocking material or press-studs. Boots may be worn with elastoplast but not with bandages.

BOOTS - HIND LEGS

Leather scalpers are to have buckles and rubber scalpers are to have buckles or buttons. Hock boots to be light-weight construction in leather.

REINS

Reins should have rubber grips. When rings are used with reins, which have buckles attached, keepers or stoppers must also be used to avoid rings becoming entangled in buckles.

MESH EYE PROTECTORS

Mesh Eye Protectors may be used with permission on horses which have been approved to race in blinkers. Permission to discontinue the use of Mesh Eye Protectors must be obtained from the Stewards prior to declaration of acceptance.

The Stewards will exercise the right to prohibit the use of Mesh Eye Protectors where in their opinion adverse track conditions exist. Mesh Eye Protectors will not be permitted to be used on tracks which have been officially classified as slow or heavy.

FIXED MARTINGALES

Fixed martingales must not be used in any race.

RACING PLATES AND TIPS

All plates and tips must be made of an approved material which can be forged or moulded into shape.

Racing plates and tips must not exceed in weight 110 grams for all sizes up to 28 centimetre foot and 130 grams for a larger foot and must be properly fitted without protruding beyond the perimeter of the hoof. The fuller edges and nail heads must be level, large nails will not be permitted.

Racing plates and tips with vertical bars or steel inserts shall not be used. Heeled plates or calks shall not be used in flat races. The use of "cutting plates" or any other form of plates or tips that in the opinion of the stewards may be dangerous shall not be permitted.

No horse shall be permitted to start in any race unless fully shod with approved plates or tips at the time of leaving the mounting yard. Notwithstanding the foregoing the stipendiary stewards officiating at any race meeting may in exceptional circumstances permit a horse or horses to run barefooted or partly shod.

Any mishap to the plate or tip occurring in a race must be reported by the trainer concerned to the stewards without delay.

The farrier's supervisor or any other person appointed by the stewards shall inspect all horses starting in a race as directed by the stewards.

Pads of any material that cover the entire hoof i.e. frog and sole are not permitted.

HORSES BEING LED OR EXERCISED

All horses being led or exercised on a racecourse or a training center must wear either a bridle or a head collar with a bit attached to ensure effective control over the horse.

ORGANIZED TRIALS

All nominated horses must have racehorse identification and stable returns for them lodged prior to closing of trial entries. Horses must be nominated in their registered names. No horse may be substituted for one originally nominated.

Horses may trial in working shoes.

All riders must wear racing colours, skull caps, jodhpurs and riding boots.

No horse shall be entered or permitted to run in any trial unless it is trained by a person holding an approved trainers licence or an owner trainers permit.

BARRIER TESTS

To gain a barrier test certificate a horse must be ridden into the starting stalls. Such horse may be assisted by **only one person**, who may lead it into the stalls.

RACEMEETING REGULATIONS

PERMISSION TO ENTER JOCKEYS ROOM

No person other than jockeys or apprentices with riding engagements, trainers with runners, and masters of apprentices with riding engagements shall be permitted to enter the jockeys room at any race meeting without first obtaining permission from the stewards officiating at such race meetings.

PERSONS PERMITTED IN MOUNTING YARD

Licensed trainers, trainers with runners and trainers with apprentices riding at the Meeting are permitted in the mounting yard. Owners with runners in a particular race who have been issued with a Mounting Yard Ticket by the club are also permitted in the mounting yard.

9. USE OF SWABBING STALL ON RACE DAY

Subject to availability of a stall, trainers have access to the facility more than one hour prior to the advertised time of starting of the race for which their horse is engaged.

A trainers who wishes his horse to use a stall within one hour of the advertised time of starting must first seek permission from the veterinary steward on duty.

- or
- The trainers or a representative must accompany a horse to the swabbing stall for pre post-race sampling, remain present at all times during the collection and where applicable, sealing and packaging of samples and certify in writing that the samples have been collected from the horse concerned.

10. STARTING

All horses are to be ridden to the barrier. Only after permission has been granted by the stewards shall a horse be led by the Clerk of Course to the starting stalls. Horses are to be ridden into the starting stalls unassisted where practical. Subject to the provisions of these rules, horses are to be placed in the starting stalls in the order of the barrier draw, except with large fields when horses are split into two divisions for example 1-10 and 11-20.

Should a horse receive an official warning from the stewards in charge of a race meeting for being unruly at the barrier, then the trainer must obtain a further barrier test certificate and present same to the stewards prior to its next start.

False Starts – In the instance of the Starter declaring a “False Start” he shall immediately activate the “False Start” warning device. This device is comprised of:

A Flashing light situated 200 metres from the start, placed 2 metres in from the inside running rail, and

A siren situated near the flashing light, facing across the track and in the direction of the starting stalls. This equipment must be tested prior to each race.

In the event that the “False Start” warning device malfunctions or is unavailable, the starter shall have in his possession an unfurled red flag, of dimensions 90cm x 68cm attached to a 105cm stick. A flagman, attired in a white coat so as to be clearly visible, shall be situated near the false start light, and have an identical unfurled flag in his possession. If necessary, the Starter and Flagman shall signify a “False Start” by means of these flags. After declaring a “False Start”. The starter shall immediately notify the stipendiary stewards to this effect.

11. TRACK CATEGORIES -

SCRATCHINGS AFTER ALTERATION AT PROFESSIONAL MEETINGS

Stewards may grant permission for a trainer or owner to scratch a horse from a race at a professional meeting after the official scratching time on race-day only after the track category has been changed by the stewards subsequent to that official scratching time and provided that the application to scratch is made at least one hour prior to the advertised starting time for the event in which the horse is due to race.

12. PROTEST HEARINGS

During protest hearings the trainer and owners of each horse directly involved will be given the opportunity to be present in the stewards room. Where a syndicate is involved the manager (and other members of the syndicate at the discretion of the stewards) only may be present. In all cases the managing owner or a representative only may act as a spokesperson on behalf of all owners.

Prior to correct weight being declared, connections and riders of a placed horse may seek permission from the stewards to view the patrol video with respect to determining whether they wish to lodge an objection.

13. CLEARANCES

Licensed persons who last raced in another state will be required to sign a declaration stating that they are under no disability under the Rules of Arabian Racing. Declaration forms for this purpose will be held by stipendiary stewards at each meeting.

Clearances must be produced for horses that last raced in another state.

14. TRACK REGULATIONS

Trainers, Jockeys, Track Riders and Stable-hands must obey all track regulations in force at any racecourse or training center.

15. MEMBER & PARTICIPANT CODE OF CONDUCT

- 1.1 The principles of fairness and a high standard of conduct must be upheld by all members, officials, committees and participants.
- 1.2 Members of Arabian Racing organizations & participants must recognize and respect not only their own rights and responsibilities but also must conduct themselves with due regard to the rights, dignity, safety and welfare of horses, other members, officials, committees, and members of the public.
- 1.3 Members of Arabian Racing organizations & participants are to conduct themselves in a manner which maintains the reputation and orderly functioning of Arabian Racing as determined by the National Arabian Racehorse Association.
- 1.4 Designated officials and all committees acting on behalf of members must carry out their duties in a professional and conscientious manner. This involves:
 - a) making official decisions and policies objectively and impartially; and
 - b) seeking to attain the highest possible standards of performance.
- 1.5 Members of Arabian Racing Organizations, participants, officials and committees must observe all the NARA rules and regulations that are current from time to time.
- 1.6 There shall be no participation in any official race or trial by any member, participant, official or committee member whilst under the influence of alcohol or a prohibited drug.
- 1.7 All members of Arabian Racing organizations, participants, officials and committees acting on behalf of members are responsible for their actions and accountable for their consequences.
- 1.8 Non-compliance with the Australian Arabian Rules of Racing, NARA procedures, policies and regulations and guidelines amounts to misconduct on the part of the member, participant, official or committee person and may lead to disqualification and/or other disciplinary action being taken.

16. MEMBERS & PARTICIPANTS RIGHTS & RESPONSIBILITIES

- 2.1 Membership to an Arabian Racing organization is granted on the understanding that if any member or participant:
 - a) neglects or willfully refuses to comply with the NARA. rules, procedures, regulations, relevant constitutions etc and/or
 - b) is guilty of conduct that in the opinion of NARA or the member's State Authority Committee, is unbecoming of a member; and/or
 - c) is abusive to an official, a member of an Arabian Racing committee or a member of any Thoroughbred Race Club committee and/or
 - d) has abused, neglected, caused undue stress or taken any other action against a horse which is not in the best interests of the horse; and/or
 - e) acts contrary to the best interests of the National Arabian Racehorse Assoc. or their state approved association;he or she may be disciplined.

- 2.2 Any discipline-related decision to expel, suspend, or prohibit a member, participant or horse made at a discipline hearing or appeal hearing shall have effect from the close of the hearing and shall have effect in all states.
- 2.3 Every member and participant shall pay, upon request, all fines and penalties and other moneys that may be due from time to time in accordance with the provisions of the NARA regulations.

17. SOCIAL MEDIA NETWORKING SITES

Members of Arabian Racing organizations, participants, officials, committees, their family and friends must not use social networking or the internet to bring Arabian Horse Racing into disrepute.

All persons must refrain from posting disparaging, harassing, bullying or other inappropriate comments and/or material via electronic media, including, but not limited to chat lists, Facebook, Twitter and You Tube. It is also considered a breach of the Members Code of Conduct if you assist someone else to breach this Code of Conduct.